

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3360473

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
Masahiro SUGESAWA	04/20/2015
Tomoyuki FUKUNAGA	04/20/2015
Yoshikazu SUMI	04/16/2015
Masayuki HATTORI	04/16/2015
Kuniharu MATSUDA	04/15/2015
Akira NISHIKAWA	04/20/2015
Hiroki TANAKA	04/15/2015
Hiroyuki ISHIKURA	04/16/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	Nippon Sharyo, Ltd.
<b>Street Address:</b>	1-1, Sanbonmatsu-cho
<b>Internal Address:</b>	Atsuta-ku
<b>City:</b>	Nagoya-shi, Aichi
<b>State/Country:</b>	Japan
<b>Postal Code:</b>	456-8691
<b>Name:</b>	Central Japan Railway Company
<b>Street Address:</b>	1-1-4, Meieki
<b>Internal Address:</b>	Nakamura-ku
<b>City:</b>	Nagoya-shi, Aichi
<b>State/Country:</b>	Japan
<b>Postal Code:</b>	450-6101
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	29521002
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(703)836-2787
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	703-836-6400

PATENT

<b>Email:</b>	email@oliff.com
<b>Correspondent Name:</b>	JAMES A. OLIFF
<b>Address Line 1:</b>	OLIFF PLC
<b>Address Line 2:</b>	P.O. BOX 320850
<b>Address Line 4:</b>	ALEXANDRIA, VIRGINIA 22320-4850

<b>ATTORNEY DOCKET NUMBER:</b>	165223.01
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<b>NAME OF SUBMITTER:</b>	LAVONDA D. GILBERT
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<b>SIGNATURE:</b>	/lavonda d. gilbert/
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<b>DATE SIGNED:</b>	05/19/2015
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	This document serves as an Oath/Declaration (37 CFR 1.63).
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**Total Attachments: 8**

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source=Assignment#page8.tif

# **ASSIGNMENT (BEING FILED FOR DUAL PURPOSE UNDER 37 CFR 1.63(e))**

**For Application with Filing Date on or after September 16, 2012**

## **ASSIGNMENT**

Insert	(1)	Masahiro SUGESAWA	(2)	Tomoyuki FUKUNAGA
(1-8) Legal Name(s) of Inventor(s)	(3)	Yoshikazu SUMI	(4)	Masayuki HATTORI
	(5)	Kumiharu MATSUDA	(6)	Akira NISHIKAWA
	(7)	Hiroki TANAKA	(8)	Hiroyuki ISHIKURA

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the receipt and sufficiency of which are hereby acknowledged, each undersigned agrees to assign, and hereby does assign, transfer and set over to

(9A) Insert Name of First Assignee	(9A)	NIPPON SHARYO, LTD.
(10A) Insert Address of First Assignee	(10A)	1-1, Sanbonmatsu-cho, Atsuta-ku, Nagoya-shi, Aichi 456-8691 Japan
(9B) Insert Name of Second Assignee	(9B)	CENTRAL JAPAN RAILWAY COMPANY
(10B) Insert Address of Second Assignee	(10B)	1-1-4, Meieki, Nakamura-ku, Nagoya-shi, Aichi 450-6101 Japan

(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, international, substitute and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention (or claimed design) known as

(11) Insert Identification such as Title, Case Number, or Foreign Application Number	(11)	LIGHTING ARRANGEMENT FOR A PASSENGER CARRYING RAIL CAR
--	------	--

(Attorney Docket No. 165223.01), filed on even date herewith or as

(12) Alternative Identification for filed applications	(12)	U.S. Application Number <u>29/521,002</u>
	filed	<u>March 19, 2015</u>

- 1) Each undersigned agrees to execute all papers necessary in connection with any application and/or patent for the invention and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.
- 2) Each undersigned agrees to execute all papers necessary in connection with any interference or post-grant proceeding which may be declared concerning any application or patent for the invention and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or post-grant proceeding.
- 3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.
- 5) Each undersigned authorizes and requests the Commissioner of the U.S. Patent and Trademark Office to issue any and all patents resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he or she has full right to convey the entire interest herein assigned, and that he or she has not executed, and will not execute, any agreement(s) in conflict herewith, and agrees that this assignment is binding on Assignor and Assignor's heirs, successors, assigns and legal representatives.
- 6) Each undersigned hereby grants the firm of OLIFF PLC the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

### **(13) Declaration**

As an above named inventor, I hereby declare that:

I believe I am the original inventor or an original joint inventor of a claimed invention (or claimed design) in the above-identified application for which this declaration is being submitted.

The application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified application, including the claims, and am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date April 20, 2015 Inventor Signature Masahiro Sugisawa (SEAL)

Date April 20, 2015 Inventor Signature Tomoyuki Fukunaga (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Although neither is necessary, the assignment should preferably be (1) notarized (if executed in the U.S.), or (2) signed before at least two witnesses (if executed in the U.S. or a foreign country) who also sign here:

Date April 20, 2015 Witness Satoru Inadama

Date April 20, 2015 Witness Hiroyuki Yashikawa

# **ASSIGNMENT (BEING FILED FOR DUAL PURPOSE UNDER 37 CFR 1.63(e))**

**For Application with Filing Date on or after September 16, 2012**

## **ASSIGNMENT**

Insert	(1) Masahiro SUGESAWA	(2) Tomoyuki FUKUNAGA
(1-8) Legal Name(s)	(3) Yoshikazu SUMI	(4) Masayuki HATTORI
of Inventor(s)	(5) Kuniharu MATSUDA	(6) Akira NISHIKAWA
	(7) Hiroki TANAKA	(8) Hiroyuki ISHIKURA

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the receipt and sufficiency of which are hereby acknowledged, each undersigned agrees to assign, and hereby does assign, transfer and set over to

(9A) Insert Name of First Assignee	(9A) NIPPON SHARYO, LTD.
(10A) Insert Address of First Assignee	(10A) 1-1, Sanbonmatsu-cho, Atsuta-ku, Nagoya-shi, Aichi 456-8691 Japan
(9B) Insert Name of Second Assignee	(9B) CENTRAL JAPAN RAILWAY COMPANY
(10B) Insert Address of Second Assignee	(10B) 1-1-4, Meitoki, Nakamura-ku, Nagoya-shi, Aichi 450-6101 Japan

(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, international, substitute and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention (or claimed design) known as

(11) Insert Identification such as Title, Case Number, or Foreign Application Number	(11) LIGHTING ARRANGEMENT FOR A PASSENGER CARRYING RAIL CAR
--	---

(Attorney Docket No. 165223.01), filed on even date herewith or as

(12) Alternative Identification for filed applications	(12) U.S. Application Number <u>29/521,002</u>
	filed <u>March 19, 2015</u>

- 1) Each undersigned agrees to execute all papers necessary in connection with any application and/or patent for the invention and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.
- 2) Each undersigned agrees to execute all papers necessary in connection with any interference or post-grant proceeding which may be declared concerning any application or patent for the invention and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or post-grant proceeding.
- 3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.
- 5) Each undersigned authorizes and requests the Commissioner of the U.S. Patent and Trademark Office to issue any and all patents resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he or she has full right to convey the entire interest herein assigned, and that he or she has not executed, and will not execute, any agreement(s) in conflict herewith, and agrees that this assignment is binding on Assignor and Assignor's heirs, successors, assigns and legal representatives.
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### **(13) Declaration**

As an above named inventor, I hereby declare that:

I believe I am the original inventor or an original joint inventor of a claimed invention (or claimed design) in the above-identified application for which this declaration is being submitted.

The application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified application, including the claims, and am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

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In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date April 16, 2015 Inventor Signature Masayuki HATTORI (SEAL)

Date April 16, 2015 Inventor Signature Yoshikazu SUIJI (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Although neither is necessary, the assignment should preferably be (1) notarized (if executed in the U.S.), or (2) signed before at least two witnesses (if executed in the U.S. or a foreign country) who also sign here:

Date April 20, 2015 Witness Hideaki SAIJI

Date April 20, 2015 Witness Katsutoshi Tanahashi

# **ASSIGNMENT (BEING FILED FOR DUAL PURPOSE UNDER 37 CFR 1.63(e))**

**For Application with Filing Date on or after September 16, 2012**

## **ASSIGNMENT**

Insert	(1)	<u>Masahiro SUGESAWA</u>	(2)	<u>Tomoyuki FUKUNAGA</u>
(1-8) Legal Name(s) of Inventor(s)	(3)	<u>Yoshikazu SUMI</u>	(4)	<u>Masayuki HATTORI</u>
	(5)	<u>Kuniharu MATSUDA</u>	(6)	<u>Akira NISHIKAWA</u>
	(7)	<u>Hiroki TANAKA</u>	(8)	<u>Hiroyuki ISHIKURA</u>

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(10A) Insert Address of First Assignee	(10A)	<u>1-1, Sanbonmatsu-cho, Atsuta-ku, Nagoya-shi, Aichi 456-8691 Japan</u>
(9B) Insert Name of Second Assignee	(9B)	<u>CENTRAL JAPAN RAILWAY COMPANY</u>
(10B) Insert Address of Second Assignee	(10B)	<u>1-1-4, Meieki, Nakamura-ku, Nagoya-shi, Aichi 450-6101 Japan</u>

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In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date April 15, 2015 Inventor Signature Kuniharu Matruda (SEAL)

Date April 20, 2015 Inventor Signature Akira Nishikawa (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

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Date April 15, 2015 Witness Hirokazu Ohbuchi

Date April 20, 2015 Witness Hidenari Tsunemi



# **ASSIGNMENT (BEING FILED FOR DUAL PURPOSE UNDER 37 CFR 1.63(e))**

**For Application with Filing Date on or after September 16, 2012**

## **ASSIGNMENT**

Insert	(1) Masahiro SUGESAWA	(2) Tomoyuki FUKUNAGA
(1-8) Legal Name(s) of Inventor(s)	(3) Yoshikazu SUMI	(4) Masayuki HATTORI
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(11) Insert Identification such as Title, Case Number, or Foreign Application Number	(11) LIGHTING ARRANGEMENT FOR A PASSENGER CARRYING RAIL CAR
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(Attorney Docket No. 165223.01), filed on even date herewith or as

(12) Alternative Identification for filed applications	(12) U.S. Application Number <u>29/521,002</u> filed <u>March 19, 2015</u>
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In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date April 15, 2015 Inventor Signature Hiroki TANAKA (SEAL)

Date April 16, 2015 Inventor Signature Hiroaki Ishikawa (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Inventor Signature \_\_\_\_\_ (SEAL)

Although neither is necessary, the assignment should preferably be (1) notarized (if executed in the U.S.), or (2) signed before at least two witnesses (if executed in the U.S. or a foreign country) who also sign here:

Date April 17, 2015 Witness Yoshihiro Inukai

Date April 17, 2015 Witness Kyomasa Kameoka