

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3320655

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	LINDSAY ROBERSON	04/15/2015
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	BSH Home Appliances Corporation	
<b>Street Address:</b>	1901 Main Street, Suite 600	
<b>City:</b>	Irvine	
<b>State/Country:</b>	CALIFORNIA	
<b>Postal Code:</b>	92614	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	29524617
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(949)809-6188	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	252-639-7644	
<b>Email:</b>	NBN-IntelProp@bshg.com	
<b>Correspondent Name:</b>	JAMES E. HOWARD	
<b>Address Line 1:</b>	100 BOSCH BLVD.	
<b>Address Line 4:</b>	NEW BERN, NORTH CAROLINA 28562	
<b>ATTORNEY DOCKET NUMBER:</b>	2011M00846US03	
<b>NAME OF SUBMITTER:</b>	JAMES E. HOWARD	
<b>SIGNATURE:</b>	/James E. Howard/	
<b>DATE SIGNED:</b>	04/22/2015	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 6</b>		
source=00846US03 Assignment Recordation#page1.tif		
source=00846US03 Assignment Recordation#page2.tif		
source=00846US03 Assignment Recordation#page3.tif		
source=00846US03 Assignment Recordation#page4.tif		
source=00846US03 Assignment Recordation#page5.tif		



## RECORDATION FORM COVER SHEET PATENTS ONLY

To Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies):

Lindsay Roberson  
Jeff Vogus

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 2. Name and address of receiving party(ies)

Name: BSH Home Appliances Corporation

Internal Address:

Street Address: 1901 Main Street, Suite 600

City: 92614 Irvine, California

State: United States

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s): 04/15/2015

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

### 4. Application or patent number(s):

A. Patent Application No.(s) 29/524,617

☒ This document serves as an Oath/Declaration (37 CFR 1.63).

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: James E. Howard  
Internal Address: BSH Home Appliances Corp.  
Street Address: 100 Bosch Blvd.  
City: New Bern  
State: NC  
ZIP: 28562  
Phone Number: +1-252-639-7644  
Docket Number: 2011M00846 US03  
Email Address: nbn-intelprop@bshg.com

### 6. Total number of applications and patents involved: ONE

7. Total Fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account No. 502786  
Authorized User Name James E. Howard

9. Signature: /James E. Howard/

Signature

04/22/2015

Date

James E. Howard

Name of Person Signing

Total number of pages including cover  
sheet, attachments, and documents:

06

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**ERKLÄRUNG UND ÜBERTRAGUNG / DECLARATION AND  
ASSIGNMENT**

**Titel der Erfindung/Title of the Invention: CUTLERY BASKET AND/OR  
COMPONENTS THEREOF**

Diese Erklärung betrifft:

☒ die beigefügte Anmeldung, oder

☐ US Anmeldenummer oder PCT  
Anmeldenummer \_\_\_\_\_  
eingereicht \_\_\_\_\_ am \_\_\_\_\_

This declaration is directed to:

☒ the attached application, or

☐ United States application or PCT international  
application number \_\_\_\_\_ filed on  
\_\_\_\_\_

Als unten benannter Erfinder erkläre ich hiermit:

As the below named inventor, I hereby declare that:

Die oben genannte Anmeldung wurde von mir  
vollbracht oder autorisiert.

The above-identified application was made or  
authorized to be made by me.

Ich glaube, dass ich der originale Erfinder oder ein  
originaler Miterfinder einer beanspruchten Erfindung  
in der Anmeldung bin.

I believe that I am the original inventor or an original  
joint inventor of a claimed invention in the application.

Ich bestätige hiermit, dass jede vorsätzliche falsche  
Aussage in dieser Erklärung gemäß 18 USC 1001  
durch Geldstrafe oder Freiheitsstrafe von nicht mehr  
als fünf (5) Jahren, oder beides, strafbar ist.

I hereby acknowledge that any willful false statement  
made in this declaration is punishable under 18  
U.S.C. 1001 by fine or imprisonment of not more than  
five (5) years, or both.

The English translation of the German language  
Declaration herein is an accurate English translation.

In Anbetracht der von mir/uns (ZEDENT) erhaltenen  
angemessenen Gegenleistung, deren Erhalt und  
Hinlänglichkeit hiermit bestätigt wird, verkauft und  
überträgt der ZEDENT hiermit auf:

In consideration of good and valuable consideration  
received by the undersigned (ASSIGNOR), the  
receipt and sufficiency of which is hereby  
acknowledged, ASSIGNOR hereby sell, assign and  
transfer to:

BSH Home Appliances Corporation  
1901 Main Street, Suite 600  
92614 Irvine, California  
United States  
(ZESSIONAR/ASSIGNEE)

sowie die Rechtsnachfolger, Zessionare und  
Rechtsvertreter des ZESSIONARS sämtliche Rechte  
und Ansprüche an der oben genannten Erfindung  
sowie an der oben bezeichneten Patentanmeldung  
und Patenturkunde, die durch die oben bezeichnete  
Patentanmeldung für die besagte Erfindung gewährt  
wird, und an jeder Patentanmeldung in der Welt die  
einen Prioritätsvorteil der oben bezeichneten  
Patentanmeldung beansprucht, einschließlich einer  
beliebigen Fortführung, Teilung, Verlängerung,  
teilweisen Fortführung, oder Ersetzung derselben,  
und, bezüglich der Patenturkunde, einer  
Neuertellung oder erneuten Prüfung derselben.

and the successors, assigns and legal representatives  
of the ASSIGNEE the entire right, title and interest in  
and to the invention identified above and in and to, the  
above-noted patent application and Letters Patent to be  
obtained for said invention by the above-noted patent  
application and any patent application in the world that  
claims a priority benefit of the above-noted application,  
including any continuation, divisional, or continuation-in-  
part application, renewal, or substitute thereof, and as  
to letters patent any reissue or re-examination thereof.


INDEX: 7028 / V: 20.11.2012 / B: LC

Der ZEDENT sichert hiermit zu, dass keine Abtretung, kein Verkauf, keine Vereinbarung oder Belastung besteht oder eingegangen wurde oder wird, die zu dieser Übertragung im Widerspruch steht.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

Der ZEDENT sichert des Weiteren zu, dass dem ZESSIONAR, auf dessen Verlangen, unverzüglich sämtliche relevanten Fakten und Dokumente zur Verfügung gestellt werden, die sich auf die genannte Erfindung bzw. Patenturkunde bzw. rechtliche Entsprechungen beziehen und die dem ZEDENTEN bekannt oder zugänglich sind, und dass letzterer den Sachverhalt im Falle einer Interferenz, Rechtsstreitigkeit oder eines diesbezüglichen Verfahrens bezeugen wird und dass er unverzüglich dem ZESSIONAR oder dessen Rechtsvertreter sämtliche Dokumente, Urkunden oder eidesstattlichen Erklärungen ausfertigt und aushändigt, die zur Anmeldung, Einholung, Aufrechterhaltung, Erteilung und Durchsetzung der besagten Anmeldung, Erfindung und Patenturkunde und der vorgenannten rechtlichen Entsprechungen derselben erforderlich sind und die zur Verwirklichung der Ziele derselben notwendig oder wünschenswert sind.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Legal Name of Inventor:	Inventor's Signature: Unterschrift des Erfinders	Signing Date: Datum der Unterzeichnung
Lindsay Roberson		4.15.15
Legal Name of Inventor:	Inventor's Signature: Unterschrift des Erfinders	Signing Date: Datum der Unterzeichnung
Jeff Vogus		
Legal Name of Inventor:	Inventor's Signature: Unterschrift des Erfinders	Signing Date: Datum der Unterzeichnung

IDNR: 7028 / V: 20.11.2012 / B: LC

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY  
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

<b>Title of Invention</b>	CUTLERY BASKET AND/OR COMPONENTS THEREOF		
This statement is directed to:			
<input checked="" type="checkbox"/> The attached application,			
OR			
<input type="checkbox"/> United States application or PCT international application number _____ filed on _____			
<b>LEGAL NAME of inventor to whom this substitute statement applies:</b>			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Jeff Vogus			
Residence (except for a deceased or legally incapacitated inventor):			
City	New Bern	State	NC
		Country	US
Mailing Address (except for a deceased or legally incapacitated inventor):			
3944 Judge Manly Drive			
City	New Bern	State	NC
		Zip	28562
		Country	US
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),			
<input checked="" type="checkbox"/> Assignee,			
<input type="checkbox"/> Person to whom the inventor is under an obligation to assign,			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT**  
**REEL: 035465 FRAME: 0633**

## SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- ☐ Inventor is deceased,  
☐ Inventor is under legal incapacity,  
☒ Inventor cannot be found or reached after diligent effort, or  
☐ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☒ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

### WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

### PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: **Andre Pallapies** Date (Optional): **4/22/2015**

Signature: **/Andre Pallapies/**

### APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer:

**BSH Home Appliance Corporation**

Applicant Name:

Title of Person Executing  
This Substitute Statement: **Intellectual Property Counsel**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City State Country

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

100 Bosch Blvd.

City **New Bern** State **NC** Zip **28562** Country **US**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**PATENT**