503309858 05/15/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3356477

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
JEREMY E. TURNBULL	10/15/2013

RECEIVING PARTY DATA

Name:	THE UNIVERSITY OF LIVERPOOL	
Street Address:	765 BROWNLOW HILL	
Internal Address:	THE FOUNDATION BUILDING	
City:	LIVERPOOL	
State/Country:	GREAT BRITAIN	
Postal Code:	ode: L69 7ZX	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14001399

CORRESPONDENCE DATA

Fax Number: (650)327-3231

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Email: ahamby@bozpat.com

Correspondent Name: BOZICEVIC FIELD & FRANCIS LLP

Address Line 1: 1900 UNIVERSITY AVE

Address Line 2: STE 200

Address Line 4: EAST PALO ALTO, CALIFORNIA 94303

ATTORNEY DOCKET NUMBER:	CATA-001	
NAME OF SUBMITTER: MICHAEL B. RUBIN		
SIGNATURE: /Michael B. Ruben, Reg. No. 61231/		
DATE SIGNED:	05/15/2015	

Total Attachments: 2

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PATENT 503309858 REEL: 035653 FRAME: 0191

ASSIGNMENT OF APPLICATION (SOLE)

Atty Docket No. CATA-001

THIS ASSIGNMENT, by Jeremy E. Turnbull (hereinafter referred to as the assignor), residing in Shropshire, Great Britain, witnesseth:

WHEREAS, the said assignor has invented certain new and useful improvements in:

"Oligosaccharide Compounds"

X filed on March 9, 2012 as PCT International Application No. PCT/NZ2012/000035 designating the United States.

WHEREAS, The University of Liverpool a university duly organized under and pursuant to the laws of Great Britain, and having its principal place of business at The Foundation Building, 765 Brownlow Hill, Liverpool, L69 7ZX Great Britain (hereinafter referred to as the assignee) is desirous of acquiring their right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assigner has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, all of their right title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, said assignor hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

Date 15 (6 13	Name of Inventor Jeremy E. Turnbull	
Hereby accepted on behalf of the	e assignee U	
Signature		-
Date	Name (print)	-
Title	The University of Livergool	

ASSIGNMENT OF APPLICATION (SOLE)

Atty Docket No. CATA-001

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NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, all of their right title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, said assignor hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND the said assigner hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

Date Name of Inventor	· · · · · · · · · · · · · · · · · · ·
	Jeremy E. Turnbull
Hereby accepted on behalf of the assignee	
AC Mandet	
Signature	
17/10/13	A C UNSWORTH
Date	Name (print)
IP MANAGER Title	The University of Liverpool

PATENT REEL: 035653 FRAME: 0193

RECORDED: 05/15/2015