

RECEIVED
CENTRAL FAX CENTER

APR 20 2015

18:00:34 20-04-2015

3/5

06/08/2015



103672516

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2012)

4/20/15 RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
Sebastian BARTHLEIN; Richard SAPPER; Sven CHRISTOPH	Name: Rehau AG + Co
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Internal Address:
3. Nature of conveyance/Execution Date(s):	Street Address: Rheinlunhaus
Execution Date(s) 7-19-14, 7-24-14,	
<input checked="" type="checkbox"/> Assignment	City: Rehau
<input type="checkbox"/> Security Agreement	State:
<input type="checkbox"/> Joint Research Agreement	Country: GERMANY Zip: D-95171
<input type="checkbox"/> Government Interest Assignment	Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<input type="checkbox"/> Executive Order 9424, Confirmatory License	
<input type="checkbox"/> Other	
4. Application or patent number(s):	<input type="checkbox"/> This document is being filed together with a new application.
A. Patent Application No.(s)	B. Patent No.(s)
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name: Da Vinci Partners LLC	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
Internal Address:	<input checked="" type="checkbox"/> Authorized to be charged to deposit account
Street Address: Rathausgasse 1	<input type="checkbox"/> Enclosed
City: Arbon	<input type="checkbox"/> None required (government interest not affecting title)
State: Switzerland Zip: CH-9320	8. Payment Information
Phone Number: 01141 71 230 1000	Deposit Account Number 50-2621
Fax Number: 01141 71 230 1001	Authorized User Name John Moetteli
Email Address: moetteli@dayvincipartners.com	
9. Signature:	14 July 2014
/s/ John Moetteli	Date
Signature	
John Moetteli, Reg. No. 35,289	Total number of pages including cover sheet, attachments, and documents: 3
Name of Person Signing	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

04/30/2015 DTMERL 00000030 502621 14375139

01 FC:0021

40.00 DA

PATENT
REEL: 035813 FRAME: 0207

APR 20 2015

Atty Docket No. PUS-R008-049-N

PATENT RIGHTS ASSIGNMENT

FOR VALUE RECEIVED, the receipt of which is hereby acknowledged, the following inventor(s) (hereinafter called "ASSIGNOR")

BÄRTHLEIN, Sebastian of Erlangen, GERMANY
SAPPER, Richard of Schwabach, GERMANY
CHRISTOPH, Sven of Weisendorf, GERMANY

hereby assign(s), transfer(s) and set(s) over to:

REHAU AG + CO of Rehau, GERMANY

(hereinafter called "ASSIGNEE"), the entire worldwide right, title, and interest in and to the invention known as:

EXPANSION HEAD FOR EXPANSION TOOLS, EXPANSION TOOL COMPRISING SAID EXPANSION HEAD AND USE THEREOF

including but not limited to all design, Gebrauchsmuster, Geschmacksmuster, patents or related applications therefor, such as international patent application No. PCT/EP2013/000312 filed on 1 February 2013 and any further US patent applications, international applications and/or national or regional applications therefor that may be filed,

including the exclusive right to claim priority to this/these or any prior filed application or applications, including all rights, if any, throughout the entire world to sue for all infringements, including past infringements which may have occurred before the execution of this assignment.

- (1) The ASSIGNOR agrees without charge to said ASSIGNEE but at the ASSIGNEE's expense (a) to execute (i) all necessary papers to be used in connection with this application and any additional, continuing, or divisional applications, as the ASSIGNEE may deem necessary or expedient, (ii) all papers in connection with any interference or other legal or quasi-legal proceedings relating to this application or any additional, continuing, or divisional application thereof, (iii) all papers and documents which may be necessary in connection with the preparation and filing of any foreign applications or with making claims to priority rights granted under the provisions of the International Convention for Protection of Industrial Property or similar agreements; (b) to cooperate with ASSIGNEE in every way possible in obtaining evidence and in going forward in any such proceedings; and (c) to perform all other affirmative acts which may be necessary or desirable to obtain a grant of a valid patent for said invention in any country throughout the world.
- (2) ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States and the appropriate officials in any other country, to issue any and all Letters Patent that may be granted upon any application or any additional, continuing, or divisional applications thereof to the ASSIGNEE, his successors and assigns.

Atty Docket No. PUS-R008-049-N

page two of two

PATENT RIGHTS ASSIGNMENT

(3) ASSIGNOR hereby covenants and warrants that he has full right to convey the entire right, title, and interest by this instrument, free of any encumbrances and that no other agreement has been or will be executed in conflict herewith.

(4) ASSIGNOR hereby grants to John Moetteli, Reg. No. 35,289 and Sherman Pernia, Reg. No. 34,404 and Sharon Gobat, Reg. No. 44,730 and the firm of Da Vinci Partners LLC of Arbon, Switzerland, separately or individually, the authority and power to insert on this instrument any further identification which may be necessary or desirable for purposes of recordation in the United States Patent Office or a Patent Office of any foreign country.

Date: X 19. July 2014 Name of ASSIGNOR1: BÄRTHLEIN, Sebastian
Signature of ASSIGNOR1: X [Signature]

Date: X 24.07.14 Name of ASSIGNOR2: SAPPER, Richard
Signature of ASSIGNOR2: X [Signature]

Date: X 24.07.14 Name of ASSIGNOR3: CHRISTOPH, Sven
Signature of ASSIGNOR3: X [Signature]

Wherever possible, please have two persons witness the signature of the ASSIGNOR:

Dr. Roland Riemer
Name: [Signature]
[Signature]
Name: Dr. Roland Riemer
[Signature]
Name: Dr. Roland Riemer

[Signature]
Name: Dr. Uwe Schierjott
[Signature]
Name: Dr. Uwe Schierjott
[Signature]
Name: Dr. Uwe Schierjott

If the Examiner has further questions, he is invited to contact the undersigned at phone 011-4171 230 1000, fax at 011-4171 230 1001 or e-mail at moetteli@davincipartners.com.

Respectfully submitted,


John Moetteli

Date: April 14, 2015

John MOETTELI
U.S. Reg. No. 35,289

Enclosure: Recordation Form Cover Sheet, including the Patent Rights Assignment, as filed on 29 July 2014 at the USPTO.

Application Number Information*Assignment*Application Number: **14/375139** AssignmentsFiling or 371(c) Date: **00/00/0000** eDanEffective Date: **07/29/2014**Application Received: **07/29/2014**

Patent Number:

Issue Date: **00/00/0000**Date of Abandonment: **00/00/0000**Attorney Docket Number: **PUS-R008-049-N**Status: **19 /APPLICATION UNDERGOING PREEXAM PROCESSING**Confirmation Number: **5632**Title of Invention: **EXPANSION HEAD FOR EXPANSION TOOLS, EXPANSION TOOL COMPRISING SAID EXPANSION HEAD AND USE THEREOF**

AIA (First Inventor to File): -

Examiner Number: **00000** /Group Art Unit: **0000**

Class/Subclass: /

Interference Number:

Unmatched Petition: **NO**L&R Code: Secrecy Code:1Third Level Review: **NO**Oral Hearing: **NO**TYPE ENT: **U****IFW Madras**Waiting for Response Desc.
Prior Art FiledSecrecy Order: **NO**Status Date: **07/29/2014**Lost Case: **NO**

Bar Code	PALM Location	Location Date	Charge to Loc	Charge to Name	Employee Name	Location
----------	---------------	---------------	---------------	----------------	---------------	----------

Appln
Info

Contents

Petition Info

Atty/Agent Info

Continuity Data

Foreign Data

Inventors

Appli

Search Another: Application #

Search

or Patent #

Search

or International Registration #

Search

PCT / /

Search

or PG PUBS #

Search

Attorney Docket #

Search

Bar Code #

Search

To Go BACK Use BACK Button on Your BROWSER Tool Bar

Back to [PALM](#) | [ASSIGNMENT](#) | [OASIS](#) | Home pagehttp://palmapps.uspto.gov/cgi-bin/expo/GenInfo/snquery.pl?APPL_ID=14375139