

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3344519

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KARTIK VENKATARAMAN	03/11/2014
JACQUES DUPARRE	03/12/2014
ROBERT MULLIS	03/11/2014
RECEIVING PARTY DATA	
Name:	PELICAN IMAGING CORPORATION
Street Address:	451 EL CAMINO REAL
Internal Address:	SUITE 100
City:	SANTA CLARA
State/Country:	CALIFORNIA
Postal Code:	95050
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14441503
CORRESPONDENCE DATA	
Fax Number:	(949)852-0004
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9498520000
Email:	pair@kppb.com
Correspondent Name:	KPPB LLP
Address Line 1:	2400 E. KATELLA AVENUE
Address Line 2:	SUITE 1050
Address Line 4:	ANAHEIM, CALIFORNIA 92806
ATTORNEY DOCKET NUMBER:	P16-02815
NAME OF SUBMITTER:	KATRINA LEE
SIGNATURE:	/Katrina Lee/
DATE SIGNED:	05/07/2015
Total Attachments: 7	
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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Kartik Venkataraman; Jacques Duparre;
Robert Mullis

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) Mar. 11, 2014; Mar. 12, 2014; Mar. 11, 2014

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Pelican Imaging Corporation

Internal Address: Suite 100

Street Address: 451 El Camino Real

City: Santa Clara

State: CA

Country: US Zip: 95050

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

14/441,503

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: KPPB LLP

Internal Address: Suite 1050

Street Address: 2400 E. Katella Avenue

City: Anaheim

State: CA Zip: 92806

Phone Number: 949.852.0000

Docket Number: P16-02815

Email Address: pair@kppb.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

/David J. Bailey/

May 7, 2015

Signature

Date

David J. Bailey

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 035591 FRAME: 0727

ASSIGNMENT

WHEREAS, WE, Kartik Venkataraman, Jacques Duparre, and Robert Mullis, have made certain new and useful inventions and improvements for which an application for Letters Patent of the United States entitled Array Camera Architecture Implementing Quantum Dot Color Filters has been or will be filed;

WHEREAS, Pelican Imaging Corporation, a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 450 Clyde Avenue, Mountain View, CA 94043 (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

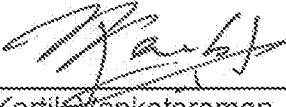
NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more

effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

IN WITNESS WHEREOF, I have hereunto set my hand this 11 day of March, 2014.



Kartik Venkataraman
1736 Indigo Oak Lane
San Jose, CA 95121

WITNESS: K. Herigstad

Print name Karen Herigstad

IN WITNESS WHEREOF, I have hereunto set my hand this _____ day of _____, 2014.

Jacques Duparre
Buchaer Str. 12c
07745 Jena, DE

WITNESS: _____

Print name _____

IN WITNESS WHEREOF, I have hereunto set my hand this 11th day of March, 2014.

Robert Mullis
Robert Mullis
130 Homestead Trail
Santa Cruz, CA 95060

WITNESS: K. Herigstad

Print name Karen Herigstad

ASSIGNMENT

WHEREAS, WE, Kartik Venkataraman, Jacques Duparre, and Robert Mullis, have made certain new and useful inventions and improvements for which an application for Letters Patent of the United States entitled Array Camera Architecture Implementing Quantum Dot Color Filters has been or will be filed;

WHEREAS, Pelican Imaging Corporation, a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 450 Clyde Avenue, Mountain View, CA 94043 (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more

effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

IN WITNESS WHEREOF, I have hereunto set my hand this _____ day of _____, 2014.

Kartik Venkataraman
1736 Indigo Oak Lane
San Jose, CA 95121

WITNESS: _____

Print name _____

IN WITNESS WHEREOF, I have hereunto set my hand this 12th day of March, 2014.



Jacques Duparre
Buchaer Str. 12c
07745 Jena, DE

WITNESS: S. Duparre

Print name SANDRA DUPARRE

IN WITNESS WHEREOF, I have hereunto set my hand this _____ day of _____, 2014.

Robert Mullis
130 Homestead Trail
Santa Cruz, CA 95060

WITNESS: _____

Print name _____
