

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3370031

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DOW GLOBAL TECHNOLOGIES LLC	01/30/2015
RECEIVING PARTY DATA	
Name:	VERTELLUS PERFORMANCE CHEMICALS LLC
Street Address:	201 NORTH ILLINOIS STREET, SUITE 1800
City:	INDIANAPOLIS
State/Country:	INDIANA
Postal Code:	46204
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14372459
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	adonaldson@btlaw.com
Correspondent Name:	BARNES & THORNBURG LLP
Address Line 1:	11 S. MERIDIAN STREET
Address Line 4:	INDIANAPOLIS, INDIANA 46204
ATTORNEY DOCKET NUMBER:	66434-234485
NAME OF SUBMITTER:	BRADFORD G. ADDISON
SIGNATURE:	/Bradford G. Addison/
DATE SIGNED:	05/27/2015
Total Attachments: 8	
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INTELLECTUAL PROPERTY ASSIGNMENT

THIS INTELLECTUAL PROPERTY ASSIGNMENT (the "**Assignment**"), is made and entered into as of January 30, 2015, between The Dow Chemical Company, a Delaware corporation, Rohm and Haas Chemicals LLC, a Delaware limited liability company, and Dow Global Technologies LLC, a Delaware limited liability company (collectively "**TDCC**") and Vertellus Performance Chemicals LLC (f/k/a Vertellus Specialty Materials LLC), a Delaware limited liability company (the "**Purchaser**") (each, a "**Party**" and together, the "**Parties**").

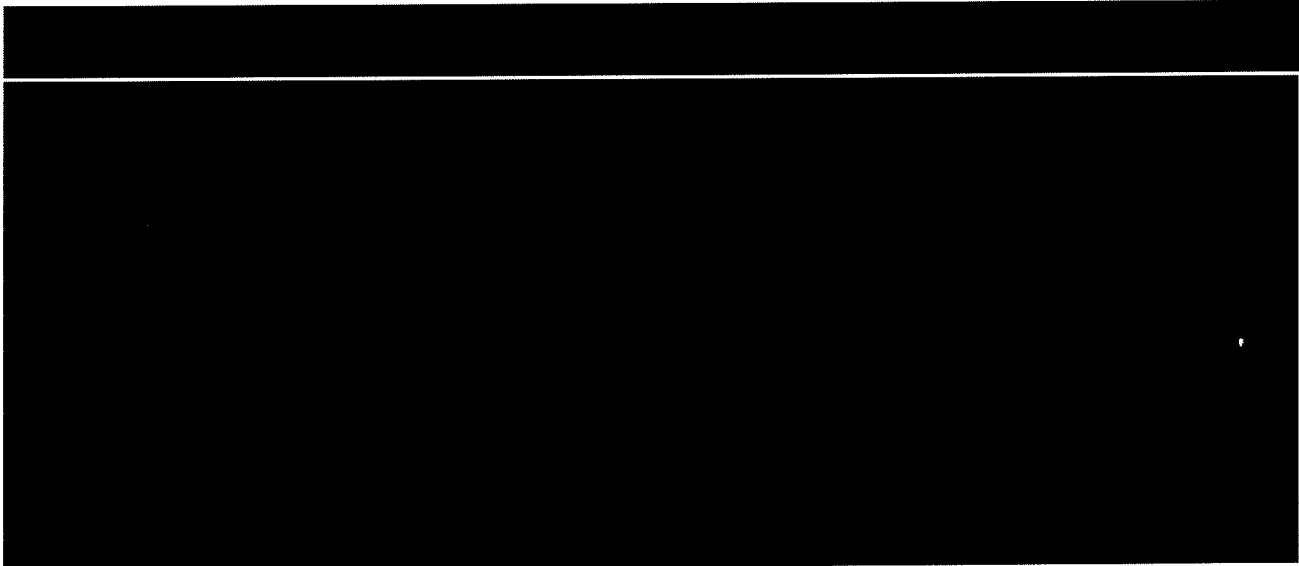
RECITALS:

WHEREAS TDCC, Purchaser and, solely for purposes of Sections 3.3(e), 5.5(c), 12.6(b), 12.17 and 12.22 thereof, Vertellus Specialties Inc. have entered into that certain Asset Purchase Agreement dated as of December 4, 2014 (the "**Purchase Agreement**"), pursuant to which TDCC agreed to sell, assign, convey, transfer and deliver certain assets, including the Transferred Patents and Transferred Know-How (each as defined below), to Purchaser;

WHEREAS, this Assignment is being executed and delivered pursuant to the Purchase Agreement; and

NOW, THEREFORE, in consideration of the matters set forth above, the mutual promises and covenants herein set forth, and subject to the terms and conditions hereof and the Purchase Agreement, the Parties, intending to be legally bound, hereby agree as follows:

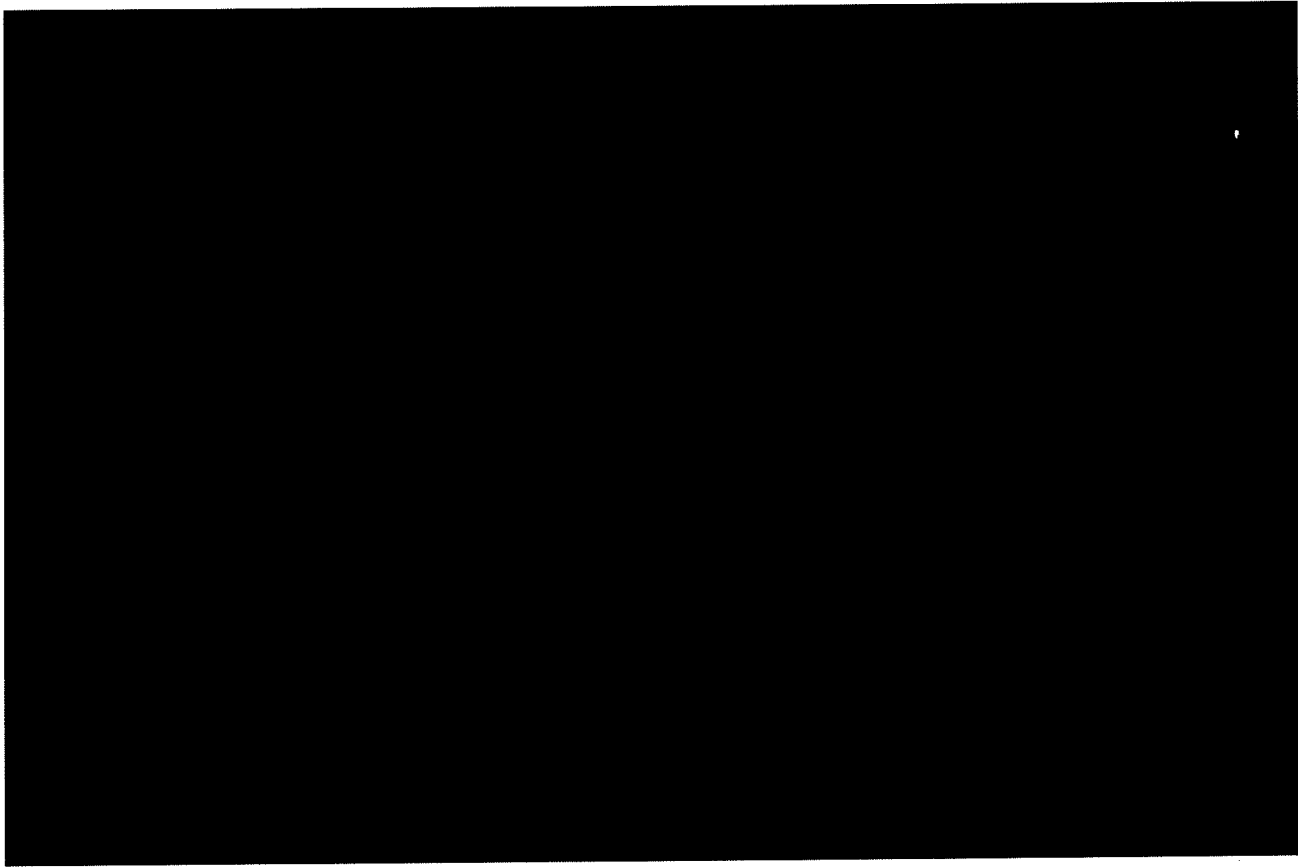
Article 1. Definitions



1.7 "**Transferred Patents**" means those patents and pending patent applications owned by TDCC (or one of its Affiliates) that are set forth on Appendix 1.

Article 2. Assignment of Transferred Patents

2.1 TDCC or its applicable Affiliate hereby sells, assigns, conveys, transfers and delivers to Purchaser, effective as of the Effective Date, all of its rights, title, and interest in and to the Transferred Patents, including its rights to enforce such patents against and its right to sue for, bring claims and collect Damages for all infringements or other actions for violations thereof, and its right to collect any royalties, license fees, or other amounts related to the Transferred Patents owing from third parties during such time. TDCC represents and warrants that (i) it has the full power and authority to enter into this Assignment and (ii) each of the TDCC Affiliates have authorized TDCC to act as agent on behalf of each of the Affiliates in connection with the transfer to Purchaser of any Transferred Patents. TDCC, on behalf of itself, its Affiliates, successors, assigns and legal representatives, hereby authorizes the U.S. Patent and Trademark Office and any official of any country or countries foreign to the United States whose duty it is to receive or register patents or patent applications therefor, to record Purchaser as the owner of the Transferred Patents, and to issue all applications and registrations for the foregoing, to be in the name of Purchaser, for the use of Purchaser, its successors, legal representatives and assigns, in accordance with the terms of this Assignment. In addition, at Purchaser's request and expense, TDCC or its respective Affiliate, or its successor or assigns, whichever is appropriate, will promptly execute and deliver to Purchaser any additional specific assignment documents which may be reasonably requested by Purchaser to further document or record its ownership interest in the Transferred Patents.

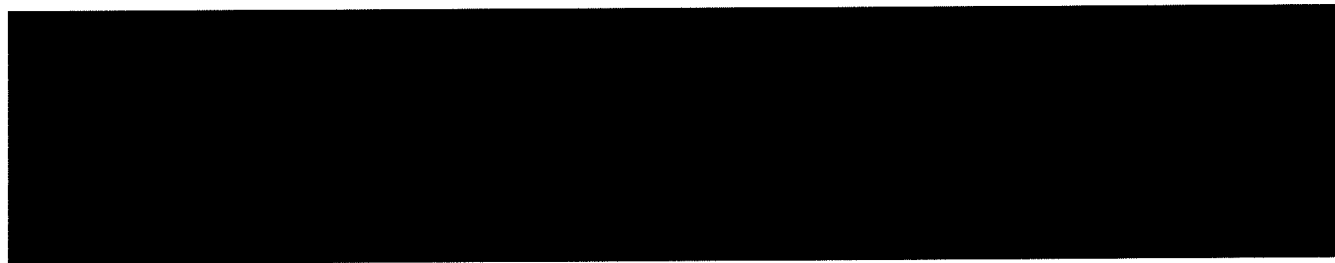
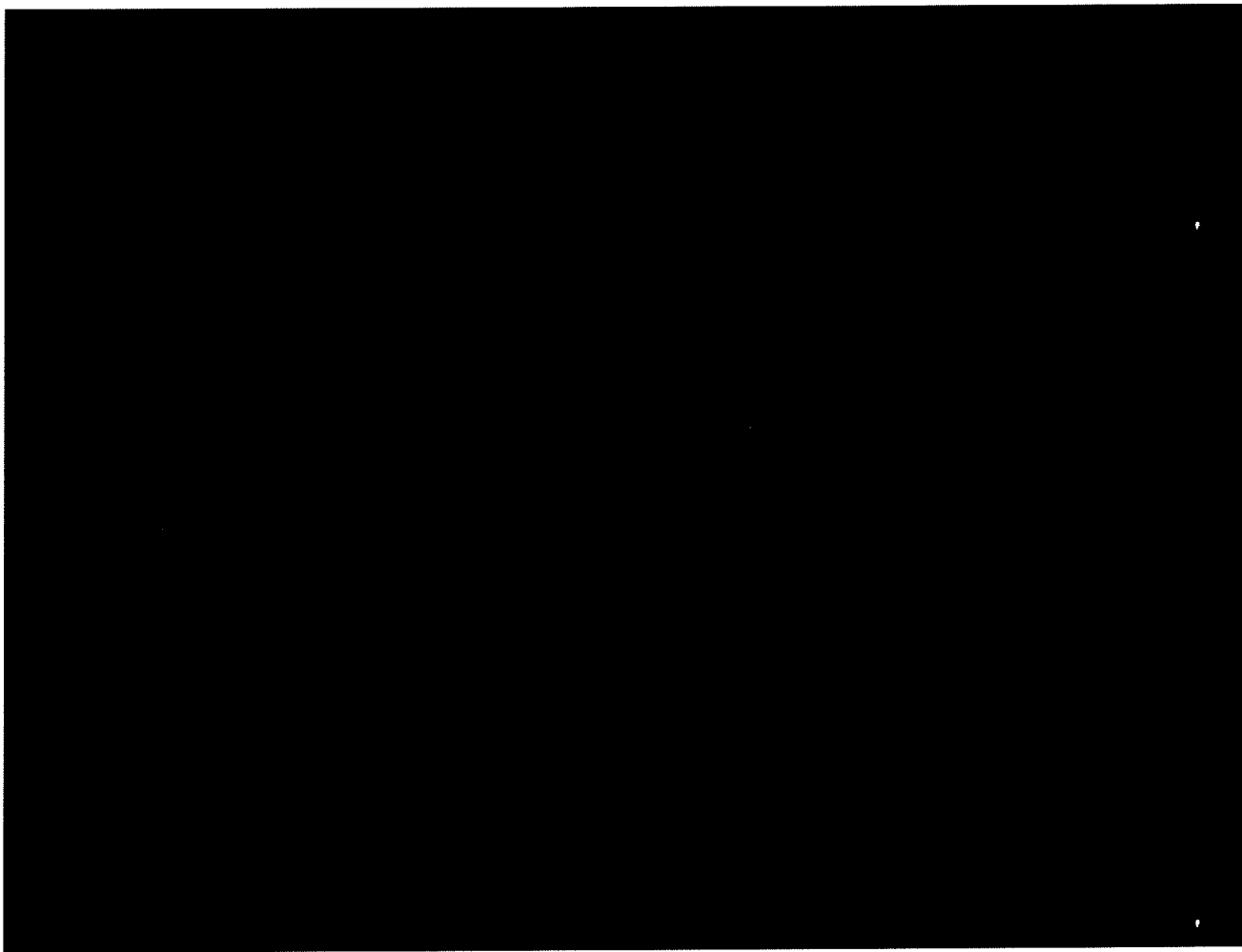


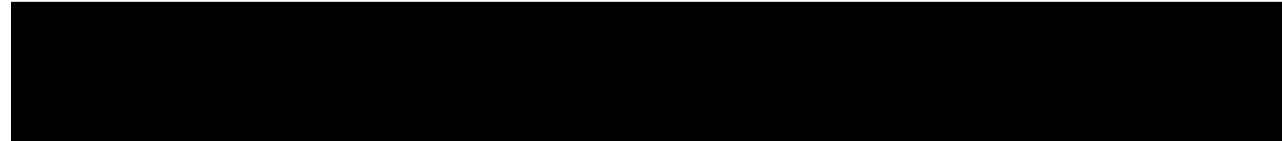
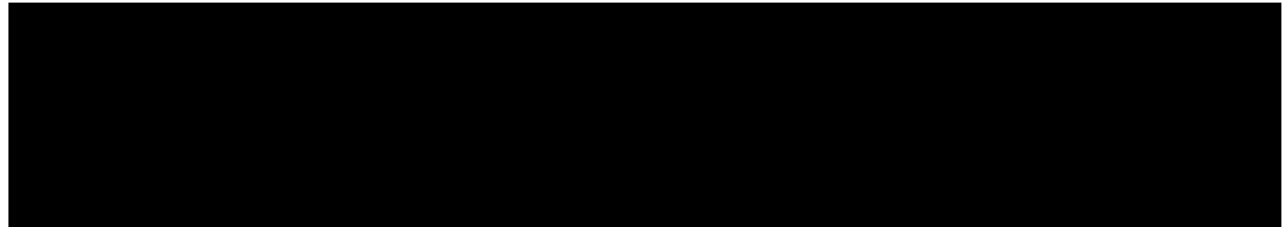
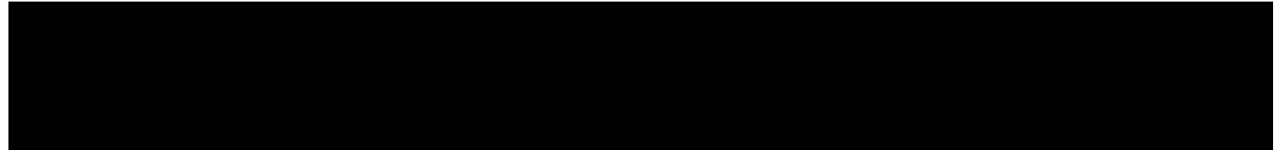
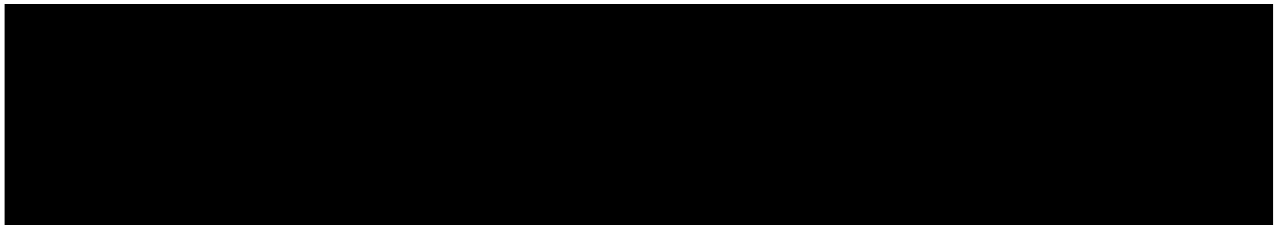
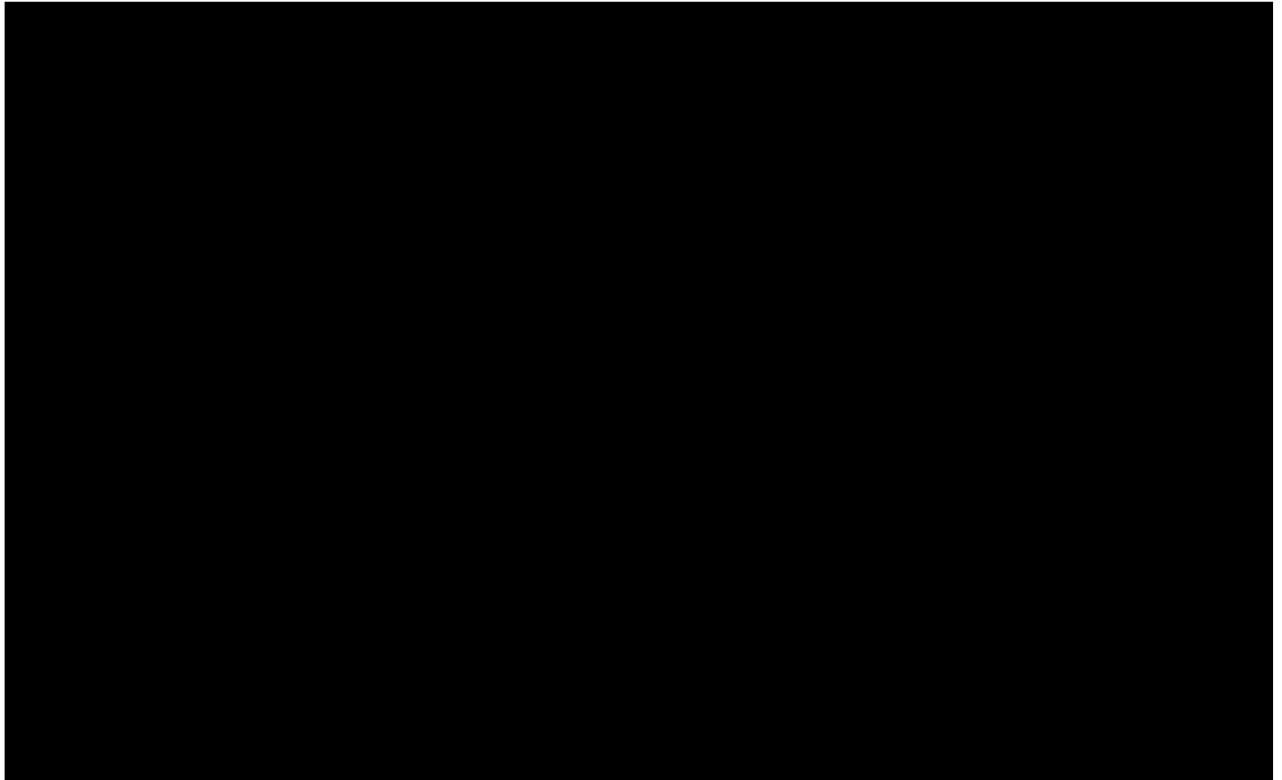
Article 4. Consideration

4.1 The Parties acknowledge and agree that the payment of a portion of the Purchase Price (as defined in the Purchase Agreement) pursuant to Section 3.1 of the Purchase Agreement serves as consideration for the Transferred Patents and Transferred Know-How.

Article 5. Term

5.1 This Assignment shall commence on the Effective Date and shall continue thereafter in perpetuity.



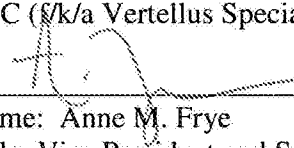




[Signatures on following page.]

IN WITNESS WHEREOF, the parties hereto have caused this Assignment to be executed and delivered as of the date first above written.

VERTELLUS PERFORMANCE CHEMICALS
LLC (d/b/a Vertellus Specialty Materials LLC)

By  _____

Name: Anne M. Frye

Title: Vice President and Secretary

THE DOW CHEMICAL COMPANY

By _____

Name: Valentina Zajac

Title: Authorized Signatory

ROHM AND HAAS CHEMICALS LLC

By _____

Name: Valentina Zajac

Title: Authorized Signatory

DOW GLOBAL TECHNOLOGIES LLC

By _____

Name: Valentina Zajac

Title: Authorized Signatory

[Signature Page to IP Assignment]

IN WITNESS WHEREOF, the parties hereto have caused this Assignment to be executed and delivered as of the date first above written.

VERTELLUS PERFORMANCE CHEMICALS
LLC (f/k/a Vertellus Specialty Materials LLC)

By _____
Name:
Title:

THE DOW CHEMICAL COMPANY

By  _____
Name: Valentina Zajac
Title: Authorized Signatory

ROHM AND HAAS CHEMICALS LLC

By  _____
Name: Valentina Zajac
Title: Authorized Signatory

DOW GLOBAL TECHNOLOGIES LLC

By  _____
Name: Valentina Zajac
Title: Authorized Signatory

[Signature Page to IP Assignment]

Case Reference	Title	Filing Date	Filing Number	Publication Date	Publication Number
72354-US-PCT	METHOD FOR REDUCING COLOR IN USED LUBRICATING OIL	21-Jan-13	14/372459	04 Dec 2014	2014-0357538