

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3328098

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|---|----------------------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                   |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                       |
| <b>CONVEYING PARTY DATA</b>   |                                  |
| <b>Name</b>   | <b>Execution Date</b>            |
| WILLIAM J. ALLEN  | 04/22/2002                       |
| ALAN B. BACHMAN   | 04/22/2002                       |
| SCOTT REED  | 04/22/2002                       |
| LELAND RAY ADAMS  | 04/22/2002                       |
| ROBERT R. STECKEL   | 04/26/2002                       |
| <b>RECEIVING PARTY DATA</b>   |                                  |
| <b>Name:</b>  | EDWARDS LIFESCIENCES CORPORATION |
| <b>Street Address:</b>  | ONE EDWARDS WAY                  |
| <b>Internal Address:</b>  | LEGAL DEPARTMENT                 |
| <b>City:</b>  | IRVINE                           |
| <b>State/Country:</b>   | CALIFORNIA                       |
| <b>Postal Code:</b>   | 92614                            |
| <b>PROPERTY NUMBERS Total: 1</b>  |                                  |
| <b>Property Type</b>  | <b>Number</b>                    |
| Application Number:   | 10423046                         |
| <b>CORRESPONDENCE DATA</b>  |                                  |
| <b>Fax Number:</b>  | (949)250-6885                    |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                                  |
| <b>Phone:</b>   | 9492506878                       |
| <b>Email:</b>   | sally_barrington@edwards.com     |
| <b>Correspondent Name:</b>  | EDWARDS LIFESCIENCES CORPORATION |
| <b>Address Line 1:</b>  | ONE EDWARDS WAY                  |
| <b>Address Line 2:</b>  | LEGAL DEPARTMENT                 |
| <b>Address Line 4:</b>  | IRVINE, CALIFORNIA 92614         |
| <b>ATTORNEY DOCKET NUMBER:</b>  | ADV-5496CON1                     |
| <b>NAME OF SUBMITTER:</b>   | DAVID L HAUSER                   |
| <b>SIGNATURE:</b>   | /David L Hauser/                 |
| <b>DATE SIGNED:</b>   | 04/27/2015                       |

**Total Attachments: 2**

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In consideration of one dollar (\$1.00) and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to EDWARDS LIFESCIENCES CORPORATION (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at One Edwards Way, Irvine, California, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in our invention or improvements in MINIMALLY INVASIVE MITRAL VALVE REPAIR SYSTEM METHOD AND APPARATUS, and the application for Letters Patent of the United States therefor, executed by each of us individually on the date(s) indicated below and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of said applications, and in any reissue, reexam or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said EDWARDS LIFESCIENCES CORPORATION

We hereby authorize and request the attorneys of record in said application to insert in this Assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and C-I-P applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue, reexam or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient. For said consideration we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division, continuation, or C-I-P thereof, or Letters Patent issued thereon, or any reexam, reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and for said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire rights, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

Date 4/22/02 Signature William J. Allen  
Typed Name: William J. Allen  
State of Connecticut, County of Fairfield

On April 22, 2002 before me, Brenda Stablain, Notary Public, personally appeared William J. Allen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Brenda Stablain (Seal)  
Signature of Notary

My Commission Exp. Feb. 28, 2006

Date 4/22/02 Signature Alan B. Bachman  
Typed Name: Alan B. Bachman  
State of Connecticut, County of Fairfield

On April 22, 2002 before me, Brenda Stablain, Notary Public, personally appeared Alan B. Bachman, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.  
WITNESS my hand and official seal.

Brenda Stablain (Seal)  
Signature of Notary

My Commission Exp. Feb. 28, 2006

Filed On: May 1, 2000

ASSIGNMENT  
(Joint Inventors)

Date 4/22/02 SignatureX Scott Reed  
Typed Name: Scott Reed  
State of Connecticut, County of Fairfield

On April 22, 2002 before me, Brenda Stabile, Notary Public, personally appeared Scott Reed, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Brenda Stabile (Seal)  
Signature of Notary

My Commission Exp. Feb. 28, 2006

Date 4/22/02 SignatureX Leland Ray Adams  
Typed Name: Leland Ray Adams  
State of Connecticut, County of Fairfield

On April 22, 2002 before me, Brenda Stabile, Notary Public, personally appeared Leland R. Adams, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Brenda Stabile (Seal)  
Signature of Notary

My Commission Exp. Feb. 28, 2006

Date 4/26/02 SignatureX Robert R. Steckel  
Typed Name: Robert R. Steckel  
State of Connecticut, County of Fairfield

On April 26, 2002 before me, Brenda Stabile, Notary Public, personally appeared Robert R. Steckel, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Brenda Stabile (Seal)  
Signature of Notary

My Commission Exp. Feb. 28, 2006