

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT3371300

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DEREK J. NORRIS	04/02/2015
GEORGE V. DELUCCA	04/07/2015
ASHVINIKUMAR V. GAVAI	04/03/2015
CLAUDE A. QUESNELLE	04/21/2015
PATRICE GILL	04/21/2015
DANIEL O'MALLEY	04/30/2015
WAYNE VACCARO	04/09/2015
FRANCIS Y. LEE	04/30/2015
MIKKEL V. DEBENEDETTO	03/24/2015
ANDREW P. DEGNAN	03/20/2015
HAIQUAN FANG	03/20/2015
MATTHEW D. HILL	03/20/2015
HONG HUANG	03/20/2015
WILLIAM D. SCHMITZ	03/20/2015
JOHN E. STARRETT JR.	03/24/2015
WEN-CHING HAN	04/30/2015
JOHN S. TOKARSKI	04/02/2015
RECEIVING PARTY DATA	
Name:	BRISTOL-MYERS SQUIBB COMPANY
Street Address:	ROUTE 206 & PROVINCE LINE ROAD
Internal Address:	PATENT DEPARTMENT
City:	PRINCETON
State/Country:	NEW JERSEY
Postal Code:	08543-4000
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14580355
CORRESPONDENCE DATA	

Fax Number: (609)252-4526

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 6092524741

Email: patents@bms.com

Correspondent Name: ELLIOTT KORSEN

Address Line 1: ROUTE 206 & PROVINCE LINE ROAD

Address Line 2: PATENT DEPARTMENT

Address Line 4: PRINCETON, NEW JERSEY 08543-4000

ATTORNEY DOCKET NUMBER:	12244-US-NP
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NAME OF SUBMITTER:	ELLIOTT KORSEN
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SIGNATURE:	/elliott korse, reg.#32705/
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DATE SIGNED:	05/28/2015
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Total Attachments: 14

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ASSIGNMENT

We,

Derek J. Norris, George V. Delucca, Ashvinikumar V. Gavai, Claude A. Quesnelle, Patrice Gill, Daniel O'Malley, Wayne Vaccaro, Francis Y. Lee, Mikkel V. DeBenedetto, Andrew P. Degnan, Haiquan Fang, Matthew D. Hill, Hong Huang, William D. Schmitz, John E. Starrett Jr., Wen-Ching Han and John S. Tokarski

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Rt. 206 & Province Line Road, Princeton, NJ 08543, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

NOVEL TRICYCLIC COMPOUNDS AS ANTICANCER AGENTS

invented by us and described in the non-provisional United States patent application

Application Number 14/580355, filed 23 Dec 2014

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries).

Signed this 2 day of April, 2015 by

Derek J. Norris

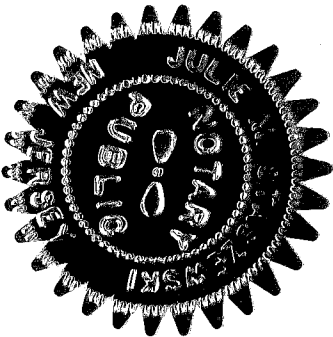
STATE OF NY)

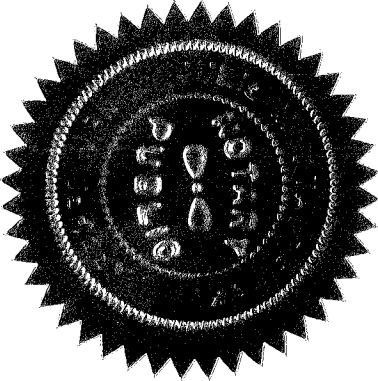
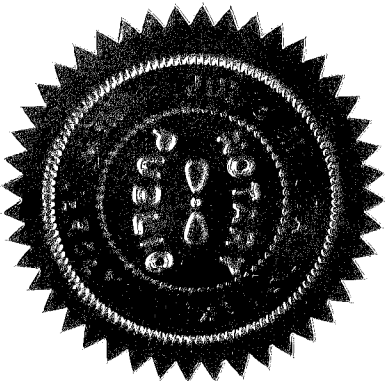
COUNTY OF Mercer) ss.

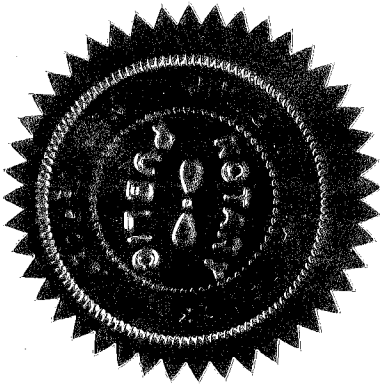
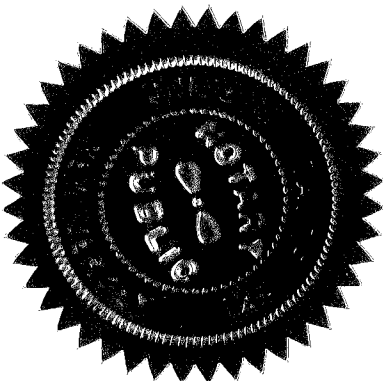
On the 2nd day of April, 2015, before me came Derek J. Norris, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Julie M Staszewski
Notary Public

JULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019

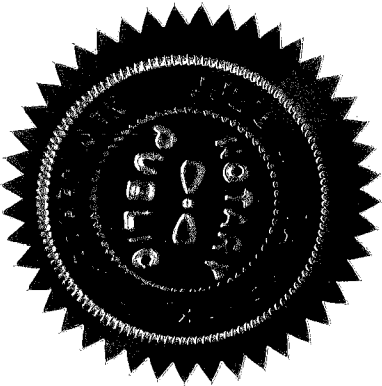


Signed this 7 day of April, 2015 byGeorge V. Delucca
George V. DeluccaSTATE OF New Jersey)
COUNTY OF Mercer) ss.On the 7th day of April, 2015, before me came George V. Delucca, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.Julie M Staszewski
Notary PublicJULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019Signed this 3rd day of April, 2015 byAshvinikumar V. Gavai
Ashvinikumar V. GavaiSTATE OF New Jersey)
COUNTY OF Mercer) ss.On the 3rd day of April, 2015, before me came Ashvinikumar V. Gavai, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.Julie M Staszewski
Notary PublicJULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019

Signed this 21 day of April, 2015 byClaude A. Quesnelle
Claude A. QuesnelleSTATE OF New Jersey)
COUNTY OF Mercer) ss.On the 21st day of April, 2015, before me came Claude A. Quesnelle, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.Julie M Staszewski
Notary PublicJULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019Signed this 21 day of April, 2015 byPatrice Gill
Patrice GillSTATE OF New Jersey)
COUNTY OF Mercer) ss.On the 21st day of April, 2015, before me came Patrice Gill, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.Julie M Staszewski
Notary PublicJULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019

Signed this 30th day of April, 2015 by

Daniel O'Malley

STATE OF New Jersey)
COUNTY OF Mercer) ss.On the 30th day of April, 2015, before me came Daniel O'Malley, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Notary Public

JULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019Signed this 9th day of April, 2015 by

Wayne Vaccaro

STATE OF New Jersey)
COUNTY OF Mercer) ss.On the 9th day of April, 2015, before me came Wayne Vaccaro, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Notary Public

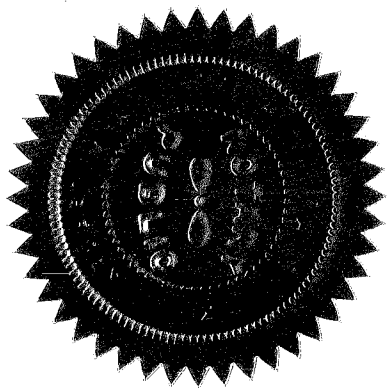
JULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019

Signed this 30 day of April, 2015 by

Francis Y. Lee
Francis Y. Lee

STATE OF NJ)
COUNTY OF Mercer) ss.

On the 30th day of April, 2015, before me came Francis Y. Lee, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Julie M Staszewski
Notary Public

JULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019

Signed this 24 day of March, 2015 by

Mikkel V. DeBenedetto

STATE OF CONNECTICUT)

) SS.


COUNTY OF NEW HAVEN)

On the 24 day of March, 2015, before me came Mikkel V. DeBenedetto, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Janet C. Sudol
Notary Public

JANET C. SUDOL
NOTARY PUBLIC - State of Connecticut
My Commission Expires
August 31, 2017

Signed this 20 day of March, 2015 by



Andrew P. Degnan

STATE OF CONNECTICUT)
)
COUNTY OF NEW HAVEN) ss.

On the 20 day of March, 2015, before me came Andrew P. Degnan, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

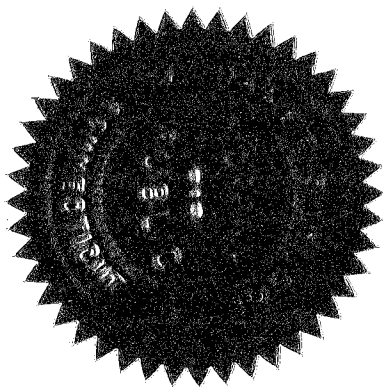

Notary Public

JANET C. SUDOL
NOTARY PUBLIC - State of Connecticut
My Commission Expires
August 31, 2017

Signed this 20 day of March, 2015 by 
Haiquan Fang

STATE OF CONNECTICUT)
)
COUNTY OF NEW HAVEN) ss.

On the 20 day of March, 2015, before me came Haiquan Fang, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.




Notary Public

JANET C. SUDOL
NOTARY PUBLIC - State of Connecticut
My Commission Expires
August 31, 2017

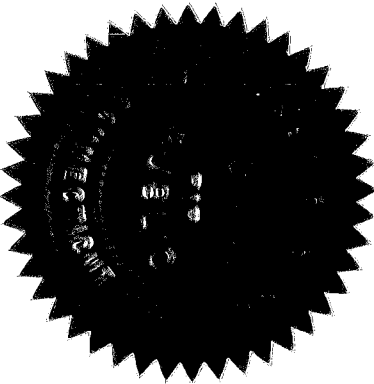
Signed this 20 day of March, 2015 by Matthew D. Hill
Matthew D. Hill

STATE OF CONNECTICUT)
)
COUNTY OF NEW HAVEN) ss.

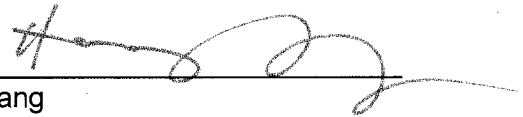
On the 20 day of March, 2015, before me came Matthew D. Hill, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Janet C. Sudol
Notary Public

JANET C. SUDOL
NOTARY PUBLIC - State of Connecticut
My Commission Expires
August 31, 2017



Signed this 20 day of March, 2015 by

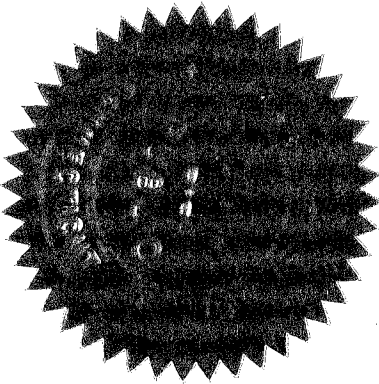

Hong Huang

STATE OF CONNECTICUT)

COUNTY OF NEW HAVEN)

ss.

On the 20 day of March, 2015, before me came Hong Huang, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.




Notary Public

JANET C. SUDOL
NOTARY PUBLIC - State of Connecticut
My Commission Expires
August 31, 2017

Signed this 20 day of March, 2015 by

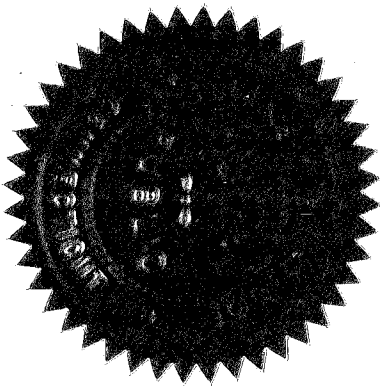
William D. Schmitz
William D. Schmitz

STATE OF CONNECTICUT)

) ss.

COUNTY OF NEW HAVEN)

On the 20 day of March, 2015, before me came William D. Schmitz, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



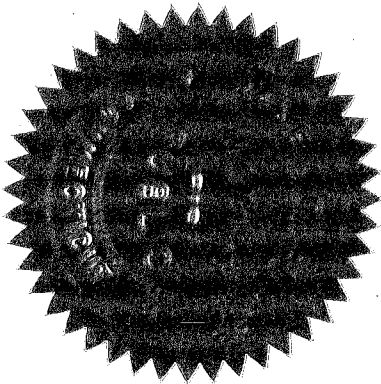
Janet C. Sudol
Notary Public

JANET C. SUDOL
NOTARY PUBLIC - State of Connecticut
My Commission Expires
August 31, 2017

Signed this 24th day of March, 2015 by John E. Starrett Jr.
John E. Starrett Jr.

STATE OF CONNECTICUT)
) ss.
COUNTY OF NEW HAVEN)

On the 24 day of March, 2015, before me came John E. Starrett Jr., to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



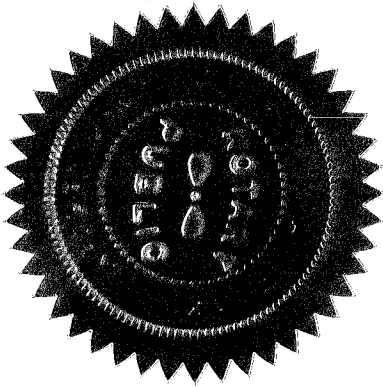
Janet C. Sudol
Notary Public

JANET C. SUDOL
NOTARY PUBLIC - State of Connecticut
My Commission Expires
August 31, 2017

Signed this 30 day of April, 2015 by Wen-Ching Han
Wen-Ching Han

STATE OF New Jersey
COUNTY OF Merger)ss.

On the 30th day of April, 2015, before me came Wen-Ching Han, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



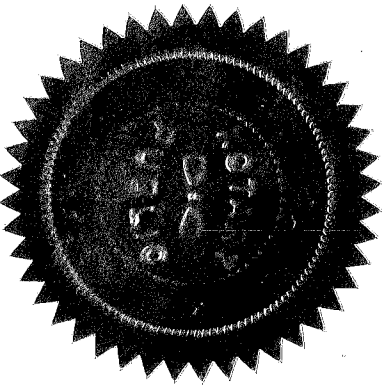
Julie M Staszewski
Notary Public

JULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019

Signed this 2nd day of April, 2015 by John S. Tokarski
John S. Tokarski

STATE OF New Jersey
COUNTY OF Merger)ss.

On the 2nd day of April, 2015, before me came John S. Tokarski, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Julie M Staszewski
Notary Public

JULIE M STASZEWSKI
Notary Public of New Jersey
My Commission Expires October 20, 2019