

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3409130

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
UNIVERSITY COLLEGE CARDIFF CONSULTANTS LTD	06/16/2015
RECEIVING PARTY DATA	
Name:	THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH
Street Address:	OLD COLLEGE, SOUTH BRIDGE
City:	EDINBURGH
State/Country:	SCOTLAND
Postal Code:	EH8 9VL
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	13822858
Application Number:	13822900
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Correspondent Name:	HAHN LOESER & PARKS LLP
Address Line 1:	ONE GOJO PLAZA, SUITE 300
Address Line 4:	AKRON, OHIO 44311-1076
ATTORNEY DOCKET NUMBER:	116154.00002 & 00003
NAME OF SUBMITTER:	LOUIS F. WAGNER
SIGNATURE:	/Louis F. Wagner/
DATE SIGNED:	06/23/2015
Total Attachments: 8	
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PATENT

REEL: 035960 FRAME: 0131

ASSIGNMENT [REDACTED]

This Agreement ("Agreement") is made effective the 11th day of June 2015 ("EFFECTIVE DATE")

BETWEEN:

- (1) **UNIVERSITY COLLEGE CARDIFF CONSULTANTS LTD** whose principal administrative offices are at 30-36 Newport Road, Cardiff, CF24 0DE ("UC3")

and

- (2) **THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH**, a charitable body registered in Scotland under registration number SC005336, incorporated under the Universities (Scotland) Acts and having its main administrative offices at Old College, South Bridge Edinburgh EH8 9YL ("Edinburgh")

Each a "Party" and collectively the "Parties".

BACKGROUND

- (A) The work of (Prof Neil Bruce McKeown, Dr Mariolino Carter and Dr Matthew James Croad), has resulted in an invention [REDACTED]
- (B) The work of (Prof Neil Bruce McKeown and Dr Mariolino Carter), has resulted in an invention [REDACTED]
- (C) UC3 has filed a patent application in respect of each of the aforementioned inventions (hereinafter defined and referred to as the "Application(s)").
- (D) UC3 is a wholly owned company of Cardiff University which is used to hold and exploit intellectual property rights on behalf of Cardiff University. [REDACTED]
- (E) UC3 wishes to assign all its rights in the Application(s) to Edinburgh.

NOW, THEREFORE, intending to be legally bound and in consideration of the mutual covenants and conditions contained herein, the Parties hereby agree as follows:

OPERATIVE TERMS:

1 DEFINITIONS AND INTERPRETATION

1.2 Patent Rights

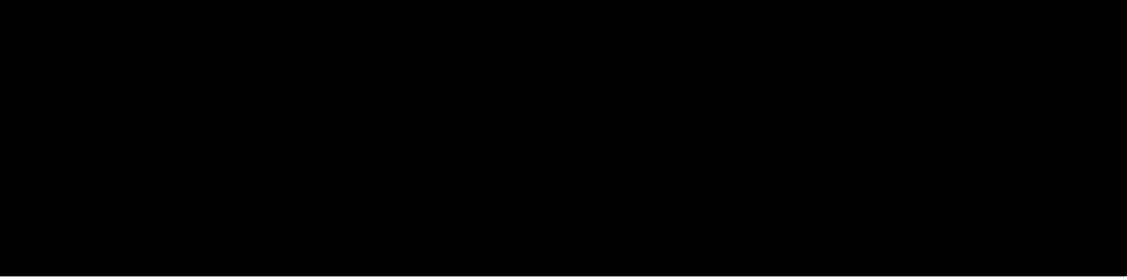
"Patent Rights" shall mean the patent applications, set out in Schedule 1, and all patent applications and patents deriving or claiming priority from any thereof or otherwise relating to the patent application including all divisionals, continuations, reissues, extensions, registrations and supplementary protection certificates in relation to any thereof and any granted patents pursuant to any of the aforementioned patent applications;

2. ASSIGNMENT

For the consideration [REDACTED] UC3 hereby assigns with full title guarantee to Edinburgh absolutely and free from all liens charges licences and encumbrances and Edinburgh hereby accepts from UC3 all UC3's property right title and interest anywhere in the world without limitation in and to:

- 2.1 the Patent Rights;
- 2.2 the benefit of all priority dates;
- 2.3 all other intellectual property rights and know-how in the Patent Rights;
- 2.4 the right to sue for and obtain injunctive relief damages and all other relief in respect of any infringement or misuse (whether past present or

future) in relation to the Patent Rights or any of the rights conferred by publication of the Patent Rights or any of the other rights referred to in this Assignment.

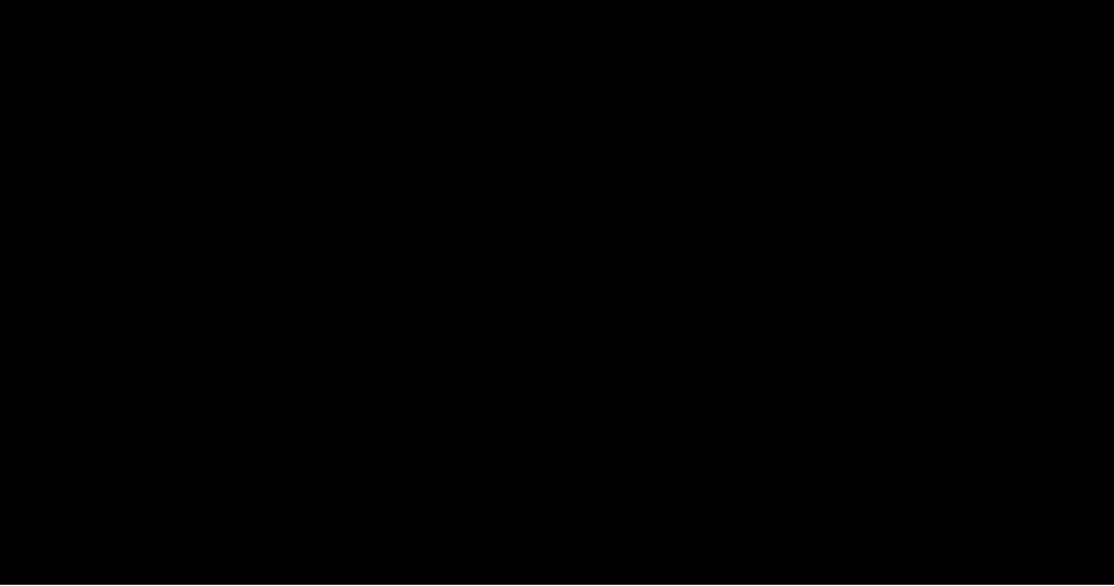


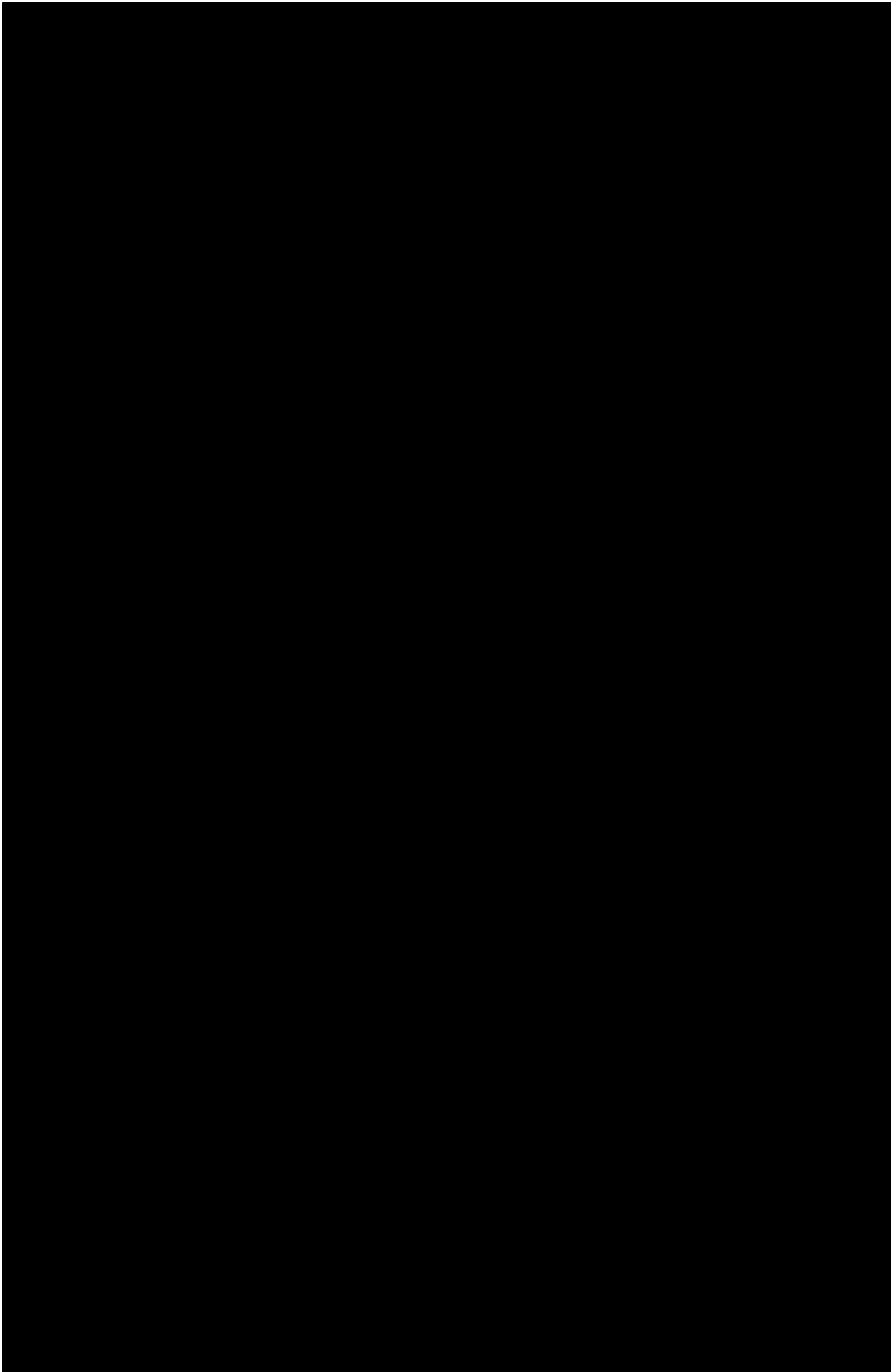
4. FURTHER ASSURANCE

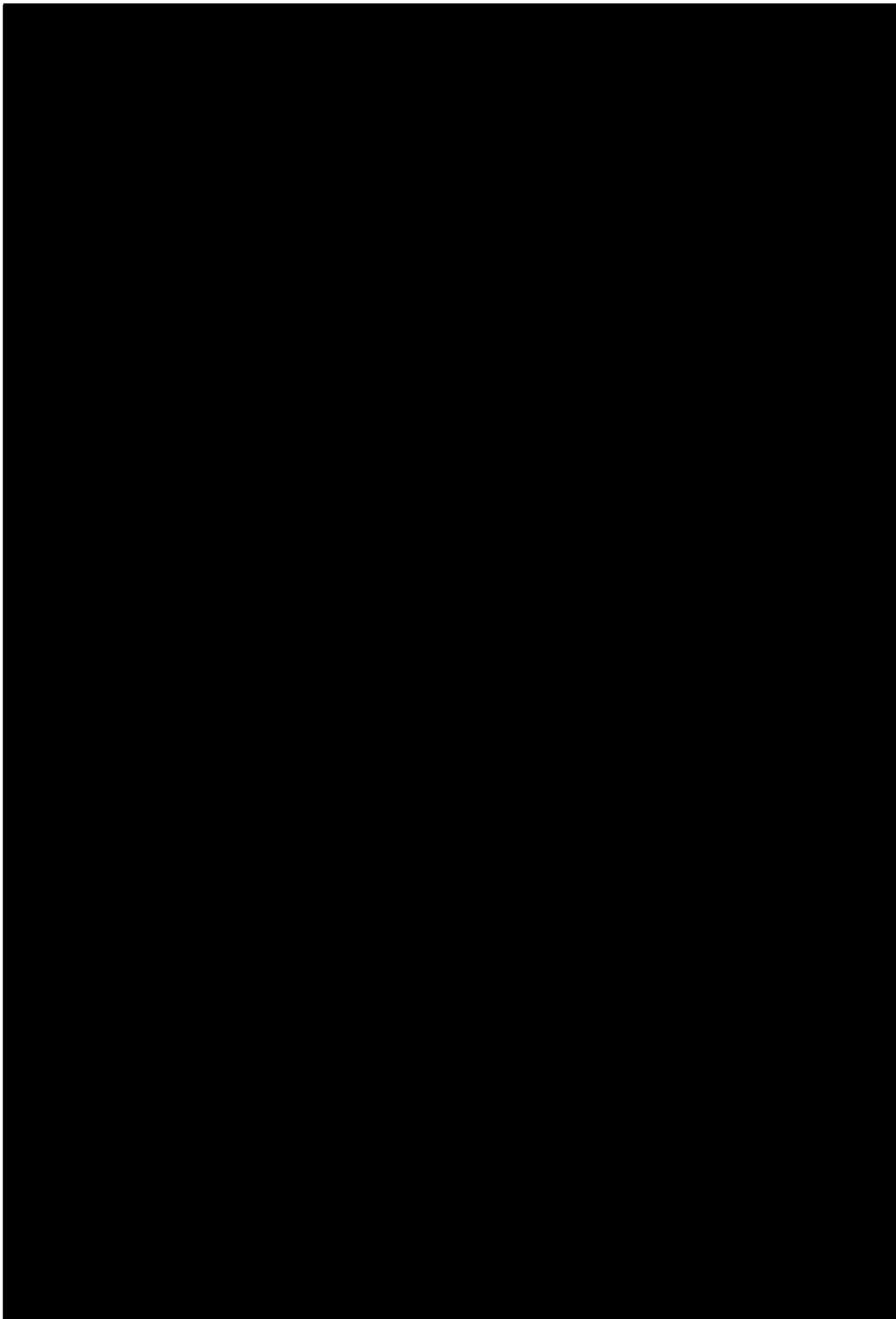
UC9 undertakes to do all further acts and execute all further documents at Edinburgh's request and expense as Edinburgh may reasonably require to secure the vesting in Edinburgh or Edinburgh's nominee or successors in title of all rights title and interests intended to be assigned transferred or granted to Edinburgh hereunder and to give Edinburgh the full benefit of this Assignment including doing all acts which may be necessary or desirable in connection with:

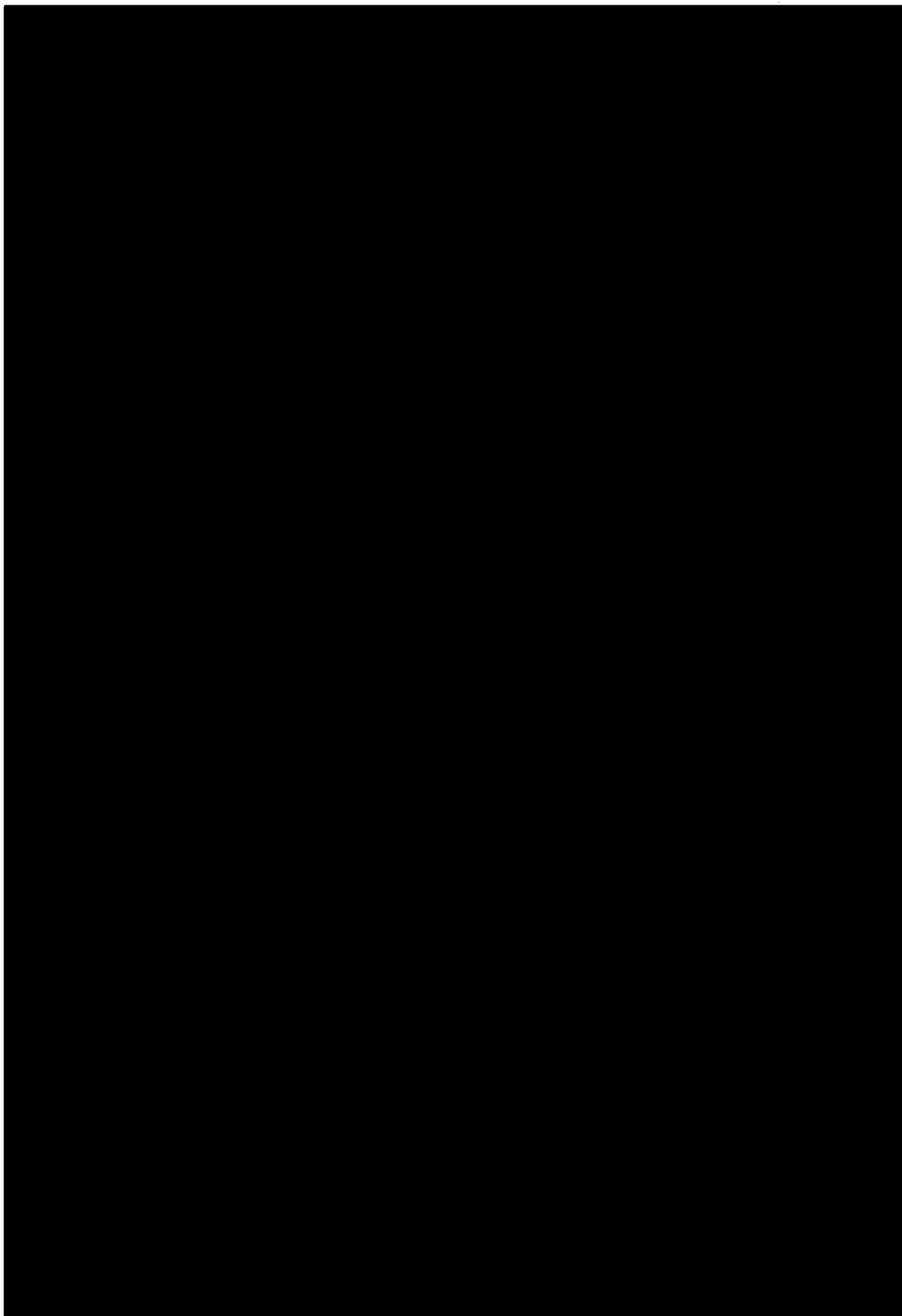
- 4.1 the prosecution of the Application(s) and the filing and prosecution of any further applications anywhere in the world and obtaining grant in relation thereto;
- 4.2 protecting and enforcing the Application(s) and any patents or patent applications and other intellectual property rights arising therefrom.

Any confirmatory assignment documents required in any country shall be construed in accordance with the terms of this Assignment.









IN WITNESS WHEREOF, the duly authorized representatives of the Parties hereby execute this Inter-Institutional Agreement as of the EFFECTIVE DATE.

Signed for and on behalf of UC3

Signature..... *N. B. Bourne*

Date..... *16.06.2015*

Name (Printed)..... *N. B. BOURNE*

Title..... *DIRECTOR*

Signed for and on behalf of The University Court of the University of Edinburgh

Signature..... *I. K. Lamb*

Date..... *12 JUNE 2015*

Name (Printed).....
IAN K. LAMB
COMPANY SECRETARY
EDINBURGH RESEARCH AND INNOVATION LIMITED

Title.....

Schedule 1

UC3 Ref	Title	Application Numbers	Date of Application	Country
2010018A	Polymerisation Method	GB1015397.1	15 September 2010	GB
2010018A	Polymerisation Method	US13822900	12 September 2011	USA
2010018A	Polymerisation Method	EP11757925.0	12 September 2011	Europe
2010018B	Polymers, their method of manufacture and use thereof	GB1015401.1	15 September 2010	GB
2010018B	Polymers, their method of manufacture and use thereof	US13822858	12 September 2011	USA
2010018B	Polymers, their method and use thereof	EP11761110.3	12 September 2011	Europe