# 503290519 05/04/2015 PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3337137

| SUBMISSION TYPE:     | NEW ASSIGNMENT      |  |  |
|----------------------|---------------------|--|--|
| NATURE OF CONVEYANC  | E: ASSIGNMENT       |  |  |
| CONVEYING PARTY DAT  | A                   |  |  |
|                      | Name                | Execution Date                           |  |
| SOUMITRA ROY         |                     | 05/10/2011                               |  |
| JAMES M. WILSON      |                     | 05/16/2011                               |  |
| LUC H. VANDENBERGHE  |                     | 05/11/2011                               |  |
| RECEIVING PARTY DATA |                     |  |  |
|                      |                     | ISTEES OF THE UNIVERSITY OF PENNSYLVANIA |  |
| Street Address: 3    | 160 CHESTNUT STREET | CHESTNUT STREET                          |  |

| State/Country: PEI | ENNSYLVANIA |
|--------------------|-------------|
| Postal Code: 191   | 104         |

# **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 14548631 |

# CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.Phone:2155409200Email:docketing@howsoniplaw.comCorrespondent Name:HOWSON & HOWSON LLPAddress Line 1:350 SENTRY PARKWAYAddress Line 2:BUIL DING 620. SUITE 210

|                 | BOILDING DEO, COMEETO         |
|-----------------|-------------------------------|
| Address Line 4: | BLUE BELL, PENNSYLVANIA 19422 |

| ATTORNEY DOCKET NUMBER: | UPN_V4937C1      |
|-------------------------|------------------|
| NAME OF SUBMITTER:      | CATHY A. KODROFF |
| SIGNATURE:              | /CathyAKodroff/  |
| DATE SIGNED:            | 05/04/2015       |

# **Total Attachments: 6**

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#### UPN-U4937USA

#### Customer No. 00270

#### ASSIGNMENT

WHEREAS, Soumitra Roy, hereinafter referred to as an ASSIGNOR, together with coinventors, James M. Wilson and Luc H. Vandenberghe have invented and are the owners of an invention entitled SIMIAN ADENOVIRUSES SAdV-43, -45, -46, -47, -48, -49, AND -50 AND USES THEREOF, said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection with said invention, and which has been signed by ASSIGNOR concurrently herewith and assigned US National Stage Application No. 13/126,557, filed on April 28, 2011;

WHEREAS, The University of Pennsylvania, a non-profit corporation organized and existing under and by virtue of the laws of the state (Commonwealth) of Pennsylvania and engaged in business at 3160 Chestnut Street, Suite 200, Philadelphia, PA 19104-6283, hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefor;

NOW, THEREFORE, THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1.00) paid to ASSIGNOR by ASSIGNEE, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR has sold, assigned and set over, and hereby do sell, assign and set over, unto ASSIGNEE, its successors and assigns, the entire right, title and interest for, to and within the United States and all foreign countries, in and to the aforesaid invention and in and to any application for Letters Patent which ASSIGNEE at its expense may cause to be filed in the United States, under an international treaty, or in any foreign country, and in any United States or foreign patent which may be granted on said invention, including any extensions, divisions, reissues, continuations or continuations-in-part.

And ASSIGNOR hereby authorizes and requests the Director of the U.S. Patents and Trademarks to issue any and all Letters Patent of the United States to ASSIGNEE, its successors and assigns, as the owner of all right, title and interest therein.

And for said consideration, it is hereby covenanted and agreed that at the request and expense of ASSIGNEE, its successors and assigns, ASSIGNOR will execute any further papers and do such other acts and things as may be necessary and proper to procure Letters Patent for said invention in the United States and in such foreign countries as ASSIGNEE, its successors and assigns may elect, and vest the full title thereto in ASSIGNEE, its successors and assigns.

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IN WITNESS WHEREOF, this assignment has been executed on the date indicated below.

Date 10 May 2011

(SEAL) Soumitra Roy

Witness:

<u>Lained</u> Signature

CINDY SWETT Name

\*10

STokerkule 74, Amstardan Address 1019×B The Metholands.

Witness:

M

Signature

Taco Uij

William Booth Straut 8-IV Address 1066 RR Americand 1066 BB A-msterdam Netherlands

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### Customer No. 00270

### ASSIGNMENT

WHEREAS, Soumitra Roy, James M. Wilson, and Luk H. Vandenberghe, hereinafter referred to as ASSIGNORS, have invented and are the owners of an invention entitled **SIMIAN ADENOVIRUSES SAdV-43**, **-45**, **-46**, **-47**, **-48**, **-49**, **and -50 AND USES THEREOF** said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection and which has been assigned US Patent Application No. 13/126,557, filed April 28, 2011;

WHEREAS, The Trustees of the University of Pennsylvania, a corporation organized and existing under and by virtue of the laws of Pennsylvania and engaged in business at 3160 Chestnut Street, Suite 200, Philadelphia, PA 19104-6283, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefore;

NOW, THEREFORE, THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1.00) paid to ASSIGNORS by ASSIGNEE, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR has sold, assigned and set over, and hereby do sell, assign and set over, unto ASSIGNEE, its successors and assigns, the entire right, title and interest for, to and within the United States and all foreign countries, in and to the aforesaid invention and in and to any application for Letters Patent which ASSIGNEE at its expense may cause to be filed in the United States, under an international treaty, or in any foreign country, and in any United States or foreign patent which may be granted on said invention, including any extensions, non-provisionals, divisions, reissues, continuations, or continuations-in-part.

And ASSIGNORS hereby authorizes and requests the Director of the USPTO to issue any and all Letters Patent of the United States to ASSIGNEE, its successors and assigns, as owner of all right, title and interest therein;

And for said consideration, it is hereby covenanted and agreed that at the request and expense of ASSIGNEE, its successors and assigns, ASSIGNORS will execute any further papers and do such other acts and things as may be necessary and proper to procure Letters Patent of said invention in the United States and in such foreign countries as ASSIGNEE, its successors and assigns may elect, and vest the full title thereto in ASSIGNEE, its successors and assigns;

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IN WITNESS WHEREOF, this assignment has been executed on the dates indicated below.

Date

# SOUMITRA ROY

## NOTARIAL CERTIFICATE

: SS.

State of

County of

Before me, the undersigned, a notary public, in and for the county aforesaid, on this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, personally appeared **SOUMITRA ROY** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

Notary Public

IN WITNESS WHEREOF, this assignment has been executed on the dates indicated below.

Date 05/16/11

JAMES M.

### NOTARIAL CERTIFICATE

State of County o

Before me, the undersigned, a notary public, in and for the county aforesaid, on this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2011, personally appeared JAMES M. WILSON to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

Notary Public

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Teresa M. Dunn, Notary Public City of Philadelphia, Philadelphia County My commission expires August 12, 2011

IN WITNESS WHEREOF, this assignment has been executed on the dates indicated below.

Date 5/(1/200)

LUC H. VANDENBERGHE

NOTARIAL CERTIFICATE

State of County o

Before me, the undersigned, a notary public, in and for the county aforesaid, on this \_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_, 2011, personally appeared LUC H. VANDENBERGHE to me known to be the person described in and who executed the foregoing instrument and the acknowledged the execution of the same as a free act and

foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

19<u>19/12/18-19-18</u> Notary Public COMMERCIAL ACTION FERMIONINA PARIAL SEAL T. ICAR NI. DARY MOLAT PUBLIC and of chusek loss? Philadetshie County My compression & parts August 12, 2011