

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3385152

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|---|---|
| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| MICHIEL MARY VAN OORT | 07/26/2013 |
| JOHN N. HONG | 07/26/2013 |
| RECEIVING PARTY DATA | |
| Name: | GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED |
| Street Address: | 980 GREAT WEST ROAD |
| City: | BRENTFORD, MIDDLESEX |
| State/Country: | UNITED KINGDOM |
| Postal Code: | TW8 9GS |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 14394132 |
| CORRESPONDENCE DATA | |
| Fax Number: | (919)483-7977 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
| Phone: | (919) 483-9552 |
| Email: | usciprtp@gsk.com |
| Correspondent Name: | GLAXOSMITHKLINE |
| Address Line 1: | FIVE MOORE DRIVE, P.O. BOX 13398 |
| Address Line 2: | GLOBAL PATENTS - 5.5A |
| Address Line 4: | RESEARCHTRIANGLEPARK, NORTH CAROLINA 27709-3398 |
| ATTORNEY DOCKET NUMBER: | PB65151USW (JPR/RFK) |
| NAME OF SUBMITTER: | JAMES P. RIEK |
| SIGNATURE: | /James P. Riek/ |
| DATE SIGNED: | 06/05/2015 |
| Total Attachments: 4 | |
| source=PB65151USw_Assignment_Transmittal#page1.tif | |
| source=PB65151USw_Assignment_Transmittal#page2.tif | |
| source=PB65151USw_Assignment_Transmittal#page3.tif | |

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Michiel Mary VAN OORT
John N. HONG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) July 26, 2013

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: GlaxoSmithKline Intellectual Property Development Limited

Internal Address: _____

Street Address: 980 Great West Road

City: Brentford, Middlesex

State: _____

Country: UK Zip: TW8 9GS

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

14/394,132

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: GlaxoSmithKline

Internal Address: Global Patents

Street Address: Five Moore Drive
P.O. Box 13398

City: Research Triangle Park

State: NC Zip: 27709-3398

Phone Number: (919) 483-9552

Docket Number: PB65151USw

Email Address: USCIPRTP@GSK.COM

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 07-1392

Authorized User Name James P. Riek

9. Signature: /James P. Riek/

2015-Mar-13

Signature

Date

James P. Riek (Reg. No. 39,009)

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS I/WE, **John N. HONG** a citizen of the United States and **Michiel Mary VAN OORT** a citizen of Canada, both of Durham, North Carolina, US, (hereinafter called "the inventor(s)") have invented or discovered certain improvements in "Aggregate Particles" (hereinafter referred to as "the invention and improvements") for which priority application 61/623672 was filed on 13 Apr 2012 in the United States, and for which a PCT international application PCT/EP2013/057555 was filed on 11 Apr 2013, designating the United States of America.

WHEREAS, GLAXOSMITHKLINE LLC, a company incorporated in the State of Delaware, USA, whose trading address is 5 Crescent Drive, Philadelphia, Pennsylvania 19112, United States of America, is desirous of acquiring the whole right, title and interest in and to said invention, and in and to any applications for said invention, including the right to claim priority, and in and to and any Letters Patent to be obtained therefor, in all countries, including the United States, its territories and possessions, and

WHEREAS GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring from GLAXOSMITHKLINE LLC the whole right, title and interest in and to the invention and improvements and in and to the application, and

WHEREAS GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring the whole right, title and interest in and to the invention and improvements and in and to any applications for the invention and improvements and any Letters Patent to be obtained therefor, in all countries, including the United States of America, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I/we, **John N. HONG** and **Michiel Mary VAN OORT**, for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention to said GLAXOSMITHKLINE LLC, throughout the United States of America, its territories and possessions, and in and to said application, and any extensions, reissues, continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America, including any priority rights under the International Convention

AND, my/our whole right, title and interest in and to said invention to GLAXOSMITHKLINE LLC, in all other countries throughout the world, and in and to any applications in said other countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said all other countries which may be granted on said invention including any priority rights under the International Convention.

GLAXOSMITHKLINE LLC in turn hereby assigns and transfers to GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the invention and improvements and in and to the application including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection Certificate, extension or re-issue thereof, and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED in turn hereby assigns and transfers to GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the invention and improvements and in and to the application including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection Certificate, extension or re-issue thereof

AND I/we the inventor(s) and GLAXOSMITHKLINE LLC and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED hereby authorise and request any patent arising therefrom, in all countries, including the United States of America, its territories and possessions, be issued to GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED;

AND GLAXOSMITHKLINE SERVICES UNLIMITED and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree at the request and cost of GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED, its successors and assigns, the whole right, title and interest in and to the invention and improvements and in and to the application hereby assigned and transferred in all countries, including the United States of America, its territories and possessions.

PB65151

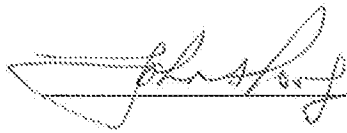
IN WITNESS whereof and with effect from the 13 Apr 2012, the inventor(s) and the Attorney & Authorised Official of GLAXOSMITHKLINE LLC and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED have hereunto set their respective hands.

Inventor Name

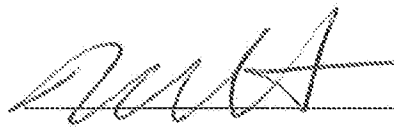
Signature

Date

John N. HONG:

 26 July 2013

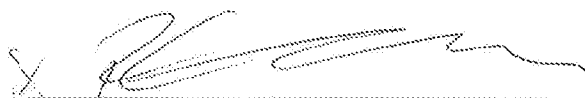
Michiel Mary VAN OORT:

 26 July 2013

SIGNED by



as the Attorney & Authorised Official of GLAXOSMITHKLINE LLC and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED:



Signature

13 AUG 2013

Date: _____