## 503270763 04/20/2015

# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name                  | Execution Date |
|-----------------------|----------------|
| SCOTT RAYMOND FRAZIER | 01/12/2015     |
| BRIAN VON HERZEN      | 04/10/2015     |
| ALEX LAU              | 04/04/2015     |

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| State/Country:  | COLORADO                                |
| Postal Code:    | 80002                                   |

#### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 14596448 |

#### **CORRESPONDENCE DATA**

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| ATTORNEY DOCKET NUMBER: | 0810.012           |
|-------------------------|--------------------|
| NAME OF SUBMITTER:      | ROBYN L. TEMPLIN   |
| SIGNATURE:              | /Robyn L. Templin/ |
| DATE SIGNED:            | 04/20/2015         |

### **Total Attachments: 5**

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PATENT REEL: 035447 FRAME: 0156

| Datan | t |
|-------|---|

Attorney Docket No. 0810.012

For: U.S. and/or Foreign Rights

For: **■** U.S. Application or □ U.S. Patent

#### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration.

| ASSIGNORS  | (inventors):                                |                       |        |                                  |
|--|---|-----------------------|--------|----------------------------------|
| Scott Raymo  | ond Frazier                                 |                       |        | 8837 S. Fairall Rd.              |
| Name   |   | Morrison, CO 80465    |        |                                  |
|  |   |                       |        | Address                          |
| Brian Von Herzen 1353 Santa Cruz Drive   |   |                       |        |                                  |
|  |   | Minden, NV 89423      |        |                                  |
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| Alex Lau   |   |                       | _      | 5525 W. 56th Ave                 |
| Name   |   |                       | -      | Arvada, CO 80002                 |
|  |   |                       |        | Address                          |
|  |   |                       |        |                                  |
| hereby sell, a   | ssign, and tran                             | sfer to               |        |                                  |
| ASSIGNEE:  |   |                       |        |                                  |
| Bright Energy Storage Technologies, LLP of 5525 W. 56th Ave. Suite 200   |   |                       |        |                                  |
| a Delaware Limited Liability Partnership Arvada, CO 80002  |   |                       |        |                                  |
| (Type name of ASSIGNEE) Address  |   |                       |        |                                  |
| and the successors, assigns, and legal representatives of the ASSIGNEE   |   |                       |        |                                  |
| the entire right, title and interest   |   |                       |        |                                  |
| an undivided percent ( %) interest   |   |                       |        |                                  |
|  |   |                       |        |                                  |
| for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority in and to any and all improvements which are disclosed in the invention entitled: |   |                       |        |                                  |
| UNDERWATER ENERGY STORAGE USING COMPRESSED FLUID   |   |                       |        |                                  |
|  |   |                       |        |                                  |
| and which is found in  |   |                       |        |                                  |
| (a)  | □ U.:                                       | S. patent application | on exe | ecuted on even date herewith; or |
| (b)  | (b) U.S. patent application executed on; or |                       |        | ecuted on ; or                   |
|  |   |                       |        |                                  |

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DOCKET NUMBER: 0810.012

| (c) | *** | U.S. application serial no. <u>14/596,448</u> filed on <u>January 14, 2015</u> , and provisional U.S. application serial no. <u>61/927,634</u> filed on <u>January 15 2014</u> (if applicable).  |
|-----|-----|--|
|     |     | To comply with 37 CFR 3.21 for recording of this assignment, I as an ASSIGNOR signing below hereby authorize and request the Assignee or its delegated attorneys or agents to insert above the filing date and application number when it becomes known. |
| (d) |     | International application no. PCT/   |
| (e) |     | U.S. patent noissued   |
|     |     | ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  |
| (f) | *** | and any legal equivalent thereof in a foreign country, including the right to claim priority   |

and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or reexamination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof, which may be necessary or desirable to carry out the purposes thereof.

|  | <u>, ,//</u>                            | DOCKET NUMBER:                          | 0810.012                                |
|--|---|---|---|
| Signature:Scott Raymond Frazief              | now                                     | Date:                                   | 2-201\$                                 |
|  | constant.                               | Date:                                   | 12-2015_                                |
| Printed name of witness                      | - Sdendarti                             | <u>Z</u>                                |   |
| Witnessed by:                                | Signature                               | Date:                                   | 2-2015                                  |
| YV5 UF GURKA<br>Printed name of witness      | YNAK                                    |   |   |
| SECTION BELOW IS FOR                         | USE ONLY IF SIGN                        | ING IN PRESENCE OF A                    | NOTARY                                  |
| Signature: Scott Raymond Frazier             |   | Date:                                   |   |
| Subscribed and sworn to before a This day of | ne                                      |   |   |
| Notary Public State of: Commission Expires:  |   |   |   |
|  | *************************************** | *************************************** | *************************************** |
|  |   |   |   |
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|  |   |   |   |
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PATENT

REEL: 035447 FRAME: 0159

DOCKET NUMBER: 0810.012

| Signature: Signature: Brian Von Herzen            | Date: <u>April 10, 2015</u> |
|---|-----------------------------|
| Witnessed by:Signature                            | Date:                       |
| Printed name of witness                           | -                           |
| Witnessed by:Signature                            | Date:                       |
| Printed name of witness                           | -                           |
| SECTION BELOW IS FOR USE ONLY IF SIGNING IN       |                             |
| Signature:Brian Von Herzen                        | Date:                       |
| Subscribed and sworn to before me This day of, 20 |                             |
| Notary Public State of: Commission Expires:       |                             |

DOCKET NUMBER: 0810.012 Jell Date: 4/4/15 Signature: Alex Lau Witnessed by: Date: Signature Printed name of witness Witnessed by: \_\_\_\_\_\_Signature Printed name of witness SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY Signature: Date: Alex Lau Subscribed and swom to before me This \_\_\_\_\_day of \_\_\_\_\_\_, 20\_\_\_\_. Notary Public State of: Commission Expires:

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