

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3334712

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
TOM TAO HUANG	04/29/2015
RECEIVING PARTY DATA	
Name:	SOPHORO BIOTECHNOLOGIES, LLC
Street Address:	1230 BORDEAUX DRIVE
City:	SUNNYVALE
State/Country:	CALIFORNIA
Postal Code:	94089
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14414957
CORRESPONDENCE DATA	
Fax Number:	(650)362-1802
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-517-3101
Email:	akumamoto@helixip.com
Correspondent Name:	ANDREW KUMAMOTO
Address Line 1:	1935 BELMONT AVENUE
Address Line 4:	SAN CARLOS, CALIFORNIA 94070
ATTORNEY DOCKET NUMBER:	SOPH.003
NAME OF SUBMITTER:	ANDREW KUMAMOTO
SIGNATURE:	/Andrew Kumamoto Reg # 40690/
DATE SIGNED:	04/30/2015
Total Attachments: 4	
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ASSIGNMENT

This Assignment is by and between

Tom Tao Huang, resident of 5322 Matthew Terrace, Fremont California, 94555;
(hereinafter termed "Assignor") and

SOPHORO BIOTECHNOLOGIES, INC. (hereinafter termed "Assignee"), a corporation duly organized under and pursuant to the laws of **State of California** and having a place of business at **1230 Bordeaux Drive, Sunnyvale California, 94089.**

WHEREAS, said Assignor has invented certain new and useful improvements in "**Carbohydrate Esters as Inducers for Gene Expression**" and has executed an application for a patent of the United States having Serial No. **14/414,957**, filing date of **January 15, 2015**, and

WHEREAS, said Assignee desires to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Assignor (all collectively hereinafter termed "said invention"), and in and to any and all patents, utility models, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries;

NOW, THEREFORE, in consideration of good and valuable consideration, receipt and sufficiency of which is acknowledged by said Assignor to have been received in full from said Assignee:

1. Said Assignor does hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to priority, and any priorities claimed by said application; (c) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention and all other treaties of like purposes; (d) in and to any and all applications filed and any and all patents and like rights of exclusion granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, continuation, or continuation-in-part of any of said applications; (e) in and to each and every reissue, reexamination, or extensions of any of said patents; and (f) in and to all rights and privileges of said patents, including provisional rights, and rights to damages, profits or recoveries of any nature for infringement of said patents.

2. Said Assignor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Assignor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation post grant proceedings, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignor, said Assignor's respective heirs, legal representatives and assigns.

4. Said Assignor hereby warrants and represents that he/she has full right to convey the entire right, title and interest by this instrument, and has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. If any provisions of this assignment are held by a court of competent jurisdiction to be invalid under any applicable statute or rule of law, they are to that extent to be deemed omitted and the remaining provisions of this instrument shall remain in full force and effect.

6. This instrument may be executed in multiple counterparts, any one of which will be considered an original, but all of which will constitute one and the same instrument.

IN WITNESS WHEREOF, this Assignment has been executed and delivered the date and year written to said Assignee.

Executed by Assignor:

Signature: Tom Tao Huang
Tom Tao Huang

Date: 9/29/15

Witnessed by: _____

Date: _____

Name (Print): _____

Witnessed by: _____

Date: _____

Name (Print): _____

(For use by Notary Public)

County of _____)

State of _____) SS.

On this _____ day of _____, in the year 2014, before me, _____
Notary Public, personally appeared Tom Tao Huang, who proved to me on the basis of satisfactory
evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the
instrument, the person or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

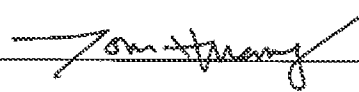
WITNESS my hand and official seal.

Signature _____
(Notary Public)

(Seal)

Executed by Assignee, acting by the undersigned, whose title is supplied below and who is acting under the authority of said Assignee:

Assignee: SOPHORO BIOTECHNOLOGIES, LLC Date: 7/29/15

Signature: 

Name: Tom Tao Huang

Title: President

(For use by Notary Public)

County of _____)
State of _____) SS.

On this _____ day of _____, in the year 2015, before me, _____, Notary Public, personally appeared Tom Tao Huang, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____
(Notary Public)

(Seal)