

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3404953

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DUSTIN A. HELDMAN	08/13/2014
JOSEPH P. GIUFFRIDA	08/13/2014
RECEIVING PARTY DATA	
Name:	GREAT LAKES NEUROTECHNOLOGIES INC.
Street Address:	10055 SWEET VALLEY DRIVE
City:	VALLEY VIEW
State/Country:	OHIO
Postal Code:	44125
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	8843201
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	216-649-0376
Email:	bkolowski@glneurotech.com
Correspondent Name:	BRIAN M. KOLKOWSKI
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Address Line 4:	CLEVELAND, OHIO 44103
ATTORNEY DOCKET NUMBER:	GLN-025
NAME OF SUBMITTER:	MARK PENNINGTON
SIGNATURE:	/Mark Pennington/
DATE SIGNED:	06/19/2015
Total Attachments: 4	
source=GLN-025_2014-08-13_assignment#page1.tif	
source=GLN-025_2014-08-13_assignment#page2.tif	
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source=GLN-025_2014-08-13_assignment#page4.tif	

RECORDATION FORM COVER SHEET
PATENTS ONLY

GLN-025

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Dustin A. Heldman
Joseph P. Giuffrida

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 2014-08-13

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Great Lakes NeuroTechnologies Inc.

Internal Address: _____

Street Address: 10055 Sweet Valley Drive

City: Valley View

State: OH

Country: US Zip: 44125

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

8,843,201

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Brian M. Kolkowski

Internal Address: _____

Street Address: 4415 Euclid Ave., Suite 500

City: Cleveland

State: OH Zip: 44103

Phone Number: (216) 649-0376

Fax Number: (216) 649-0397

Email Address: bkkolkowski@glneurotech.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00

- ☐ Authorized to be charged by credit card
☐ Paid via credit card through EPAS
☐ Enclosed
☐ None required (government interest not affecting title)

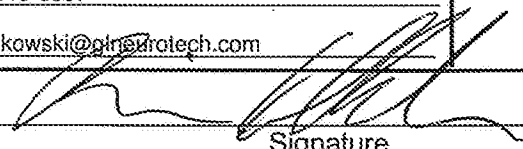
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:


Signature

8/19/15
Date

Brian M. Kolkowski
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 035868 FRAME: 0596

Docket No.
GLN-025

* *United States of America rights, title and interest in the invention*

Foreign rights, title and interest in the invention

☐ *United States Patent Application Serial No.*

Date of Execution:

Date of Filing:

☐ *United States Provisional Patent Application Serial No.*

☒ *United States Patent No(s).* 8,843,201

☐ *International (PCT) Patent Application Serial No.*☐ Other (specify) _____

Wearable, unsupervised transcranial direct current stimulation (tDCS) device for movement disorder therapy, and method of using

[illegible]

<i>Name</i>	<i>Address</i>
Great Lakes NeuroTechnologies Inc.	10055 Sweet Valley Drive Valley View, OH 44125

Docket No.
GLN-025

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
GLN-025

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 13th day of August, in the year 2014
at

State of OH

County of Cuyahoga

Before me personally appeared Dustin A. Heldman

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 13th day of August, in the year 2014



MARK PENNINGTON
ATTORNEY AT LAW
NOTARY PUBLIC
STATE OF OHIO
My Comm. Has No
Expiration Date
Section 147.03 R. C.

(Notary Public)

Executed this 13th day of August, in the year 2014
at

State of OH

County of Cuyahoga

Before me personally appeared Joseph P. Giuffrida

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 13th day of August, in the year 2014



MARK PENNINGTON
ATTORNEY AT LAW
NOTARY PUBLIC
STATE OF OHIO
My Comm. Has No
Expiration Date
Section 147.03 R. C.

(Notary Public)

Executed this day of, in the year
at

State of OH

County of Cuyahoga

Before me personally appeared

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this day of, in the year

(Notary Public)