

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3300828

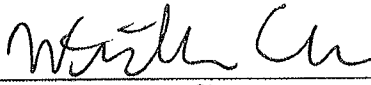
SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ERIC JOHNSON	06/22/2006
THOMAS FOGARTY	06/23/2006
FRANK ARKO	06/19/2006
JEFF ELKINS	06/27/2006
MARTIN SEERY	06/22/2006
RECEIVING PARTY DATA	
Name:	CRUX BIOMEDICAL, INC.
Street Address:	3721 VALLEY CENTRE DR., SUITE 500
City:	SAN DIEGO
State/Country:	CALIFORNIA
Postal Code:	92130
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13931256
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-212-1700
Email:	info@shayglenn.com
Correspondent Name:	SHAY GLENN LLP
Address Line 1:	2755 CAMPUS DRIVE, SUITE 210
Address Line 4:	SAN MATEO, CALIFORNIA 94403
ATTORNEY DOCKET NUMBER:	10253-701.323
NAME OF SUBMITTER:	JENNIFER CAPIZZI
SIGNATURE:	/Winston S. Chu, Reg. No. 55,071/
DATE SIGNED:	04/07/2015
Total Attachments: 3	
source=10253-701-323_Assignment#page1.tif	

source=10253-701-323_Assignment#page2.tif

source=10253-701-323_Assignment#page3.tif

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies): (1) Eric JOHNSON (2) Thomas FOGARTY (3) Frank ARKO (4) Jeff ELKINS (5) Martin SEERY Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies): Name: CRUX BIOMEDICAL, INC. 3721 Valley Centre Dr., Suite 500 San Diego, CA 92130	
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other EXECUTION DATE(S): (1) 06/22/2006 (2) 06/23/2006 (3) 06/19/2006 (4) 06/27/2006 (5) 06/22/2006		Name and address of receiving party(ies): Name: Street Address: City: State: Zip: Country: Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:			
A. Patent Application No.(s) – 13/931,256 Title: METHODS FOR MAINTAINING A FILTERING DEVICE WITHIN A LUMEN		B. Patent No.(s)	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Winston S. Chu Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403		6. Total number of applications and patents involved: <u>1</u>	
		7. Total fee (37 CFR 1.21(h)(1)): \$0.00 <input checked="" type="checkbox"/> None required. Please charge fees to Deposit Account No. 50-4050.	
DO NOT USE THIS SPACE			
8. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Winston S. Chu, Reg. No. 55,071  <u>April 7, 2015</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and documents: 3			

ASSIGNMENT OF APPLICATION

Socket Number 31688-701.207

Whereas, the undersigned:

1. JOHNSON, Eric
Woodside, CA
2. FOGARTY, Thomas
Portola Valley, CA
3. ARKO, Frank
Plano, TX
4. ELKINS, Jeff
Woodside, CA
5. SEERY, Martin
San Rafael, CA

hereinafter termed "Inventors", have invented certain new and useful improvements in

METHODS FOR MAINTAINING A FILTERING DEVICE WITHIN A LUMEN

- ☒ for which an application for United States Patent was filed on January 3, 2006, Application No. 11/325,249.
☐ for which a United States Patent issued on __, U.S. Patent No. __.

WHEREAS, Crux Biomedical, Inc., a corporation of the State of Delaware, having a place of business at 3270 Alpine Road, Portola Valley 94028, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:

Date: 6/22/06

Eric Johnson
Eric Johnson

Date: 6/23/06

Thomas Fogarty
Thomas Fogarty

Date: _____

Frank Arko
Frank Arko

Date: 6-27-06

Jeff Elkins
Jeff Elkins

Date: 6/22/06

Martin Seery
Martin Seery

ASSIGNMENT OF APPLICATION

Docket Number 31688-701.207

Whereas, the undersigned:

- | | | | | |
|----------------------------------|--|-----------------------------|---------------------------------|------------------------------------|
| 1. JOHNSON, Eric
Woodside, CA | 2. FOGARTY, Thomas
Portola Valley, CA | 3. ARKO, Frank
Plano, TX | 4. ELKINS, Jeff
Woodside, CA | 5. SEERY, Martin
San Rafael, CA |
|----------------------------------|--|-----------------------------|---------------------------------|------------------------------------|

hereinafter termed "Inventors", have invented certain new and useful improvements in

METHODS FOR MAINTAINING A FILTERING DEVICE WITHIN A LUMEN

- ☒ for which an application for United States Patent was filed on January 3, 2006, Application No. 11/325,249.
☐ for which a United States Patent issued on __, U.S. Patent No. __.

WHEREAS, Crux Biomedical, Inc., a corporation of the State of Delaware, having a place of business at 3270 Alpine Road, Portola Valley 94028, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:

Date: _____

Eric Johnson

Date: _____

Thomas Fogarty

Date: 6/19/2006

Frank Arko

Date: _____

Jeff Elkins

Date: _____

Martin Seery