

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3339002

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
UTC POWER CORPOATION	06/25/2013
RECEIVING PARTY DATA	
Name:	UNITED TECHNOLOGIES CORPORATION
Street Address:	UNITED TECHNOLOGIES BUILDING
City:	HARTFORD
State/Country:	CONNECTICUT
Postal Code:	06101
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14435676
CORRESPONDENCE DATA	
Fax Number:	(206)682-6031
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Address Line 1:	701 FIFTH AVENUE
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Address Line 4:	SEATTLE, WASHINGTON 98104
ATTORNEY DOCKET NUMBER:	PA-12764/130609.610USPC
NAME OF SUBMITTER:	HAYLEY J. TALBERT
SIGNATURE:	/hayley j. talbert/
DATE SIGNED:	05/05/2015
Total Attachments: 9	
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AMENDMENT TO PATENT RIGHTS AGREEMENT

This Amendment Number One (1) to the Patent Rights Agreement ("Agreement") dated January 28, 2013 by and between and **UTC POWER CORPORATION**, a Delaware corporation ("Assignor" or "UTC Power") and **UNITED TECHNOLOGIES CORPORATION**, a Delaware corporation ("Assignee" or "UTC").

WITNESSETH

WHEREAS, the parties entered into the Agreement in contemplation of a sale of UTC Power by UTC to ClearEdge Power, Inc. ("CEP");

WHEREAS, subsequent to the execution of the Agreement, UTC did sell UTC Power to CEP;

WHEREAS, subsequent to such sale, Assignor changed its name to ClearEdge Power Corporation;

WHEREAS, while effecting the Agreement by separating the Patent Rights (a defined term in the Agreement) from the other intellectual property of UTC Power, discovered additional intellectual property that should have been assigned and transferred to UTC from UTC Power in advance of the sale of UTC Power to CEP;

WHEREAS, some of the additional intellectual property includes inventions for which patent applications have not yet been filed; and

WHEREAS, the parties wish to include the additional intellectual property in the Agreement.

NOW THEREFORE

In consideration of the mutual covenants contained herein, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree to the following:

1. Change the definition of "Patent Rights" to the following:

The inventions and the patents and patent applications embodying such inventions, together with the technical data embodied therein.

2. Add the attached Addendum to Schedule A of the Agreement.
3. All other terms and conditions of the Agreement remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the Parties have caused this Amendment to be signed by their duly authorized representatives effective as of the last date signed.

ASSIGNOR:

CLEAREDGE POWER CORPORATION
(Formerly UTC POWER CORPORATION)

Signature: [Signature]

Printed Name: TERENCE ADAMS

Title: Vice President, Technology Development

Date: 6-25-2013

ASSIGNEE:

UNITED TECHNOLOGIES CORPORATION

Signature: [Signature]

Printed Name: KELLY A. ROMANO

Title: VP, BUSINESS DEVELOPMENT

Date: 6/25/2013

ADDENDUM
TO
SCHEDULE A

Additional Transferred Patent Rights

FILE #	COUNTRY	APPLICATION #	STATUS
PA-0007296-DE	Germany	112010005582.3	Pending
PA-0009975-DE	Germany	112010005551.3	Pending
PA-0011103-DE	Germany	112010005560.2	Pending
PA-079.02952-DE	Germany	04 779 682.6	Issued
PA-079.03781-DE	Germany	06 849 079.6	Issued

FILE #	COUNTRY	APPLICATION #	STATUS
PA-0007296-IN	India	9310/DELNP/2012	Pending
PA-0009975-IN	India	8237/DELNP/2012	Pending
PA-0011103-IN	India		Pending

FILE #	COUNTRY	APPLICATION #	STATUS
PA-0005171-JP	Japan	2013-520693	Pending
PA-0008148-JP	Japan	2013-510056	Pending
PA-0008290-JP	Japan	2013-510052	Pending
PA-0008400-JP	Japan		Pending
PA-0011103-JP	Japan	2013-510050	Pending
PA-0022191-JP	Japan	2012-111402	Pending
PA-0024331-JP	Japan	PCT/JP2012/079446	Pending
PA-0024416-JP	Japan	JP2011-20129	Pending

FILE #	COUNTRY	APPLICATION #	STATUS
PA-0023517-WO	PCT	PCT/US12/67333	Pending
PA-0012764-WO	PCT	PCT/US12/61194	Pending
PA-0016358-WO	PCT	PCT/US12/42884	Pending
PA-0017780-WO	PCT	PCT/US12/71391	Pending
PA-0017786-WO	PCT	PCT/US12/71358	Pending
PA-0018177-WO	PCT	PCT/US12/61286	Pending
PA-0018861-WO	PCT	PCT/US12/54102	Pending
PA-0019757-WO	PCT	PCT/US13/20606	Pending
PA-0021915-WO	PCT	PCT/US12/62057	Pending
PA-0022315-WO	PCT	PCT/US12/71405	Pending
PA-0023488-WO	PCT	PCT/US12/67574	Pending
PA-0024416-WO	PCT	PCT/JP2011/076441	Pending
PA-0008146-WO	PCT	PCT/US2013/021240	Pending
PA-0017742-WO	PCT	PCT/US12/53086	Pending
PA-0018690-WO	PCT	PCT/US12/70287	Pending
PA-0019457-WO	PCT	PCT/US12/39232	Pending
PA-0019565-WO	PCT	PCT/US12/53827	Pending
PA-0021389-WO	PCT	PCT/US12/52589	Pending
PA-0021895-WO	PCT	PCT/US13/26671	Pending

FILE #	COUNTRY	APPLICATION #	STATUS
PA-0019213-US	United States of America	13/567,162	Pending
PA-0018980-US	United States of America	13/735,237	Pending
PA-0015101-US	United States of America	13/589,281	Pending
PA-0019561-US	United States of America	13/613,188	Pending
PA-0018188-US	United States of America	13/705,448	Pending
PA-0021919-US	United States of America	13/586,066	Pending
PA-0009792-US	United States of America	61/767,394	Pending
PA-0005171-US	United States of America	13/805,073	Pending
PA-0006457-US-AA	United States of America	13/783,820	Pending
PA-0007296-US	United States of America	13/261,523	Pending
PA-0007893-US	United States of America	13/697,879	Pending
PA-0008148-US	United States of America	13/635,425	Pending
PA-0008290-US	United States of America	13/635,423	Pending
PA-0008400-US	United States of America	13/818,873	Pending
PA-0009239-US	United States of America	13/641,723	Pending
PA-0009975-US	United States of America	13/641,132	Pending
PA-0011103-US	United States of America	13/261,510	Pending
PA-0013494-US	United States of America	13/820,790	Pending
PA-0014117-US	United States of America	13/818,366	Pending
PA-062,05712-US	United States of America	13/806,152	Pending
PA-079,02952-US-H	United States of America	13/592,937	Pending

FILE #	TITLE	STATUS
ID-0016323-US	Hydrophobic treatment of catalyst prior to ionomer-ink formation	Unfiled
ID-0017595-US	Oxidant dilution for membrane durability improvement	Unfiled
ID-0017719-US	Hydrophobic Channels in the CL of a PEMFC Cathode.docx	Unfiled
ID-0019133-US	Improved Utilized Cell Assembly Manufacturing Configuration	Unfiled
ID-0019179-US	Continuous impregnation and bonding of PEM CSA repeat components	Unfiled
ID-0019194-US	Add hydrophilic functional groups on carbon based gas diffusion layer to humidify dry gases and reduce water loss	Unfiled
ID-0019447-US	Microporous layer designs to optimize gas, liquid and water vapor transport in fuel cells	Unfiled
ID-0019688-US	gang-milled cell design using 3 pass-1 spindle or 1 spindle 3 pass	Unfiled
ID-0019799-US	Air Baffle	Unfiled
ID-0020496-US	SOFC Power Plant Concepts: DUO P and DUO E	Unfiled
ID-0021263-US	Fuel Cell Blower Purge	Unfiled
ID-0021327-US	Preferential alignment of fiber orientation in carbon paper (substrate or GDL) with flow-field to improve overall fuel cell stack assembly strength	Unfiled
ID-0022058-US	Methods to cross-link reinforced low EW perfluorinated sulfonimide polymer electrolytes	Unfiled
ID-0022180-US	Dielectric Pressure Plate, with Metal Load Spring	Unfiled
ID-0022187-US	Hydrophilic Additives in Gas Diffusion Layer	Unfiled
ID-0022254-US	Improved Lower Cost Dry-Laid Thin Fuel Cell Substrate	Unfiled
ID-0022465-US	gangmillable pre-IDFF channel mergers	Unfiled
ID-0023310-US	Reactor for Core-Shell Catalysts Mass Production	Unfiled
ID-0023519-US	Gasket or Seal alignment for Water Transport Plates	Unfiled
ID-0024775-US	Phosphate passivation for PAFC fuel cell manifolds	Unfiled

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