

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3310997

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KURT R. BRUNDEN	10/11/2012
ADAM T. HOYE	10/24/2012
VIRGINIA M.Y. LEE	10/11/2012
AMOS B. SMITH III	10/17/2012
JOHN Q. TROJANOWSKI	10/11/2012
CARLO BALLATORE	10/09/2012
RECEIVING PARTY DATA	
Name:	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA
Street Address:	3160 CHESTNUT STREET
Internal Address:	SUITE 200
City:	PHILADELPHIA
State/Country:	PENNSYLVANIA
Postal Code:	19104
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14429101
CORRESPONDENCE DATA	
Fax Number:	(215)568-3439
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	assignments@woodcock.com
Correspondent Name:	BAKER & HOSTETLER LLP
Address Line 1:	2929 ARCH STREET, CIRA CENTRE
Address Line 2:	12TH FLOOR
Address Line 4:	PHILADELPHIA, PENNSYLVANIA 19104
ATTORNEY DOCKET NUMBER:	103241.006034 / Y6355
NAME OF SUBMITTER:	VALERIE CHICCHI
SIGNATURE:	/Valerie Chicchi/
DATE SIGNED:	04/15/2015

Total Attachments: 12

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ASSIGNMENT

WHEREAS, I, **Carlo Ballatore**, a citizen of **Italy**, residing at **206 Admirals Way, Philadelphia, Pennsylvania 19146**, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number **UPN-5783 / Y6355** ("the invention"), assigned application number **61/702,800** filed **September 19, 2012**, which is entitled **HETEROCYCLIC COMPOUNDS AND THEIR USE FOR THE TREATMENT OF NEURODEGENERATIVE TAUOPATHIES** ("the subject application").

AND WHEREAS, **The Trustees Of The University Of Pennsylvania**, ASSIGNEE, having a place of business at **3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104**, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal

DOCKET NO.: UPN-5783 / Y6355

representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this 09 day of OCTOBER, year of 2012.



Signature – Carlo Ballatore

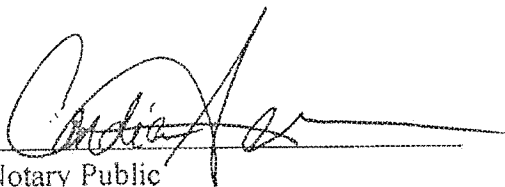
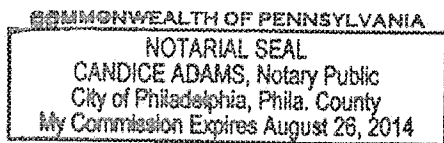
STATEMENT OF NOTARY PUBLIC

STATE OF Pennsylvania :

: SS

COUNTY OF Philadelphia :

On this 9th day of October, year of 2012, before me personally came the above named **Carlo Ballatore** to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.


Notary Public

ASSIGNMENT

WHEREAS, I, Kurt R. Brunden, a citizen of United States, residing at 308 Woodland Avenue, Media, Pennsylvania 19063, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number UPN-5783 / Y6355 ("the invention"), assigned application number 61/702,800 filed September 19, 2012, which is entitled HETEROCYCLIC COMPOUNDS AND THEIR USE FOR THE TREATMENT OF NEURODEGENERATIVE TAUOPATHIES ("the subject application").

AND WHEREAS, The Trustees Of The University Of Pennsylvania, ASSIGNEE, having a place of business at 3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal

DOCKET NO.: UPN-5783 / Y6355

representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this 11TH day of OCTOBER, year of 2012.

Kurt R. Brunden
Signature – Kurt R. Brunden

STATEMENT OF NOTARY PUBLIC

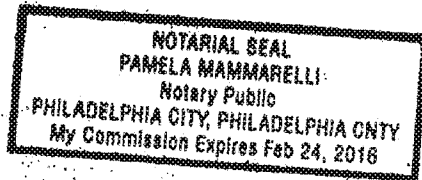
STATE OF Pennsylvania:

: SS

COUNTY OF Philadelphia:

On this 11 day of October, year of 2012, before me personally came the above named **Kurt R. Brunden** to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

Pamela Mammarelli
Notary Public



ASSIGNMENT

WHEREAS, I, **Adam T. Hoyer**, a citizen of **United States**, residing at **267 Rochelle Avenue, Apt. 1, Philadelphia, Pennsylvania 19128**, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number **UPN-5783 / Y6355** ("the invention"), assigned application number **61/702,800** filed **September 19, 2012**, which is entitled **HETEROCYCLIC COMPOUNDS AND THEIR USE FOR THE TREATMENT OF NEURODEGENERATIVE TAUOPATHIES** ("the subject application").

AND WHEREAS, **The Trustees Of The University Of Pennsylvania**, ASSIGNEE, having a place of business at **3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104**, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

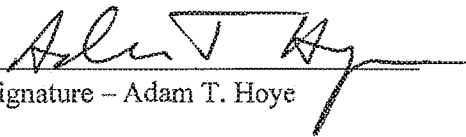
AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal

DOCKET NO.: UPN-5783 / Y6355

representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this 24 day of OCTOBER, year of 2012.


Signature - Adam T. Hoye

STATEMENT OF NOTARY PUBLIC

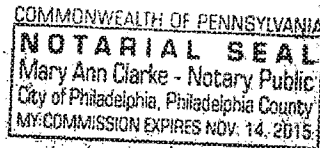
STATE OF Pennsylvania :

: SS

COUNTY OF Philadelphia :

On this 24 day of October, year of 2012, before me personally came the above named Adam T. Hoye to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.


Notary Public



ASSIGNMENT

WHEREAS, I, Virginia M.Y. Lee, a citizen of United States, residing at 2005 Pine Street, Philadelphia, Pennsylvania 19103, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number UPN-5783 / Y6355 ("the invention"), assigned application number 61/702,800 filed September 19, 2012, which is entitled **HETEROCYCLIC COMPOUNDS AND THEIR USE FOR THE TREATMENT OF NEURODEGENERATIVE TAUOPATHIES** ("the subject application").

AND WHEREAS, The Trustees Of The University Of Pennsylvania, ASSIGNEE, having a place of business at 3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

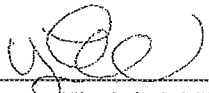
AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal

DOCKET NO.: UPN-5783 / Y6355

representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this 11th day of October, year of 2012.


Signature - Virginia M.Y. Lee

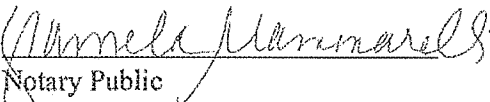
STATEMENT OF NOTARY PUBLIC

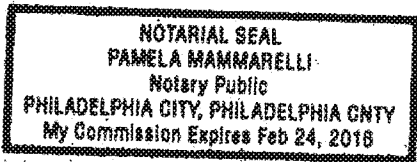
STATE OF Pennsylvania :

: SS

COUNTY OF Philadelphia :

On this 11 day of October, year of 2012, before me personally came the above named Virginia M.Y. Lee to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.


Notary Public



ASSIGNMENT

WHEREAS, I, **Amos B. Smith III**, a citizen of **United States**, residing at **517 General Lafayette Road, Merion, Pennsylvania 19066**, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number **UPN-5783 / Y6355** ("the invention"), assigned application number **61/702,800** filed **September 19, 2012**, which is entitled **HETEROCYCLIC COMPOUNDS AND THEIR USE FOR THE TREATMENT OF NEURODEGENERATIVE TAUOPATHIES** ("the subject application").

AND WHEREAS, **The Trustees Of The University Of Pennsylvania**, ASSIGNEE, having a place of business at **3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104**, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

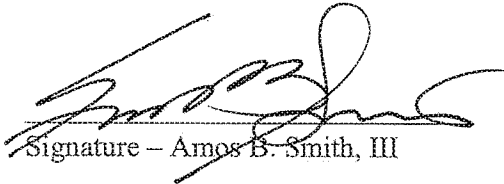
AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal

representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this 17 day of October, year of 2012.


Signature – Amos B. Smith, III

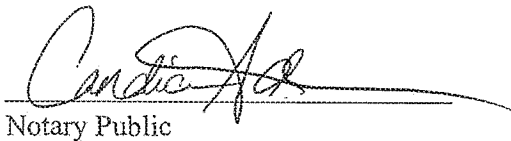
STATEMENT OF NOTARY PUBLIC

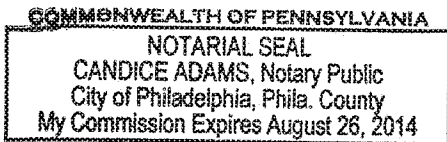
STATE OF Pennsylvania :

: SS

COUNTY OF Philadelphia :

On this 17th day of October, year of 2012, before me personally came the above named **Amos B. Smith, III** to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.


Notary Public



ASSIGNMENT

WHEREAS, I, **John Q. Trojanowski**, a citizen of **United States**, residing at **2005 Pine Street, Philadelphia, Pennsylvania 19103**, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number **UPN-5783 / Y6355** ("the invention"), assigned application number **61/702,800** filed **September 19, 2012**, which is entitled **HETEROCYCLIC COMPOUNDS AND THEIR USE FOR THE TREATMENT OF NEURODEGENERATIVE TAUOPATHIES** ("the subject application").

AND WHEREAS, **The Trustees Of The University Of Pennsylvania**, ASSIGNEE, having a place of business at **3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104**, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.


AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal

DOCKET NO.: UPN-5783 / Y6355

representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this 11 day of Oct, year of 2012.


Signature – John Q. Trojanowski

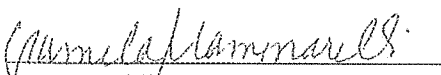
STATEMENT OF NOTARY PUBLIC

STATE OF Pennsylvania :

: SS

COUNTY OF Philadelphia :

On this 11 day of October, year of 2012, before me personally came the above named **John Q. Trojanowski** to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.


Notary Public

