

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3351944

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOHN M. ADAMS	08/12/2009
DANIEL HAWKINS	08/21/2009
CLIFTON A. ALFERNES	07/08/2009
RECEIVING PARTY DATA	
Name:	DJT, LLC
Street Address:	1807 SANTA RITA ROAD, D205
City:	PLEASANTON
State/Country:	CALIFORNIA
Postal Code:	94566
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14660539
CORRESPONDENCE DATA	
Fax Number:	(650)494-0792
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(415) 268-6003
Email:	kcheung@mofo.com
Correspondent Name:	MICHAEL A. STALLMAN
Address Line 1:	MORRISON & FOERSTER LLP
Address Line 2:	425 MARKET STREET
Address Line 4:	SAN FRANCISCO, CALIFORNIA 94105
ATTORNEY DOCKET NUMBER:	690262000402
NAME OF SUBMITTER:	MICHAEL A. STALLMAN
SIGNATURE:	/Michael Stallman/
DATE SIGNED:	05/13/2015
Total Attachments: 3	
source=69026-20004.00 Executed Assignment_TO FILE#page1.tif	
source=69026-20004.00 Executed Assignment_TO FILE#page2.tif	
source=69026-20004.00 Executed Assignment_TO FILE#page3.tif	

ASSIGNMENT OF APPLICATION		Docket Number
WHEREAS, the undersigned:		
1. Hawkins, Daniel 15309 SE 82nd Street Newcastle, WA 98059	2. Alfness, Clifton A. 5945 Marymac Dr. SW Port Orchard, WA 98367	3. Adams, John M. 18023 Fales Road Snohomish, WA 98296
(hereinafter "Inventor(s)"), have invented certain new and useful improvements in		
SHOCKWAVE BALLOON CATHETER SYSTEM		
☐ for which a United States patent application is executed on even date herewith; ☒ for which Application No. 12/482995 was filed on 06/11/09 in the United States Patent Office; ☐ for which Application No. _____ was filed on _____ in the U.S. Receiving Office of the Patent Cooperation Treaty; ☐ for which Application No. _____ was filed on _____ in the _____ Patent Office; and/or ☐ for which an application was filed upon which a United States Patent issued on _____ U.S. Patent No. _____ (hereinafter "Application(s)").		
limited liability company date: <u>6-24-13</u> Delaware initial: <u>DA</u> WHEREAS, DJT, LLC, a corporation of the State of Delaware, having a place of business at <u>1807 Santa Rita Road, D205, Pleasanton, California 94566</u> , is desirous of acquiring the entire right, title and interest in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter "Patent(s)") thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty.		
NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:		
1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions, including the right to claim priority to said Inventions; (b) in and to all rights to all United States and corresponding non-United States patent applications and Patent(s), including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said Inventions in the United States, in any foreign country, or under any international convention, agreement, protocol, or treaty, including each and every application filed and any and all Patent(s) granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s).		
2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.		
3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.		
4. Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.		
5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.		
IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:		
initial: <u>DA</u> date: <u>6-24-13</u>	<u>Daniel Hawkins</u> Daniel Hawkins Date: _____ Clifton A. Alfness	Date: _____ John M. Adams
RECEIVED AND AGREED TO BY ASSIGNEE:		
Date: _____ By: _____ Name: _____ Title: _____		

475254_1.DOC

ASSIGNMENT OF APPLICATION

Decker Number

WHEREAS the undersigned,

INITIALS

DATE 6/26/13

1. Inventor, Daniel
ADDRESS

2. Assignee, United A
ADDRESS

3. Assignee, John M
ADDRESS

7745 MARINE DRIVE, PORT BAYHARD, WA, 98367

(hereinafter "Inventor(s)") have invented certain new and useful improvements in

SHOCKWAVE BALLOON CATHETER SYSTEM

- ☐ for which a United States patent application is executed on even date herewith;
- ☒ for which Application No. 12482975 was filed on 06/11/09 in the United States Patent Office;
- ☐ for which Application No. _____ was filed on _____ in the U.S. Receiving Office of the Patent Cooperation Treaty;
- ☐ for which Application No. _____ was filed on _____ in the _____ Patent Office; and/or
- ☐ for which an application was filed upon which a United States Patent issued on _____ at U.S. Patent No. _____

INITIALS: JMA
DATE: 6/26/13

(hereinafter "Application(s)")
IN WITNESS WHEREOF, the undersigned, Daniel, have hereunto set their hands and seals at the City of Port Bayhard, Washington, on the 26th day of June, 2013.

WHEREAS, DNT, LLC, a corporation of the State OR Commonwealth of _____, having a place of business at _____, hereinafter "Assignee", is desirous of acquiring the entire right, title and interest in and to said Application(s) and the inventions disclosed therein; and in and to all embodiments of the inventions, hereinafter conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventor(s)"), and in and to any and all patents, inventions, improvements and other forms of protection (hereinafter "Patent(s)") thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said inventions, including the right to claim priority to said Invention(s); (b) in and to all rights in all United States and corresponding non-United States patent applications and Patents(s), including those filed under the Paris Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, or otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said Invention(s) in the United States, in any foreign country, or under any international convention, agreement, protocol, or treaty, including each and every application filed and any and all Patents(s) granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extension of any of said Patent(s).

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of affidavits, oaths, sworn statements, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for performing in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitutions, divisions, continuations or additional applications covering said Inventions; (d) for filing and prosecuting applications for reexamination of any said Patent(s); (e) for the maintenance or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall issue to the benefit of said Assignee, or successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

4. Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or other agreement in conflict herewith.

5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below.

Date

Daniel Hawkins

Date

John M. Adams

Date

Chief of Assignee

RECEIVED AND AGREED TO BY ASSIGNEE:

Date

By

Name
Title

ASSIGNMENT OF APPLICATION

Docket Number

WHEREAS, the undersigned:

1. Hawkins, Daniel
15309 SE 82nd Street
Newcastle, WA 98059

2. Alferness, Clifton A.
5945 Marymac Dr. SW
Port Orchard, WA 98367

3. Adams, John M.
18023 Fales Road
Snobomish, WA 98296

(hereinafter "Inventor(s)"), have invented certain new and useful improvements in

SHOCKWAVE BALLOON CATHETER SYSTEM

- ☐ for which a United States patent application is executed on even date herewith;
- ☒ for which Application No. 12/482995 was filed on 06/11/09 in the United States Patent Office;
- ☐ for which Application No. _____ was filed on _____ in the U.S. Receiving Office of the Patent Cooperation Treaty;
- ☐ for which Application No. _____ was filed on _____ in the _____ Patent Office; and/or
- ☐ for which an application was filed upon which a United States Patent issued on _____ as U.S. Patent No. _____

initial: *JA*
date: June 24, 2013

(hereinafter "Assignee(s)"), limited liability company date: 6-24-13 Delaware initial: *JA* 1807 Santa Rita Road, D205, Pleasanton, California 94566

WHEREAS, DJT, LLC, a corporation of the State OR Commonwealth of _____, having a place of business at _____, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter "Patent(s)") thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions, including the right to claim priority to said Inventions; (b) in and to all rights to all United States and corresponding non-United States patent applications and Patent(s), including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said Inventions in the United States, in any foreign country, or under any international convention, agreement, protocol, or treaty, including each and every application filed and any and all Patent(s) granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s).

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

4. Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Date: _____ Daniel Hawkins

Date: 8-12-09 *John M. Adams*
John M. Adams

Date: _____ Clifton A. Alferness

RECEIVED AND AGREED TO BY ASSIGNEE:

Date: _____

By: _____
Name: _____
Title: _____