

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3346888

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|---|-------------------------------------|-----------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | |
| NATURE OF CONVEYANCE: | ASSIGNMENT | |
| CONVEYING PARTY DATA | | |
| | Name | Execution Date |
| | YOON KHEI HO | 11/20/2013 |
| | LIHAN ZHOU | 11/20/2013 |
| RECEIVING PARTY DATA | | |
| Name: | NATIONAL UNIVERSITY OF SINGAPORE | |
| Street Address: | 21 LOWER KENT RIDGE ROAD | |
| City: | SINGAPORE, 119077 | |
| State/Country: | SINGAPORE | |
| PROPERTY NUMBERS Total: 1 | | |
| | Property Type | Number |
| | Application Number: | 14439330 |
| CORRESPONDENCE DATA | | |
| Fax Number: | | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | |
| Email: | request@slwip.com | |
| Correspondent Name: | SCHWEGMAN LUNDBERG & WOESSNER, P.A. | |
| Address Line 1: | PO BOX 2938 | |
| Address Line 4: | MINNEAPOLIS, MINNESOTA 55402 | |
| ATTORNEY DOCKET NUMBER: | 3145.106US1 | |
| NAME OF SUBMITTER: | ERIKA L. WATKINS | |
| SIGNATURE: | / Erika L. Watkins / | |
| DATE SIGNED: | 05/11/2015 | |
| Total Attachments: 4 | | |
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| source=3145106US1_ASSN_NUS#page2.tif | | |
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| source=3145106US1_ASSN_NUS#page4.tif | | |

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 3145.106US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yoon Khei Ho, Lihan Zhou

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: November 20, 2013, November 20, 2013

2. Name and address of receiving party(ies):

Name: National University of Singapore

Street Address: 21 Lower Kent Ridge Road

City: Singapore, 119077

Country: Singapore

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 14/439,330

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Monique M. Perdok

Address:

Schwegman Lundberg & Woessner, P.A.

P.O. Box 2938

Minneapolis, MN 55402--0938

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):\$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account 19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Monique M. Perdok/Reg. No. 42,989

Name of Person Signing

Signature

May 6, 2015

Date

Total number of pages including cover sheet: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Mail Stop Assignment Recordation Services

P.O. Box 1450

Alexandria, VA 22313-1450

PATENT
REEL: 035604 FRAME: 0289

DEED OF ASSIGNMENT

THIS DEED made the 29th day of October two thousand and thirteen (2013)
BETWEEN,

- (1) HO, Yoon Khei of
c/o Department of Biochemistry, Yong Loo Lin School Of Medicine,
National University Of Singapore, 8 Medical Drive, Blk MD7, #05-04
Singapore 117597
- (2) ZHOU, Lihan of
c/o Department of Biochemistry, Yong Loo Lin School Of Medicine,
National University Of Singapore, 8 Medical Drive, Blk MD7, #05-04
Singapore 117597

[hereinafter referred to as "the Assignors"]

AND

- (1) National University of Singapore
21 Lower Kent Ridge Road
Singapore 119077

[hereinafter referred to as "the Assignee"]

WHEREAS the Assignors warrant that the Assignors are the inventors of an invention relating to an invention entitled "A Novel Reagent For Gene-Drug Therapeutics" [hereinafter referred to as "the Invention"], the subject of International Patent Application No. **PCT/SG2013/000464**, including the designation of the United States of America [hereinafter referred to as "the Application"]

AND WHEREAS the Assignors have agreed to assign to the Assignee and the Assignee desires to take assignment of all rights, title and interest of the Assignors in and to the Invention and the Application,

NOW THIS DEED WITNESSETH

1. In consideration of the sum of one dollar (\$1) and other good and valuable consideration receipt of which is hereby acknowledged by the Assignors, the Assignors hereby assign to the Assignee all of his rights, title and interest in and to the Invention and the Application including:

- (a) all rights and powers to make one or more applications for Letters Patent or other form of protection in the name of the Assignee in Singapore, the United States of America and in any other country of the world in respect of the Invention, and all rights, title and interest in and to any Letters Patent or other form of protection to mature from any such application,
- (b) the rights and powers to prosecute the Application and all rights, title and interest in and to any Patent or Patents granted thereon together with any extension of the term of any such Patent or Patents as may be granted, SAVE THAT nothing in this Deed shall prevent the filing or proceeding of an Application to which this Deed relates, in the name of the Assignors or Inventors where such filing or proceeding of such Application is beneficial to the interests of the Assignee
- (c) the rights and powers to seek protection in countries other than Singapore by way of Patent, Petty Patent, Utility Model, Design Patent or other appropriate form of protection for the invention, by way of application(s) for such protection made to the appropriate authorities in such other country or countries as the Assignee in its complete discretion may elect, whether or not any such foreign application(s) be made claiming International Convention priority from the Application hereby assigned
- (d) any Copyright owned by the Assignors in and to any drawings relating to the subject matter of the Invention together with the rights and powers such as subsist at the date of this Deed
- (e) to apply for registration of Copyright, Design, Design-Patent or like protection in the name of the Assignee in Singapore and in any other country of the world in respect of the subject matter of the said drawings and to any registration of Copyright, Design-Patent or like protection which matures from any such application, together with the rights to sue in respect of any infringement of that Copyright which may have occurred before the date of this Deed

2. The Assignors agree, at the expense of the Assignee, to sign, execute and deliver all documents, forms and papers reasonably required to be produced or obtained by the Assignee in connection with any application for Letters Patent or other form of protection for the subject matter of the Invention, in Singapore or elsewhere.

IN WITNESS WHEREOF the Assignors and Assignee executed this Deed the day and year first hereinbefore written.

ASSIGNORS

SIGNED, SEALED and DELIVERED

By the said **HO, Yoon Khai**

in the presence of:

(Witness)

SIGNED, SEALED and DELIVERED

By the said **ZHOU, Lihan**

in the presence of:

(Witness)

ASSIGNEE

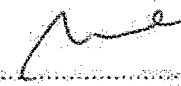
FOR AND ON BEHALF OF

By the said **National University of**

Singapore

in the presence of:

(Witness)


Irene Cheong
Director
Industry Liaison Office
NUS