## 503346728 06/11/2015

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3393348

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
PENGBO ZHOU	03/05/2014
J. DAVID WARREN	03/11/2014

## **RECEIVING PARTY DATA**

Name:	CORNELL UNIVERSITY	
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City:	ITHACA	
State/Country:	NEW YORK	
Postal Code:	14850	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14422571

## **CORRESPONDENCE DATA**

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NAME OF SUBMITTER:	MELISSA E. KOLOM	
SIGNATURE:	/Melissa E. Kolom/	
DATE SIGNED:	06/11/2015	

## **Total Attachments: 3**

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PATENT 503346728 REEL: 035824 FRAME: 0809

Cornell Reference: 5220-04-PC Leydig Reference: 714067

#### ASSIGNMENT

## WHEREAS, We,

- Pengbo Zhou, a U.S. citizen, residing at 6 Shannon Way, Princeton Junction, New Jersey 08550, U.S.A.,
- 2. J. David Warren, a U.S. citizen, residing at 450 East 63rd Street, Apartment 4F, New York, New York 10065, U.S.A.,

have invented certain new and useful improvements in INHIBITORS OF CRL4 UBIQUITIN LIGASE AND USES THEREOF for which an application for Letters Patent was filed under the Patent Cooperation Treaty in an international patent application designating the United States on August 21, 2013, under Application No. PCT/US13/56009

AND WHEREAS, Cornell University, with its principal place of business at Cornell Center for Technology, Enterprise & Commercialization ("CCTEC"), 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell University, [its/his] successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Cornell University, [its/his] successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said Cornell University, [its/his] successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said Cornell University, [its/his] successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

PATENT REEL: 035824 FRAME: 0810

	Pengino Zhon
STATE OF SS:	
This day of above-named Pengbo Zhou, to me persona his/her own free will for the purposes there	. 2013, before me personally came the elly known as the individual who executed the same of in set forth.
	Notary Public Commission expires on
	Telfun Hewonder 3/5/14
	JULY DENANCEZ
	withess.
	> Dulin Bonders 3/5/
	Mio Comotro
	Witness

IN TESTIMONY WHERE	OF, I hereunto set my hand and seal this <u>ll</u> day of
	J. David Warren
STATE OFSS:	
This day of above-named Pengbo Zhou, to me persons his/her own free will for the purposes there	, 2013, before me personally came the illy known as the individual who executed the same of ein set forth.
Commission expires on	Notary Public

EVELYN CRUZ 3.11.14
EVELYN GRUZ 3.11.14
EVELYN GRUZ 3/11/14
Alliet Hadrin 3/11/14

**RECORDED: 06/11/2015** 

PATENT REEL: 035824 FRAME: 0812