# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3426967

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Execution Date
OTOKINETICS, INC.	05/29/2015

### **RECEIVING PARTY DATA**

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Postal Code:	45244

### **PROPERTY NUMBERS Total: 19**

Property Type	Number
Patent Number:	5531787
Patent Number:	5772575
Patent Number:	5881158
Patent Number:	5951601
Patent Number:	5977689
Patent Number:	5984859
Patent Number:	6068589
Patent Number:	6153966
Patent Number:	6381336
Patent Number:	6561231
Patent Number:	8147544
Patent Number:	8192488
Patent Number:	8828002
Patent Number:	8876689
Patent Number:	9066185
Application Number:	13468983
Application Number:	13615378
Application Number:	13714216
Application Number:	13802261

PATENT REEL: 036068 FRAME: 0413

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SIGNATURE:	/J. Michael Hurst/
DATE SIGNED:	07/07/2015

### **Total Attachments: 5**

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# NOTICE OF SECURITY INTEREST IN PATENTS

U.S. Department of Commerce

U.S. Patent and Trademark Office

Please be advised that the undersigned has granted a continuing security interest in, and a continuing lien upon, all of the patents and patent applications described on <u>Exhibit A</u> attached hereto to each of the Lenders set described on <u>Exhibit B</u> attached hereto pursuant to Secured Convertible Promissory Notes (the "<u>Promissory Notes</u>") by OTOKINETICS, INC. (the "<u>Maker</u>") each as dated as of the date set forth on Exhibit B.

The Lender's security interest in the described patents and patent applications can be terminated only in accordance with the terms of the Promissory Notes which may be amended, supplemented, waived or otherwise modified from time to time.

[Signature page follows]

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Very truly yours,

OTOKINETICS, INC.

By: S. George Lesinski, MD

Title: Chairman

## EXHIBIT A

## **Patents and Patent Applications**

Patent Number	Patent Application Number	Date Patent Issued	Date Patent Applied
United States		July 2, 1996	
Patent No.		•	
5,531,787			
United States		June 30, 1998	
Patent No.			
5,772,575			
United States		March 9, 1999	
Patent No.		·	
5,881,158			
United States		September 14, 1999	
Patent No.		,	
5,951,601			
United States		November 2, 1999	
Patent No.		ŕ	
5,977,689			
United States		November 16, 1999	
Patent No.		Í	
5,984,859			
United States		May 30, 2000	
Patent No.			
6,068,589			
United States		November 28, 2000	
Patent No.			
6,153,966			
United States		April 30, 2002	
Patent No.			
6,381,336			
United States		May 13, 2003	
Patent No.			
6,561,231			
United States		April 3, 2012	
Patent No.			
8,147,544			
United States		June 5, 2012	
Patent No.			
8,192,488			
United States		November 4, 2014	
Patent No.			
8,876,689			
United States	j	September 9, 2014	
Patent No.			
8,828,002			

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United States		June 23, 2015	
Patent No.			
9,066,185			
	United States Patent		May 10, 2012
	Application No. 13/468,983		
	United States Patent	,	September 13, 2012
	Application No. 13/615,378		
	United States Patent		December 13, 2012
	Application No. 13/714,216		
	United States Patent		March 13, 2013
	Application No. 13/802,261		

### **EXHIBIT B**

# **Lenders and Secured Convertible Promissory Note Dates**

<u>Lender</u>	<u>Date</u>
Buechner Haffer Meyers & Koenig Co. LPA Profit Sharing Plan fbo Robert W. Buechner	May 22, 2015
Joe Detzel	June 17, 2015
William J. Dillhoff, Trustee U/T/A May 18, 2011	May 29, 2015
George Lesinski	June 12, 2015
William E. Lower, Trustee of William E. Lower Trust	May 27, 2105
Jim Bob Plunkett	June 12, 2015
Don K. Rehse	May 27, 2015
Richard S. Trautmann	May 21, 2015

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**RECORDED: 07/07/2015**