#### 06/18/2015 503356115

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3402737

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
FELINO V. CORTEZ JR.	06/18/2015
CHARLES BUSEY	06/18/2015
GEORGE C. DUNGAN II	06/18/2015

### **RECEIVING PARTY DATA**

Name:	VAPOTHERM, INC.		
Street Address:	22 INDUSTRIAL DRIVE		
Internal Address:	SUITE 1		
City:	EXETER		
State/Country:	NEW HAMPSHIRE		
Postal Code:	03833		

### **PROPERTY NUMBERS Total: 3**

Property Type Number	
Application Number:	14733180
PCT Number:	US2015034663
Application Number:	62008880

### **CORRESPONDENCE DATA**

Fax Number: (617)235-9492

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 6179517000

Email: christine.colbert@ropesgray.com

**ROPES & GRAY LLC Correspondent Name:** 

PRUDENTIAL TOWER-800 BOYLSTON STREET Address Line 1:

Address Line 2: IPRM DOCKETING 43RD FLOOR Address Line 4: BOSTON, MASSACHUSETTS 02199

ATTORNEY DOCKET NUMBER:	109915-0015-001/101/PCT
NAME OF SUBMITTER:	CHRISTINE M. COLBERT
SIGNATURE:	/Christine M. Colbert/
DATE SIGNED:	06/18/2015

**PATENT** REEL: 035861 FRAME: 0596 503356115

# **Total Attachments: 3**

source=06\_18\_15\_109915\_0015\_101\_Assignment#page1.tif source=06\_18\_15\_109915\_0015\_101\_Assignment#page2.tif

source=06\_18\_15\_109915\_0015\_101\_Assignment#page3.tif

**PATENT** REEL: 035861 FRAME: 0597

#### CONFIRMATORY ASSIGNMENT

WHEREAS, we, Felino V. Cortez, Jr., Charles Busey and George C. Dungan II, have invented one or more inventions described in applications for letters patent described in <u>Schedule A</u> (collectively, the "<u>Applications</u>");

WHEREAS, VAPOTHERM, INC., (hereinafter "Assignee"), a corporation organized and existing under the laws of the State of Delaware having principal offices at 22 Industrial Drive, Suite 1, Exeter, New Hampshire 03833, desires to acquire an interest therein in accordance with agreements duly entered into with us:

WHEREAS, the aforesaid inventors are obliged by terms of employment or other agreement to assign all right, title and interest in the Application to Assignee;

NOW, THEREFORE, in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we confirm that we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said Assignee, its successors, assigns and legal representatives, my entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said Application (and any inventions described therein) and such patents as may issue thereon, and any reissue, continuation, continuation-in-part, divisional and foreign counterparts thereof and including the right to claim priority under any applicable statute, treaty or convention based on said Application or inventions thereof; said Application and patents to be held and enjoyed by said Assignee for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said patents may be granted as fully and entirely as the same would have been held by us had this assignment not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing such Application, all choses in action pertaining to the Application or patents including the right to sue for and collect damages and other recoveries for past infringement thereof, all rights to initiate proceedings before government and administrative bodies, and all files, records and other materials arising from the prosecution, exploitation, or defense of rights and registrations pertaining to the Application or patents. We hereby acknowledge that this assignment, being of my entire right, title and interest in and to said Application, carries with it the right in Assignee to apply for and obtain from competent authorities in all countries of the world any and all patents by attorneys and agents of Assignee's selection and the right to procure the grant of all such patents to Assignee for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for myself and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid Application and patents to said Assignee, its successors, assigns and legal representatives, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional, continuation or continuation-in-part applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved;

Page 1

50906005\_1

AND, we do hereby authorize and request the C States, and all other competent authorities, to issue such Application or applications based thereon to said Assig representatives.  Inventor  Felino V. Cortez, Jr.  14805 Arabian Lane  Bowie, MD 20715	Commissioner of Patents of the United th patents as shall be granted upon said nee, its successors, assigns, and legal  Date: (-18-2015
Address	
Inventor Charles Busey  Charles Busey  123 Jackson Creek Lane  Grasonville, MD 21638	Date; <u>6 - 18 - 2015</u>
Address	······
George C. Dungan III 3627 Eden Drive Dallas, TX 75287	フ Date: <u>/8 プルビ ての/</u> 「
Address	~~~~
COUNTERSIGNED:  George Obungan, II  Vice President of Innovation VAPOTHERM, INC.	Date: 18 OVNU Z.15
\$0908005_1 Page 2	

## SCHEDULE A

Serial No.	Filing Date	Title	File
62/008,880	June 6, 2014	HEATED NEBULIZER ADAPTER FOR RESPIRATORY THERAPY	109915-0015-001
PCT/US2015/034663	June 8, 2015	HEATED NEBULIZER ADAPTER FOR RESPIRATORY THERAPY	109915-0015-WO1
14/733,180	June 8, 2015	HEATED NEBULIZER ADAPTER FOR RESPIRATORY THERAPY	109915-0015-101

Page 3

50908805\_1

PATENT REEL: 035861 FRAME: 0600