503358331 06/19/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3404953

NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
DUSTIN A. HELDMAN		08/13/2014
JOSEPH P. GIUFFRIDA		08/13/2014

Name:GREAT LAKES NEUROTECHNOLOGIES INC.Street Address:10055 SWEET VALLEY DRIVECity:VALLEY VIEWState/Country:OHIOPostal Code:44125

PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	8843201

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER:	GLN-025
NAME OF SUBMITTER:	MARK PENNINGTON
SIGNATURE:	/Mark Pennington/
DATE SIGNED:	06/19/2015

Total Attachments: 4

source=GLN-025_2014-08-13_assignment#page1.tif source=GLN-025_2014-08-13_assignment#page2.tif source=GLN-025_2014-08-13_assignment#page3.tif source=GLN-025_2014-08-13_assignment#page4.tif Form PTO-1595 (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008) U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

	RM COVER SHEET SONLY
To the Director of the U.S. Patent and Trademark Office: Pleas	e record the attached documents or the new address(es) below.
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
Dustin A. Heldman Joseph P. Giuffrida	Name: Great Lakes NeuroTechnologies Inc.
obsephir, Clamica	Internal Address:
Additional name(s) of conveying party(ies) attached? Yes No 3. Nature of conveyance/Execution Date(s): Execution Date(s) 2014-08-13 Assignment Merger Security Agreement Change of Name Joint Research Agreement Government Interest Assignment Executive Order 9424, Confirmatory License Other	Street Address:
4. Application or patent number(s): This A. Patent Application No.(s) Additional numbers att	document is being filed together with a new application. B. Patent No.(s) 8,843,201 ached? Yes VNo
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name: Brian M. Kolkowski	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00
Internal Address:	Authorized to be charged by credit card
Street Address: 4415 Euclid Ave., Suite 500	 Paid via credit card through EPAS Enclosed None required (government interest not affecting title)
City: Cleveland	8. Payment Information
State: OH Zip:44103	a. Credit Card Last 4 Numbers Expiration Date
Phone Number: (216) 649-0376	b. Deposit Account Number
Fax Number: (216) 649-0397	Authorized User Name
Email Address: <u>bkolkowski@ghourotech.com</u>	
9. Signature:	
Brian M. Kolkowski	Total number of pages including cover
Name of Person Signing Documents to be recorded (including cover shee	sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

> PATENT REEL: 035868 FRAME: 0596

	Assignment of Rights, Title and (Multiple inventors; sin		Docket No. GLN-025
This is an A	ssignment of the following rights, title i	and interest: (check all that apply):	
*	United States of America rights, title	and interest in the invention	
	Foreign rights, title and interest in th	e invention	
	United States Patent Application Seri	ial No.	
	Date of Execution:	Date of Filing:	
	United States Provisional Patent App	lication Serial No.	
•	United States Patent No(s). 8,843,2	201	
	International (PCT) Patent Applicati		
D	Other (specify)		
Title of the i			
Itherapy, a	nd method of using		
· · · · · · · · · · · · · · · · · · ·	Name	Address	
Dustin A.	Heldman	23299 Shaker Blvd.	Shaker Heights, OH 44122
Joseph P	. Giuffrida	1247 River Woods Dr.	Hinckley, OH 44233
Assignee			
	Name	Address	
Great La	es NeuroTechnologies Inc.	10055 Sweet Valley Drive Valley View, OH 44125	
		[Page 1 of 3]	AS03A/REV01

PATENT REEL: 035868 FRAME: 0597

Assignment of Rights,	Title and	Interest in	Invention
(Multiple inve	entors; sin	igle assigne	e)

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries (not said Assignee;

And we hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Town and a second	Construction in the	ALC ST. A	See Sanding S. S. S. S.	Salan an Saladian and S	لأحاج ومحاجبة المحاجب والمتراج الأواجا والمحاجب والمحاجب
inventars.	Mannures	ur ivorarizanon.	IS GESURED, DO ROT	sign nere and	proceed to next page)
	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~				proved an area proved

Name	Signature/Date
Dustin A. Heldman	Signature/Date
Joseph P. Giuffrida	N/2
	7

	erest in Invention assignee)	Docket No. GLN-025
(Although notarization is not necessary, it will be consi	<u>Notarization</u> idered prima facic evidence of execution purs	want to 35 U.S.C. 261.)
executed this 13th day of August	, in the year 2014	
	(Signature of	Inventor)
State of OH		RIAL STAL
County of Cuyahoga		MARK PENNIN ATTORNEY AT NOTARY PUE STATE OF O
Before me personally appeared Dustin A. Heldman who acknowledged the foregoing instrument to be a execute the same this $12T^{\#}$ day of 34	free act and deed and also see	sent the head of the shall have
County of Cuyahoga Before me personally appeared Dustin A. Heldman who acknowledged the foregoing instrument to be a execute the same this $13^{-24}$ day of $3^{-3}$	st VQ	OF OHUMAN Section 147.0
	(Notary I	Public)
executed this 13th day of Aguet	, in the year Join	
State of OH	(Signaturents)	MARK PENNIN ATTORNEY A
County of Cuyahooa		C = MOTADY DI
County of Cuyahoga Before me personally appeared Joseph P. Giuffrida who acknowledged the foregoing instrument to be a	free act and deed and diso room	E STATEOFO
Before me personally appeared Joseph P. Giuffrida	free act and deed and also reponse st , in the year of the second of th	Section 147.0
Before me personally appeared Joseph P. Giulfrida who acknowledged the foregoing instrument to be a	free act and dood and Ara War	Section 147.0
Before me personally appeared Joseph P. Giulfrida who acknowledged the foregoing instrument to be a execute the same this 13 ^{TE} day of A.S. Executed this day of	free act and deed and aso very st , in the year from the sear from the	Wy Comm. H south for an Exploration of OF ON Watthe Public
Before me personally appeared Joseph P. Giulfrida who acknowledged the foregoing instrument to be a execute the same this 13 ^{TL} day of A.S. Executed this day of at	free act and deed and also repro- sst , in the year of the sear of	Wy Comm. H south for an Exploration of OF ON Watthe Public
Before me personally appeared Joseph P. Giulfrida who acknowledged the foregoing instrument to be a execute the same this 13 TH day of 433 Executed this day of at	free act and deed and as very st , in the year (Notary , in the year (Signature o)	This are supported by the section 147.0 Public)
Before me personally appeared Joseph P. Giulfrida who acknowledged the foregoing instrument to be a execute the same this 13 TH day of 4.5 TH Executed this day of at State of OH County of Cuyahoga Before me personally appeared	free act and deed and as very st , in the year (Notary , in the year (Signature o)	This are supported by the section 147.0 Public)
Before me personally appeared Joseph P. Giulfrida who acknowledged the foregoing instrument to be a execute the same this 13 ^{TL} day of A.S. Executed this day of at State of OH County of Cuyahoga Before me personally appeared who acknowledged the foregoing instrument to be a	free act and deed and also repro- st , in the year (Multiple (Notary) , in the year (Signature o) free act and deed and also repro-	My Comm. H and the or Skoleation OF OLIVIE Public) (Inventor) Esented that he or she is authoric

[Page 3 of 3]

### PATENT REEL: 035868 FRAME: 0599

RECORDED: 06/19/2015