

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3307685

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
STÉPHANE DE LOMBAERT	03/28/2015
Daniel R. Goldberg	03/26/2015
Kenneth Brameld	04/08/2015
Eric Brian Sjogren	03/24/2015
Andrew Scribner	03/23/2015
RECEIVING PARTY DATA	
Name:	KAROS PHARMACEUTICALS, INC.
Street Address:	5 SCIENCE PARK
Internal Address:	SUITE 2
City:	NEW HAVEN
State/Country:	CONNECTICUT
Postal Code:	06511
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14477948
CORRESPONDENCE DATA	
Fax Number:	(877)769-7945
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(617) 542-5070
Email:	apsi@fr.com
Correspondent Name:	CHRISTINE A. GODDARD
Address Line 1:	FISH & RICHARDSON P.C.
Address Line 2:	P.O.BOX 1022
Address Line 4:	MINNEAPOLIS, MINNESOTA 55440-1022
ATTORNEY DOCKET NUMBER:	38219-0003001 KAR0001-001
NAME OF SUBMITTER:	SUZANNE C. O'NEILL
SIGNATURE:	/Suzanne C. O'Neill/
DATE SIGNED:	04/13/2015

Total Attachments: 8

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ASSIGNMENT

For valuable consideration, the receipt of which we acknowledge, and intending to be bound legally, we, Stéphane De Lombaert, Daniel R. Goldberg, Kenneth Brameld, Eric Brian Sjogren, and Andrew Scribner, each individually assign to KAROS PHARMACEUTICALS, INC., a corporation formed under the laws of Delaware and having a principal place of business at 5 Science Park, Suite 2, New Haven, CT 06511, and its successors, transferees, and assignees (collectively the "Assignee"), all of our individual and joint right, title, and interest throughout the world in the subject matter (the "Subject Matter") of a patent application that names us as inventors, is titled "SPIROCYCLIC COMPOUNDS AS TRYPTOPHAN HYDROXYLASE INHIBITORS", and was filed in the United States Patent and Trademark Office on September 5, 2014, as application U.S. Serial No. 14/477,948 (the "Application").

This Assignment assigns (a) the Application and all other applications that may be made for, and all patents, utility models, design registrations, and other rights of exclusion and inventors' certificates for, any of the Subject Matter (collectively the "Applications and Granted Rights") in every country or region, (b) the right to claim priority based on and the benefit of the filing date of any of the Applications and Granted Rights under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other applicable treaties or conventions, and (c) the right to pursue, collect, and retain in the Assignee's name or otherwise, damages and any other remedies arising from any past, present, or future infringement of the Subject Matter, the Applications and Granted Rights, and any other rights assigned by this Assignment.

We authorize the Assignee to apply for and pursue protection for any or all of the Subject Matter, the Applications and Granted Rights, and any other rights assigned by this Assignment in all countries, regions, and territories of the world, in our names or in the Assignee's name.

We represent and warrant that we have the right and power to make this Assignment and that we have not made and will not make any other assignment that conflicts with this Assignment.

We will communicate to the Assignee (or at the request of the Assignee to other parties) all known facts in any form relating to the Subject Matter, will execute and provide all oaths and declarations, statements, testimony, assignments, powers of attorney, applications, and

documents, and will perform all other lawful acts necessary or desirable to secure fully to the Assignee the rights, titles, and interests assigned by this Assignment.


Stéphane De Lombaert

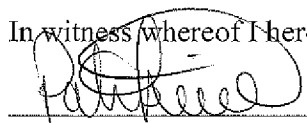
Date: March 28, 2015

State of Connecticut

County of New Haven ss. (Town/City)

On this 28th day of March, 2014, before me, the undersigned officer, personally appeared Stéphane De Lombaert, known to me (or satisfactorily proven) to be the person(s) whose name(s) (is or are) subscribed to the within instrument and acknowledged that (he, she or they) executed the same for the purposes therein contained.

In witness whereof I hereunto set my hand.


Signature of Notary Public My Commission Expires
Date Commission Expires: January 11, 2017


Daniel R. Goldberg

Date:

3/26/15

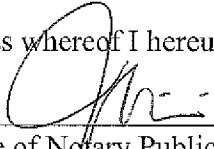
State of Connecticut

County of Fairfield

ss. (Town/City) Wilton

On this 26 day of March, 2014, before me, the undersigned officer, personally appeared Daniel R. Goldberg, known to me (or satisfactorily proven) to be the person(s) whose name(s) (is or are) subscribed to the within instrument and acknowledged that (he, she or they) executed the same for the purposes therein contained.

In witness whereof I hereunto set my hand.


Signature of Notary Public

My Commission Expires
October 31, 2017

Kenneth Brameld
Kenneth Brameld

Date: April 8, 2015

ACKNOWLEDGMENT

State of California
County of San Mateo

On 4-8-15 before me, Bharat P. Patel
(insert name and title of the officer)

personally appeared Kenneth Brameld
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in
his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the
person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature] (Seal)




Eric Brian Sjogren

Date: March 24, 2015

ACKNOWLEDGMENT

State of California
County of San Mateo)

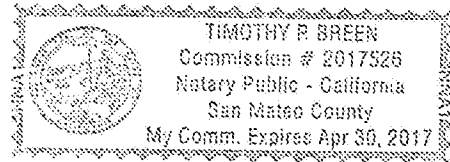
On March 24, 2015 before me, Timothy P. Breen, Notary Public
(insert name and title of the officer)

personally appeared Eric Brian Sjogren
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the
person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Signature Timothy P. Breen (Seal)



Andrew Scribner
Andrew Scribner

Date: MARCH 23, 2015

State of North Carolina

County of Durham ss. (Town/City)

On this 23 day of March, 201~~4~~⁵, before me, the undersigned officer, personally appeared Andrew Scribner, known to me (or satisfactorily proven) to be the person(s) whose name(s) (is or are) subscribed to the within instrument and acknowledged that (he, she or they) executed the same for the purposes therein contained.

In witness whereof I hereunto set my hand.

Julianne L. Royer
Signature of Notary Public Julianne L Royer
Date Commission Expires: 9/16/2018

The Assignee hereby acknowledges and accepts the foregoing assignment.

Assignee: KAROS PHARMACEUTICALS, INC.

Signature: 

Date: April 9, 2015

Name: Stéphane De Lombaert Ph.D.

Title: Chief Operating Officer & Head of Chemistry

State of Connecticut

County of New Haven ss. (Town/City) Branford

On this 9th day of April, 2015, before me, the undersigned officer, personally appeared (Name of individual or individuals), known to me (or satisfactorily proven) to be the person(s) whose name(s) (is or are) subscribed to the within instrument and acknowledged that (he, she or they) executed the same for the purposes therein contained.

In witness whereof I hereunto set my hand.


Signature of Notary Public

Date Commission Expires: My Commission Expires January 31, 2017

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