503323020 05/27/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3369638

SUBMISSION TYPE:		NEW ASSIGNMENT			
NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT		
CONVEYING PARTY DA	ΑΤΑ				
		Name		Execution Date	
AJIT NAIR				09/28/2007	
SON GIA				09/27/2007	
ROGER FARHNOLTZ				09/27/2007	
NIKOLAI ALJURI				10/01/2007	
RECEIVING PARTY DA	ТА				
Name:	PULMONX				
Street Address:	700 CHESAPEAKE DR.				
City:	PALO ALTO				
State/Country:	CALIFC	RNIA			
Postal Code:	94063				
Property Type Application Number: 1					
		Number			
Application Number:		13689344			
Application Number: CORRESPONDENCE D Fax Number:	ΑΤΑ	(650)493-6811			
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i>	ATA e sent to	13689344 (650)493-6811 the e-mail address first; if tl			
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Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i> <i>using a fax number, if µ</i> Phone: Email:	ATA e sent to provideo	13689344 (650)493-6811 <i>the e-mail address first; if tl</i> <i>; if that is unsuccessful, it w</i> 5504939300 nerwin@wsgr.com	ill be sent via	a US Mail.	
Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name:	ATA e sent to provideo	(650)493-6811 <i>the e-mail address first; if tl ; if that is unsuccessful, it w</i> 6504939300 nerwin@wsgr.com WILSON, SONSINI, GOODRIG	ill be sent via	a US Mail.	
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PATENT REEL: 035720 FRAME: 0425

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, **AJIT NAIR** of 129 Sudbury Ct., Milpitas, CA 95035; **SON GIA** of 5065 Bengal Drive, San Jose, CA 95111; **ROGER FARHNOLTZ** of 495 Kansas Way, Fremont, CA 94539; and **NIKOLAI ALJURI** of 350 Revere Beach Blvd., Apt. 8-8S, Revere, MA 02151, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:	FUNCTIONAL ASSESSMENT AND TREATMENT CATHETERS AND METHODS FOR THEIR USE IN THE LUNG
Date(s) of execution of Declaration:	09/28/2007; 09/27/2007; 09/27/2007; and 10/01/2007
Filing Date:	August 27, 2007
Application No.:	11/845,296; and

WHEREAS, **PULMONx**, a corporation of the State of California, located at 1047 Elwell Court, Palo Alto, CA 94303, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known. Assignment Attorney Docket No.: 017534-003810US Page 2 IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated. Dated: 09/28/07 JIT NAR Dated: SON GIA Dated: ROGER FARHNOLTZ _____ Dated: _ NIKOLAI ALJURI · 61164814 v1 11 Page 2 of 2 PATENT ŀ. .,. REEL: 035720 FRAME: 0427 **i** : .

Assignment Attorney Docket No.: 017534-003810US Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: _____

Dated: <u>Sep - 27 - 07</u> Dated: <u>1 - 27 - 07</u>

AJIT NAIR ·lue SON GIA **RÓGER FARHNOLTZ**

Dated: _____

NIKOLAI ALJURI

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Page 2 of 2

Assignment Attorney Docket No.: 017534-003810US Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated:

Dated: _____

SON GIA

AJIT NAIR

Dated: _____

Dated: 07 1/07

ROGER FARMNOLTZ k

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Page 2 of 2

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RECORDED: 05/27/2015