503252333 04/06/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 EPAS ID: PAT3298951 Stylesheet Version v1.2

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
SHUIBING CHEN	08/28/2008
DOUGLAS MELTON	01/30/2009
STUART L. SCHREIBER	09/25/2009

RECEIVING PARTY DATA

Name:	HOWARD HUGHES MEDICAL INSTITUTE	
Street Address:	4000 JONES BRIDGE ROAD	
City:	CHEVY CHASE	
State/Country:	MARYLAND	
Postal Code:	20815-6789	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14282647

CORRESPONDENCE DATA

Fax Number: (617)495-9568

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

(617) 495-3058 Phone:

Email: denlyn atherton@harvard.edu

Correspondent Name: ANNE CRAIG

Address Line 1: 1350 MASSACHUSETTS AVE

Address Line 2: HARVARD UNIVERSITY, OFFICE OF TECH. DEV.

Address Line 4: CAMBRIDGE, MASSACHUSETTS 02138

ATTORNEY DOCKET NUMBER: 3197 US2 HHMI **NAME OF SUBMITTER:** ANNE I. CRAIG SIGNATURE: /aic/ **DATE SIGNED:** 04/06/2015

Total Attachments: 7

source=US2 Assignment to HHMI#page1.tif source=US2 Assignment to HHMI#page2.tif source=US2 Assignment to HHMI#page3.tif

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<u>APPOINTMENT OF INVESTIGATOR AS AGENT</u>

Appointment by the Howard Hughes Medical Institute ("HHMI") of Douglas A. Melton, PhD, an employee of HHMI, as its agent for the purpose of assigning certain rights to President and Fellows of Harvard College ("Institution").

WHEREAS, HHMI and Institution collaborate in the active conduct of medical research pursuant to an Agreement between them dated as of April 14, 2003 (the "Agreement");

WHEREAS, pursuant to the Agreement, HHMI has agreed to assign to Institution HHMI's rights with respect to inventions, discoveries, improvements, and other intellectual property, whether patentable or copyrightable (each a "Subject Property"), conceived or reduced to practice in the course of the research program conducted under the Agreement by employees of HHMI;

WHEREAS, research conducted pursuant to the Agreement by Douglas A. Melton, PhD while employed by HHMI at Institution has resulted in the invention of a certain Subject Property entitled "Compositions and Methods for Promoting the Generation of PDX1+ Pancreatic Progenitors," which is the subject of a patent application entitled "Compositions and Methods for Promoting the Generation of PDX1+ Pancreatic Progenitors" filed in the U.S. Patent and Trademark Office on April 22, 2008 with Serial Number 61/047,056 (the "Invention"), and the Invention is a Subject Property; and

WHEREAS, HHMI wishes Douglas A. Melton, PhD to act as its agent for the purpose of assigning to Institution the rights HHMI has in the Invention by reason of the research program conducted at Institution.

NOW THEREFORE, HHMI hereby appoints Douglas A. Melton, PhD as its agent for the purpose of assigning the rights HHMI has or may acquire in the Invention by reason of the research program conducted at Institution to Institution in accordance with and subject to the conditions of the Agreement.

Executed: February 4, 2009

HOWARD HUGHES MEDICAL INSTITUTE

By:

Jack E. Dixon. Jack E. Dixon, PhD

Vice President and Chief Scientific Officer

ATTESTED:

Craig A Alexander

Vice President and General Counsel

3197

HHMI 04000

ASSIGNMENT

Assignment made January 30, 20 Sby Douglas A. Melton, PhD ("Inventor") to the Howard Hughes Medical Institute, a Delaware corporation ("HHMI").

Recitals

WHEREAS, Inventor is an employee of HHMI and, as a condition of his employment, has signed the Agreement appended to HHMI's Policy on Intellectual Property (the "Intellectual Property Agreement");

WHEREAS, pursuant to the Intellectual Property Agreement, Inventor has agreed (a) to assign to HHMI all rights he may acquire in any invention, discovery, improvement, or other intellectual property, whether or not patentable or copyrightable, developed directly or indirectly as a result of a program of research financed by HHMI funds or funds under the control of HHMI (each a "Subject Property") and (b) to execute any agreements that may be desired by HHMI in connection with such assignment;

WHEREAS, Inventor has, alone or with others invented "Compositions and Methods for Promoting the Generation of PDX1+ Pancreatic Progenitors" which may be the subject of a patent application (the "Invention"), and the Invention is a Subject Property; and

WHEREAS, Inventor seeks to make a formal assignment of his interest in the Invention to HHMI, and HHMI desires the execution of a formal assignment of all of Inventor's right, title, and interest in the Invention.

NOW, THEREFORE, in consideration of the promises contained in and the acts performed and to be performed pursuant to the Intellectual Property Agreement and of other good and valuable consideration, the receipt of which is hereby acknowledged by Inventor, Inventor agrees as follows:

- 1. Assignment. Inventor hereby assigns to HHMI his entire right, title, and interest in the Invention; any United State patent applications and all corresponding foreign patent applications which are directed to the Invention (including, without limitation, the patent application entitled "Compositions and Methods for Promoting the Generation of PDX1+ Pancreatic Progenitors" filed in the United States Patent and Trademark Office on April 22, 2008 with Serial Number 61/047,056), and any and all patents issued therefrom; all United States or foreign division and continuation applications based on any of the foregoing, and any and all patents issued therefrom; and all claims which are directed to the Invention and which may be contained in continuation-in-part applications or in patents which issue therefrom.
- 2. <u>Cooperation.</u> Inventor agrees to execute upon request such further assignments, documents, and other instruments as may be necessary or desirable to assign Inventor's entire right, title and interest in the Invention to HHMI and to assist HHMI (or others at the direction of HHMI) in applying for, obtaining, and enforcing patents, copyrights, or other rights in the United States and in any foreign country with respect to the Invention.

- 3. <u>Parties</u>. The terms and provisions of the Assignment shall inure to the benefit of HHMI and its successors and assigns and shall be binding on the Inventor and his heirs, personal representatives and assigns.
- 4. <u>Warranty</u>. Inventor warrants and represents that he has not entered into any assignment, contract, or understanding in conflict herewith, and that there is no other person or entity whose consent is required in order for the Inventor to make the assignment contained herein.

Inventor:

Douglas A. Melton, PhD

Commonwealth of Massachusetts

County of Middlesay

Then personally appeared before me the above-named Douglas A. Melton, PhD and acknowledged that he executed the foregoing instrument in his authorized capacity this 304h

day of January, 2009.

Notary Public:

(SEAL)

(print name)

My Commission Expires: May 23, 2014

3197 HHMI 04000

LINDA J. KEFALAS
Notary Public
Commonwealth of Massachusetts
My Commission Expires May 23, 2014

28680801

ASSIGNMENT

Assignment made Ang 28th, 2008, by Shuibing Chen, PhD ("Inventor") to the Howard Hughes Medical Institute, a Delaware corporation ("HHMI").

Recitals

WHEREAS, Inventor was an employee of HHMI and, as a condition of her employment, has signed the Agreement appended to HHMI's Policy on Intellectual Property (the "Intellectual Property Agreement");

WHEREAS, pursuant to the Intellectual Property Agreement, Inventor has agreed (a) to assign to HHMI all rights she may acquire in any invention, discovery, improvement, or other intellectual property, whether or not patentable or copyrightable, developed directly or indirectly as a result of a program of research financed by HHMI funds or funds under the control of HHMI (each a "Subject Property") and (b) to execute any agreements that may be desired by HHMI in connection with such assignment;

WHEREAS, Inventor has, alone or with others invented "Compositions and Methods for Promoting the Generation of PDX1+ Pancreatic Progenitors" which may be the subject of a patent application (the "Invention"), and the Invention is a Subject Property; and

WHEREAS, Inventor seeks to make a formal assignment of her interest in the Invention to HHMI, and HHMI desires the execution of a formal assignment of all of Inventor's right, title, and interest in the Invention.

NOW, THEREFORE, in consideration of the promises contained in and the acts performed and to be performed pursuant to the Intellectual Property Agreement and of other good and valuable consideration, the receipt of which is hereby acknowledged by Inventor, Inventor agrees as follows:

- 1. <u>Assignment.</u> Inventor hereby assigns to HHMI her entire right, title, and interest in the Invention; any United State patent applications and all corresponding foreign patent applications which are directed to the Invention (including, without limitation, the patent application entitled "Compositions and Methods for Promoting the Generation of PDX1+ Pancreatic Progenitors" filed in the United States Patent and Trademark Office on April 22, 2008 with Serial Number 61/047,056), and any and all patents issued therefrom; all United States or foreign division and continuation applications based on any of the foregoing, and any and all patents issued therefrom; and all claims which are directed to the Invention and which may be contained in continuation-in-part applications or in patents which issue therefrom.
- 2. <u>Cooperation</u>. Inventor agrees to execute upon request such further assignments, documents, and other instruments as may be necessary or desirable to assign Inventor's entire right, title and interest in the Invention to HHMI and to assist HHMI (or others at the direction of HHMI) in applying for, obtaining, and enforcing patents, copyrights, or other rights in the United States and in any foreign country with respect to the Invention.

- 3. <u>Parties</u>. The terms and provisions of the Assignment shall inure to the benefit of HHMI and its successors and assigns and shall be binding on the Inventor and her heirs, personal representatives and assigns.
- 4. <u>Warranty</u>. Inventor warrants and represents that she has not entered into any assignment, contract, or understanding in conflict herewith, and that there is no other person or entity whose consent is required in order for the Inventor to make the assignment contained herein.

Inventor:

Shuibing Chen, PhD

Commonwealth of Massachusetts

County of

Then personally appeared before me the above-named Shuibing Chen, PhD and acknowledged that she executed the foregoing instrument in her authorized capacity this _____

day of Avnst 28, 200)

Notary Public:

(SEAL)

MANUEL FUENTES-GUIROZ
Notary Public
Commonwealth of Massachusetts
My Commission Expires
February 18, 2011

My Commission Expires: 2-18 7011

3197 HHMI 04000

ASSIGNMENT

Assignment made 25 , 200 by Stuart L. Schreiber, PhD ("Inventor") to the Howard Hughes Medical Institute, a Delaware corporation ("HHMI").

Recitals

WHEREAS, Inventor is an employee of HHMI and, as a condition of his employment, has signed the Agreement appended to HHMI's Policy on Intellectual Property (the "Intellectual Property Agreement");

WHEREAS, pursuant to the Intellectual Property Agreement, Inventor has agreed (a) to assign to HHMI all rights he may acquire in any invention, discovery, improvement, or other intellectual property, whether or not patentable or copyrightable, developed directly or indirectly as a result of a program of research financed by HHMI funds or funds under the control of HHMI (each a "Subject Property") and (b) to execute any agreements that may be desired by HHMI in connection with such assignment;

WHEREAS, Inventor has, alone or with others invented "Compositions and Methods for Promoting the Generation of PDX1+ Pancreatic Progenitors" which may be the subject of a patent application (the "Invention"), and the Invention is a Subject Property; and

WHEREAS, Inventor seeks to make a formal assignment of his interest in the Invention to HHMI, and HHMI desires the execution of a formal assignment of all of Inventor's right, title, and interest in the Invention.

NOW, THEREFORE, in consideration of the promises contained in and the acts performed and to be performed pursuant to the Intellectual Property Agreement and of other good and valuable consideration, the receipt of which is hereby acknowledged by Inventor, Inventor agrees as follows:

- 1. Assignment. Inventor hereby assigns to HHMI his entire right, title, and interest in the Invention; any United State patent applications and all corresponding foreign patent applications which are directed to the Invention (including, without limitation, the patent application entitled "Compositions and Methods for Promoting the Generation of PDX1+ Pancreatic Progenitors" filed in the United States Patent and Trademark Office on February 6, 2009 with Serial Number 61/150,509), and any and all patents issued therefrom; all United States or foreign division and continuation applications based on any of the foregoing, and any and all patents issued therefrom; and all claims which are directed to the Invention and which may be contained in continuation-in-part applications or in patents which issue therefrom.
- 2. <u>Cooperation</u>. Inventor agrees to execute upon request such further assignments, documents, and other instruments as may be necessary or desirable to assign Inventor's entire right, title and interest in the Invention to HHMI and to assist HHMI (or others at the direction of HHMI) in applying for, obtaining, and enforcing patents, copyrights, or other rights in the United States and in any foreign country with respect to the Invention.

3. <u>Parties</u> . The terms and provisions of the Assignment shall inure to the benefit of HHMI and its successors and assigns and shall be binding on the Inventor and his heirs, personal representatives and assigns.
4. Warranty. Inventor warrants and represents that he has not entered into any assignment, contract, or understanding in conflict herewith, and that there is no other person or entity whose consent is required in order for the Inventor to make the assignment contained herein. Inventor: Stuart L. Schreiber, PhD
Commonwealth of Massachusetts
County of Midcle Sex
Then personally appeared before me the above-named Stuart L. Schreiber, PhD and acknowledged that he executed the foregoing instrument in his authorized capacity this 25 day of September, 2009.
(SEAL) Notary Pathic: 101 Doris S. Dalry Mpla (print name)

3197 HHMI 04000

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RECORDED: 04/06/2015

My Commission Expires: 3 5 15