

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT3359355

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	BARBARA A. NIKSCH	04/08/2015
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	GLAUKOS CORPORATION	
<b>Street Address:</b>	26051 MERIT CIRCLE	
<b>Internal Address:</b>	SUITE 103	
<b>City:</b>	LAGUNA HILLS	
<b>State/Country:</b>	CALIFORNIA	
<b>Postal Code:</b>	92653	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	13399760
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(949)760-9502	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	9497600404	
<b>Email:</b>	efiling@KNOBBE.COM	
<b>Correspondent Name:</b>	KNOBBE MARTENS OLSON & BEAR LLP	
<b>Address Line 1:</b>	26051 MERIT CIRCLE	
<b>Address Line 2:</b>	SUITE 103	
<b>Address Line 4:</b>	LAGUNA HILLS, CALIFORNIA 92653	
<b>ATTORNEY DOCKET NUMBER:</b>	DOSE.11P3D1C1	
<b>NAME OF SUBMITTER:</b>	MICHAEL CHRISTENSEN	
<b>SIGNATURE:</b>	/Michael R. Christensen/	
<b>DATE SIGNED:</b>	05/19/2015	
<b>Total Attachments: 3</b>		
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## ASSIGNMENT

WHEREAS, **Barbara A. Niksch**, residing at Capistrano Beach, California (individual hereinafter "ASSIGNOR"), while under an obligation to assign intellectual property to **Glaukos Corporation** (hereinafter "ASSIGNEE"), invented certain new and useful improvements, technology, inventions, developments, ideas, ornamental design or discoveries related to glaucoma treatment methods and devices for which the following applications for Letters Patent have been filed with the United States Patent and Trademark Office, some of which have been granted (hereinafter the "Patents and Applications"):

Docket No.	App. Serial No./ Filing Date	Pat. No./ Issue Date	Title
GLAUKO.011PR	60/281973 April 7, 2001		GLAUCOMA SHUNT AND METHODS THEREOF FOR GLAUCOMA TREATMENT
GLAUKO.11CP2CP1	11/084314 March 18, 2005		OCULAR DISORDER TREATMENT IMPLANTS WITH MULTIPLE OPENINGS
GLAUKO.011CP3	11/083713 March 18, 2005	7431710 Oct. 7, 2008	OCULAR IMPLANTS WITH ANCHORS AND METHODS THEREOF
DOSE.11CP3DV1	12/246448 Oct. 6, 2008	8118768 Feb. 21, 2012	DRUG ELUTING OCULAR IMPLANT WITH ANCHOR AND METHODS THEREOF
DOSE.11P3D1C1	13/399760 Feb. 17, 2012		OCULAR SYSTEM WITH ANCHORING IMPLANT AND THERAPEUTIC AGENT

AND WHEREAS, ASSIGNEE, a Delaware corporation, with its principal place of business at 26051 Merit Circle, Suite 103, Laguna Hills, CA 92653, desires to memorialize and confirm the assignment of the entire right, title, and interest in and to the Patents and Applications:

NOW, THEREFORE, in consideration of the sum of \$10 (ten dollars) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby confirms and acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sells, assigns, transfers, and sets over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Patents and Applications, including all provisional applications relating thereto, and all nonprovisional applications claiming priority thereto, including, all divisions, continuations, continuations-in-part, reissues, and reexaminations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority and applications under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY confirm that he has sold, assigned, transferred, and conveyed to, and by these presents does hereby sells, assigns, transfers, and conveys to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of this document, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

ASSIGNOR hereby constitutes and appoints ASSIGNEE as ASSIGNOR's true and lawful attorney in fact, with full power of substitution in ASSIGNOR's name and stead, but for ASSIGNEE's benefit, to take any and all steps, including proceedings at law, in equity or otherwise to execute, acknowledge and deliver any and all instruments and assurances necessary or expedient in order to vest the rights in the Patents and Applications and causes of action more effectively in ASSIGNEE or to protect the same, or to enforce any claim or right of any kind with respect thereto. This includes any rights with respect to the Patents and Applications that have accrued in ASSIGNOR's favor from the respective date of creation of the Patents and Applications to the effective date of this Assignment. ASSIGNOR hereby declares that the foregoing power is coupled with an interest and is irrevocable.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will continue to communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other provisional or non-provisional applications relating to the Patents and Applications or any improvements made thereto, sign all lawful papers, authorize the filing of and execute and make all rightful oaths and/or declarations in connection with the Patents and Applications including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection in all countries.

Legal Name of inventor: Barbara A. Niksch

Signature:

Barbara A. Niksch

Date:

April 8, 2015

STATE OF CALIFORNIA

ss.

COUNTY OF \_\_\_\_\_

On \_\_\_\_\_, before me, SEE ATTACHED, notary public, personally appeared Barbara A. Niksch who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

\_\_\_\_\_  
Notary Signature

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

County of ORANGE

U.S.

On APRIL 8, 2015 before me, AIMEE VELA, NOTARY PUBLIC


Name of Author: \_\_\_\_\_

personally appeared BARBARA A. NIKSCH

Name of Survey: 1

Name of Survey:

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

 AIMEE VELA  
Commission # 2081920  
Notary Public - California  
Orange County  
My Comm. Expires Oct 15, 2018

Amee Vela  
Signature of Notary Public

Signature of Notary Public

### OPTIONAL INFORMATION

Description of Attached Document

The preceding Certificate of Acknowledgment is attached to a document titled/for the purpose of \_\_\_\_\_

ASSIGNMENT

containing \_\_\_\_\_ pages, and dated \_\_\_\_\_

The signer(s) capacity or authority is/are as:

- ☒ Individual(s)  
☐ Attorney-in-fact  
☐ Corporate Officer(s)

## Index

- ☐ Guardian/Conservator  
☐ Partner - Limited/General  
☐ Trustee(s)  
☐ Other:

representing: \_\_\_\_\_  
Signature of Person(s) Providing Notice of Sequestration

### Method of Signer Identification

Proved to me on the basis of satisfactory evidence:

- ☐ form(s) of identification    ☐ credible witness(es)

Notarial event is detailed in notary journal on:

Page # \_\_\_\_\_ Entry # \_\_\_\_\_

Notary contact: \_\_\_\_\_

Other

- ☐ Additional Signer    ☐ Signer(s) Thumbprints(s)

\_\_\_\_\_