

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3346275

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DENNIS A. CARSON	09/13/2013
HOWARD B. COTTAM	09/13/2013
TOMOKO HAYASHI	09/13/2013
MICHAEL CHAN	09/13/2013
MARY P. CORR	09/13/2013
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN ST. 5TH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14671820
CORRESPONDENCE DATA	
Fax Number:	(858)314-1501
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	8583141500
Email:	LAHO@MINTZ.COM
Correspondent Name:	STEVEN C. KOERBER
Address Line 1:	3580 CARMEL MOUNTAIN ROAD SUITE 300
Address Line 2:	MINTZ LEVIN
Address Line 4:	SAN DIEGO, CALIFORNIA 92130
ATTORNEY DOCKET NUMBER:	48537-528N01US
NAME OF SUBMITTER:	STEVEN C. KOERBER, REG. NO. 54,233
SIGNATURE:	/STEVEN C. KOERBER, REG. NO. 54,233/
DATE SIGNED:	05/08/2015
Total Attachments: 6	

source=48537-528N01 Executed Assignment from Provisional#page1.tif
source=48537-528N01 Executed Assignment from Provisional#page2.tif
source=48537-528N01 Executed Assignment from Provisional#page3.tif
source=48537-528N01 Executed Assignment from Provisional#page4.tif
source=48537-528N01 Executed Assignment from Provisional#page5.tif
source=48537-528N01 Executed Assignment from Provisional#page6.tif

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS

Dennis A. Carson, Howard B. Cottam, Tomoko Hayashi, Michael Chan, and Mary P. Corr

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin St. 5th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to any and all improvements which are disclosed in the invention entitled

NOVEL IMMUNE ACTIVATORS

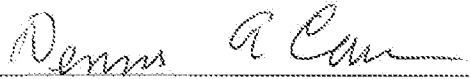
and which are found in U.S. Provisional Application Serial No. 61/824,540 filed on **May 17, 2013**, entitled as above and listing the above named persons as inventors, and any legal equivalent thereof in a foreign country, including the right to claim priority and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, divisional, continuation-in-part, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

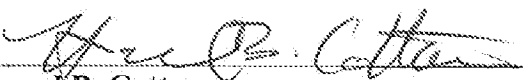
AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this 12 day of SEPT, 2013



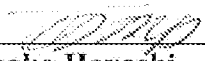
Dennis A. Carson
Residence Address:
9672 Clairborne Square
Address
La Jolla CA 92037
City, State and Zip
Citizenship: US

Executed this 13 day of SEPT, 2013



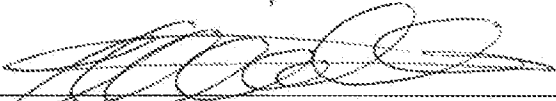
Howard B. Cottam
Residence Address:
3261 ROSEWOOD LN
Address
ESCONDIDO, CA 92027
City, State and Zip
Citizenship: U.S.

Executed this 19 day of SEPT, 2013



Tomoko Hayashi
Residence Address:
13055 Maritime Pl
Address
San Diego CA 92130
City, State and Zip
Citizenship: Japan

Executed this 13 day of SEPT, 2013



Michael Chan
Residence Address:
7545 Charentant Dr. #1514
Address
San Diego CA 92122
City, State and Zip
Citizenship: U.S.A.

Executed this _____ day of _____, 2013

Mary P. Corr

Residence Address:

Address

City, State and Zip

Citizenship: _____

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS

Dennis A. Carson, Howard B. Cottam, Tomoko Hayashi, Michael Chan, and Mary P. Corr

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin St. 5th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to any and all improvements which are disclosed in the invention entitled

NOVEL IMMUNE ACTIVATORS

and which are found in U.S. Provisional Application Serial No. 61/824,540 filed on May 17, 2013, entitled as above and listing the above named persons as inventors, and any legal equivalent thereof in a foreign country, including the right to claim priority and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, divisional, continuation-in-part, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this ____ day of _____, 2013

Dennis A. Carson
Residence Address:

Address

City, State and Zip

Citizenship:

Executed this ____ day of _____, 2013

Howard B. Cottam
Residence Address:

Address

City, State and Zip

Citizenship:

Executed this ____ day of _____, 2013

Tomoko Hayashi
Residence Address:

Address

City, State and Zip

Citizenship:

Executed this ____ day of _____, 2013


Michael Chan
Residence Address:

Address

City, State and Zip

Citizenship:

Executed this 13 day of Sept, 2013



Mary P. Corr
Residence Address:
10686 Mira Lago Terrace

Address
San Diego, CA 92131

City, State and Zip
Citizenship: USA

MC 9/13/2013
Page 3 of 23