# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3370814

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
BETTY CHANG	02/17/2015
JOSEPH J. BUGGY	03/10/2015
SUSANNE STEGGERDA	03/20/2015

## **RECEIVING PARTY DATA**

Name:	PHARMACYCLICS, INC.
Street Address:	995 EAST ARQUES AVENUE
City:	SUNNYVALE
State/Country:	CALIFORNIA
Postal Code:	94085

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14417097

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 6504939300

Email: patentdocket@wsgr.com, lkim@wsgr.com
Correspondent Name: WILSON SONSINI GOODRICH & ROSATI

Address Line 1: 650 PAGE MILL ROAD

Address Line 4: PALO ALTO, CALIFORNIA 94304

ATTORNEY DOCKET NUMBER:	25922-865.831
NAME OF SUBMITTER:	LORA KIM
SIGNATURE:	/Lora Kim/
DATE SIGNED:	05/27/2015

# **Total Attachments: 6**

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PATENT REEL: 035725 FRAME: 0782

#### PATENT ASSIGNMENT

Docket Number 25922-865.831

WHEREAS, the undersigned:

1. CHANG, Betty 10375 Lindsay Avenue Cupertino, CA 95014 BUGGY, Joseph J.
 1229 Marilyn Avenue
 Moutain View, CA 94040

 STEGGERDA, Susanne 1286 8<sup>th</sup> Avenue San Francisco, CA 94122

(hereinafter "Inventor(s))," have invented certain new and useful improvements in

# MUTATIONS ASSOCIATED WITH RESISTANCE TO INHIBITORS OF BRUTON'S TYROSINE KINASE (BTK)

for which Application No. 14/417.097, a U.S. National Phase of PCT/US2013/051741 filed on July 23, 2013 in the Office of the Patent Cooperation Treaty, was filed in the United States Patent Office on January 23, 2015 (hereinafter, "Application(s)"). The term "Application(s)" also includes all patent applications that share or claim priority to or from the above application(s).

WHEREAS, Pharmacyclics, Inc., a corporation of the Commonwealth of Delaware, having an address of business at 995 East Arques Avenue, Sunnyvale, CA 94085, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s), and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise (hereinafter "Patent(s)").

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

- 1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions; (b) in and to said Applications, including the right to claim priority to and from said Application(s); (c) in and to each and every application that is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); (d) in and to said Patent(s) and each and every patent issuing or reissuing from any of the foregoing; (e) in and to each and every reissue, reexamination, renewal or extension of any kind of any of the foregoing; (f) in and to each and every patent and application filed outside the United States and corresponding to any of the foregoing; and (g) in and to all claims for past, present and future infringement of the Patent(s), including all rights to sue for and to receive and recover for Assignee's own use all past, present, and future lost profits, royalties, and damages of whatever nature recoverable from an infringement of the Patent(s).
- 2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that reasonable expenses incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.
- 4. Said Inventor(s) hereby warrant, represent and covenant that said Inventor(s) have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
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- 6. This instrument will be interpreted and construed in accordance with the laws of the State of California, without regard to conflict of law principles. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

	P	ATENT ASSIGNMENT	Docket Number 25922-865.831
IN WITNES	SS WHERE	OF, said Inventor(s) have executed and deliv	vered this instrument to said Assignee as of the dates
ate: 17 Fe	Signature:	Letty Chaus	2
atė:	Signature:	Joseph J. BUGGY	
ate;		Susanne STEGGERDA	

#### PATENT ASSIGNMENT

Docket Number 25922-865.831

WHEREAS, the undersigned:

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 Moutain View, CA 94040

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- 2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that reasonable expenses incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.
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Attorney Docket No. 25922-865.831, Patent Appl. No. 14/417,097
Page 1 of 2

	PATENT ASSIGNMENT	Docket Number 25922-865.831
IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:		
Date:	Signature: Betty CHANG	
Date: 3-10-1	Signature: Joseph L BUGGY	
Date:	Signature: Susanne STEGGERDA	

Attorney Docket No. 25922-865.831, Patent Appl. No. 14/417,097 Page 2 of 2

#### PATENT ASSIGNMENT

Docket Number 25922-865.831

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Date: Sign	nature:Betty CHANG	
	Joseph J. BUGGY	
90 May 2015 Sign	Susanne STEGGERDA	

REEL: 035725 FRAME: 0788