

Form PTO-1595 (Rev. 06-12)
OMB No. 0651-0027 (exp. 04/30/2015)

04/17/2015



U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

4-17-15

103671973

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

THE UNIVERSITY OF STRATHCLYDE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 03/09/2011

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: ELEMENT SIX LIMITED

Internal Address: ISLE OF MAN FREEPORT

Street Address:

City: BALLASALLA

State:

Country: ISLE OF MAN Zip: IM99 6AQ

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

12/523,956

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: BENJAMIN J. SODEY

Internal Address: BRYAN CAVE LLP

Street Address: 211 N. BROADWAY, SUITE 3600

City: ST. LOUIS

State: MO Zip: 63102

Phone Number: 314-259-2446

Docket Number: PF5226USw0

Email Address: kahonold@bryancave.com

6. Total number of applications and patents involved:

7. Total fee (37 CFR 1.21(h) & 3.41) \$

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 02-4467

Authorized User Name Benjamin J. Sodey

9. Signature: /BENJAMIN J. SODEY/

April 17, 2015

Signature

Date

Benjamin J. Sodey

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Correction Assignment previously recorded at reel/frame 035313/0922 as follows:

2. Receiving Party address:

From: Element Six Limited
Isle of Man Freeport
Ballasalla
Isle of Man IM 99 6AQ

To: Element Six Limited
Isle of Man Freeport
Ballasalla
Isle of Man IM 99 6AQ

4. U.S. application number: from: 12/522,956 to: 12/523,956

Assignor confirms the assignment

503246638 04/01/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3293256

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
THE UNIVERSITY OF STRATHCLYDE	03/09/2011
RECEIVING PARTY DATA	
Name:	ELEMENT SIX LIMITED
Street Address:	ISLE OF MAN FEEPORT
City:	BALLASALLA
State/Country:	ISLE OF MAN
Postal Code:	IM99 6AQ
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12522956
CORRESPONDENCE DATA	
Fax Number:	(314)259-2020
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	314-259-2000
Email:	susan.murphy@bryancave.com
Correspondent Name:	BRYAN CAVE LLP
Address Line 1:	211 NORTH BROADWAY, SUITE 3600
Address Line 2:	BENJAMIN J. SODEY
Address Line 4:	ST. LOUIS, MISSOURI 63102
ATTORNEY DOCKET NUMBER:	PF5226-USW-0
NAME OF SUBMITTER:	BENJAMIN J. SODEY
SIGNATURE:	/Benjamin J. Sodey/
DATE SIGNED:	04/01/2015
Total Attachments: 2	
source=PF5226-USW-0 11-05-17 Doc 2 - Uni of Strathclyde to Element Six Ltd IMTEC#page1.tif	
source=PF5226-USW-0 11-05-17 Doc 2 - Uni of Strathclyde to Element Six Ltd IMTEC#page2.tif	

PF5226

ASSIGNMENT**PARTIES:**

- (1) **THE UNIVERSITY OF STRATHCLYDE**
incorporated by Royal Charter, a charitable body registered in Scotland with registration number SCO15263
and having its Principal Office at 16 Richmond Street, Glasgow G1 1XQ
(the "ASSIGNOR")
- (2) **ELEMENT SIX LIMITED** (registered in the Isle of Man under company no. 32530)
of Isle of Man Freeport, Ballasalla, Isle of Man IM99 6AQ
(the "ASSIGNEE")

WHEREAS:

- A. The ASSIGNEE is the applicant in respect of the patent application particularised in the Schedule hereto (the "APPLICATION").
- B. By virtue of an Assignment Agreement effective from 22 March 2007 between the parties, the ASSIGNOR has agreed to assign to the ASSIGNEE, upon request by the ASSIGNEE, all its right, title and interest in the invention (hereinafter referred to as the "INVENTION") that is the subject matter of the Patent Applications listed in the Schedule, and any patent granted pursuant to the Patent Applications or any other patent applications derived from the Patent Applications filed anywhere in the world (hereinafter referred to as the "APPLICATION").
- C. ASSIGNEE hereby requests assignment of the INVENTION and the APPLICATION.

IT IS AGREED THAT:

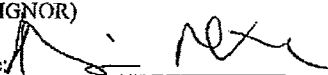
In consideration of the sum of US \$1 (one US dollar) paid by the ASSIGNEE to the ASSIGNOR, the receipt of which is hereby acknowledged:

1. The ASSIGNOR hereby assigns to the ASSIGNEE effective as of the date of the invention (the "EFFECTIVE DATE") and the ASSIGNEE hereby accepts from the EFFECTIVE DATE all the ASSIGNOR's property right title and interest in and to the INVENTION and the APPLICATION for all relevant states including without limitation:
 - (a) the right to apply for and obtain patents in respect of the INVENTION in any country in the world;
 - (b) all right title and interest in the APPLICATION and all patent applications, patents and supplementary protection certificates which may now or at any time in the future derive or result or claim priority from the same or otherwise relate to the INVENTION, including any divisionals, continuations, reissues, reexamination certificates, extensions, renewals and registrations in relation to any thereof and all and any other rights in the inventions comprised in any of the same (together referred to as the "PATENT RIGHTS");
 - (c) the benefit of all priority dates;
 - (d) the right to seek and obtain registrations in any country in the world in respect of any patent deriving from the APPLICATION or otherwise included in the PATENT RIGHTS;
 - (e) the right to sue for and obtain injunctive relief, damages and all other relief in respect of any infringement or misuse (whether past present or future) of any of the PATENT RIGHTS or of the rights conferred by publication of any of the same.
2. The ASSIGNOR hereby agrees to execute all such documents and do all such things as may be required by the law of any country in order to record the APPLICATION and any other PATENT RIGHTS in the name of the ASSIGNEE.
3. This Assignment shall be governed by English law.


SCHEDULE

Country	Application No (Application Date)	Inventors	Title	Status	References
GB	0705524.7 filed 22 March 2007	Chee-Leong LEE	Plasma Etching of Diamond Surfaces	abandoned – priority filing only	PF5226-GB-0
PCT	PCT/IB2008/ 050215 filed 22 January 2008 (published as WO2008090511 - 31 July 2008)	Erden GU Martin David DAWSON Geoffrey Alan SCARSBROOK		entered national phase	PF5226-WO-0
EP via PCT	08702485.7 filed 22 January 2008 (published as EP2108054 - 31 July 2008)	Ian FRIEL		pending	PF5226-EPw-0
Japan Via PCT	2009-546059 filed 22 January 2008 (published as JP2010-516601 - 31 July 2008)			pending	PF5226-JPw-0
US via PCT	12/523956 filed 22 January 2008 (published as 2010/0047519, 31 July 2008)			pending	PF5226-USw-0

Signed on behalf of
THE UNIVERSITY OF STRATHCLYDE
 (registered in Scotland)
 (the ASSIGNOR)

Signature: Name: ALISON McFARLANETitle: Deputy Director
Research & Knowledge Exchange ServicesDate: 9/3/11

Signed on behalf of
ELEMENT SIX LIMITED
 (registered in Isle of Man)
 (the ASSIGNEE)

Signature: Name: JOHN KILSHAWTitle: DIRECTORDate: 30.3.11