

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT3415937

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JEFFREY H. HAGER	06/05/2015
JAMES D. JOSEPH	06/06/2015
JING QIAN	06/03/2015
NICHOLAS D. SMITH	06/02/2015
EDNA CHOW MANEVAL	06/03/2015
DEBASISH F. ROYCHOWDHURY	06/03/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	SERAGON PHARMACEUTICALS, INC.
<b>Street Address:</b>	12780 EL CAMINO REAL
<b>Internal Address:</b>	SUITE 302
<b>City:</b>	SAN DIEGO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	92130
<b>PROPERTY NUMBERS Total: 2</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14645578
<b>PCT Number:</b>	EP2015055120
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(650) 467-4255
<b>Email:</b>	docketing-d@gene.com, ely@gene.com
<b>Correspondent Name:</b>	ALEX ANDRUS
<b>Address Line 1:</b>	1 DNA WAY
<b>Address Line 4:</b>	SOUTH SAN FRANCISCO, CALIFORNIA 94080
<b>ATTORNEY DOCKET NUMBER:</b>	P32309-US/P32309-WO
<b>NAME OF SUBMITTER:</b>	ALEX ANDRUS
<b>SIGNATURE:</b>	/ALEX ANDRUS/

**DATE SIGNED:**

06/26/2015

**Total Attachments: 12**

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source=P32309-US\_P32309-WO\_Assignment\_Seragon\_Inventors#page12.tif

## **ASSIGNMENT**

WHEREAS, Jeffrey H. Hager, James D. Joseph, Jing Qian, Nicholas D. Smith, Edna Chow Maneval and Debasish F. Roychowdhury (each an "ASSIGNOR"), have invented a new and useful invention in

### ***METHODS AND COMPOSITIONS FOR MODULATING ESTROGEN RECEPTOR MUTANTS***

for which an application Serial No. **14/645,578** (Docket No. **P32309-US**) for Letters Patent has been filed by them on **March 12, 2015**; and

for which an application Serial No. **PCT/EP2015/055120** (Docket No. **P32309-WO**) for Letters Patent has been filed by them on **March 12, 2015**; and

WHEREAS, SERAGON PHARMACEUTICALS, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 12780 El Camino Real Suite 302 San Diego, CA 92130, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

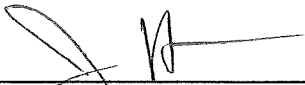
That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said SERAGON PHARMACEUTICALS, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said SERAGON PHARMACEUTICALS, INC., in accordance with this Assignment.

The undersigned ASSIGNOR(S) covenant and agree to cooperate with SERAGON PHARMACEUTICALS, INC., to enable said SERAGON PHARMACEUTICALS, INC., to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said SERAGON PHARMACEUTICALS, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said SERAGON PHARMACEUTICALS, INC.

The terms and covenants of this assignment shall inure to the benefit of said SERAGON PHARMACEUTICALS, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF I/we undersign as follows;

 6/5/15  
\_\_\_\_\_  
Jeffrey H. Hager Date  
Mailing address:  
12780 El Camino Real Suite 302  
San Diego, CA 92130  
Citizenship: US

\_\_\_\_\_  
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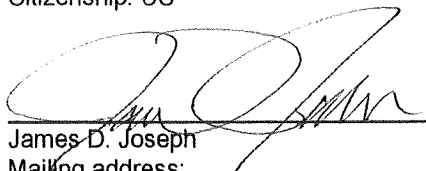
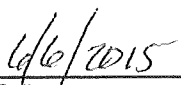
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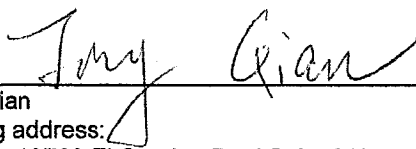
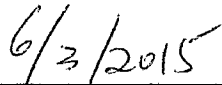
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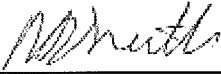
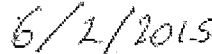
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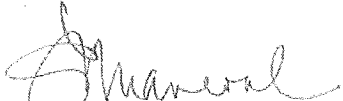
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 03 June 2015

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NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said SERAGON PHARMACEUTICALS, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said SERAGON PHARMACEUTICALS, INC., in accordance with this Assignment.

The undersigned ASSIGNOR(S) covenant and agree to cooperate with SERAGON PHARMACEUTICALS, INC., to enable said SERAGON PHARMACEUTICALS, INC., to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said SERAGON PHARMACEUTICALS, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said SERAGON PHARMACEUTICALS, INC.

The terms and covenants of this assignment shall inure to the benefit of said SERAGON PHARMACEUTICALS, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF I/we undersign as follows;

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Jeffrey H. Hager Date  
Mailing address:  
12780 El Camino Real Suite 302  
San Diego, CA 92130  
Citizenship: US

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James D. Joseph Date  
Mailing address:  
12780 El Camino Real Suite 302  
San Diego, CA 92130  
Citizenship: US

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Jing Qian Date  
Mailing address:  
12780 El Camino Real Suite 302  
San Diego, CA 92130  
Citizenship: US

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Nicholas D. Smith Date  
Mailing address:  
12780 El Camino Real Suite 302  
San Diego, CA 92130  
Citizenship: US

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Edna Chow Maneval Date  
Mailing address:  
12780 El Camino Real Suite 302  
San Diego, CA 92130  
Citizenship: US

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*D. Roychowhury* 6.3.15

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Debasish F. Roychowhury ROYCHOWDHURY Date  
Mailing address:  
12780 El Camino Real Suite 302  
San Diego, CA 92130  
Citizenship: US