# 503391559 07/14/2015

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3438184

UBMISSION TYPE:		NEW ASSIGNMENT				
IATURE OF CONVEYA	NCE:	ASSIGNMENT				
CONVEYING PARTY D	ΑΤΑ					
		Name	Execution Date			
SAMUEL LAI			03/22/2014			
ARTHI KANNAN	06/11/2015					
KENETTA NUNN			05/07/2015			
DURAI BABU SUBRAM	ANI		05/26/2015			
RECEIVING PARTY DA						
Name:	THE U	NIVERSITY OF NORTH CAROLINA AT	CHAPEL HILL			
Street Address:	100 EU	IROPA DRIVE				
Internal Address:	SUITE	430				
City:	CHAPE	EL HILL				
State/Country:		TH CAROLINA				
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#### ASSIGNMENT

THIS ASSIGNMENT, made by us, **Samuel Lai**, a citizen of Canada, residing at 106 Oak Spring Ct, Carrboro, NC 27510; **Arthi Kannan**, a citizen of the United States of America, residing at 02-521, Blk 303, Clementi Avenue 4, Singapore, 120303; **Kenetta Nunn**, a citizen of the United States of America, residing at 3325 Coachmans Way, Durham, North Carolina 27705; and **Durai Babu Subramani**, a citizen of India, residing at 1521 E. Franklin Street #C206, Chapel Hill, North Carolina 27514;

WITNESSETH: That,

WHEREAS, we are the joint inventors, along with Ying-Ying Wang and Richard Cone, of certain new and useful improvements in **COMPOSITIONS AND METHODS FOR INHIBITING PATHOGEN INFECTION** for which U.S. Provisional Application No. 61/ 719,689 was filed on October 29, 2012 in the United States Patent and Trademark Office and PCT International Application No. PCT/US2013/067328 was filed on October 29, 2013 in the United States Patent and Trademark Office; and

WHEREAS, The University of North Carolina at Chapel Hill, a constituent institution of The University of North Carolina and an educational institution chartered under the laws of the State of North Carolina having a principal place of business at 100 Europa Drive, Suite 430, Chapel Hill, North Carolina 27517, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention as described in said applications, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer and convey unto said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and applications, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, together with the right to claim the priority of said applications in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

#### ASSIGNMENT

THIS ASSIGNMENT, made by us, Samuel Lai, a citizen of Canada, residing at 106 Oak Spring Ct, Carrboro, NC 27510; Arthi Kannan, a citizen of the United States of America, residing at 359 Longmillgate Road, Morrisville, North Carolina 27560; Kenetta Nunn, a citizen 113 STYNER AVE AP+ 12, MOSCOW, 10 83843 of the United States of America, residing at 3325 Coachmans Way, Durham, North Carolina 27705; and Durai Babu Subramani, a citizen of India, residing at 1521 E. Franklin Street #C206, Chapel Hill, North Carolina 27514;

WITNESSETH: That,

WHEREAS, we are the joint inventors, along with Ying-Ying Wang and Richard Cone, of certain new and useful improvements in **COMPOSITIONS AND METHODS FOR INHIBITING PATHOGEN INFECTION** for which for which U.S. Provisional Application No. 61/719,689 was filed on October 29, 2012 in the United States Patent and Trademark Office and PCT International Application No. PCT/US2013/067328 was filed on October 29, 2013 in the United States Patent and Trademark Office; and

WHEREAS, **The University of North Carolina at Chapel Hill**, a constituent institution of The University of North Carolina and an educational institution chartered under the laws of the State of North Carolina having a principal place of business at 308 Bynum Hall, Campus Box 4105, Chapel Hill, North Carolina, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention as described in said applications, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer and convey unto said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and applications, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, together with the right to claim the priority of said applications in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

#### Attorney Docket No. 5470.682.WO Application Serial No. PCT/US2013/067328

We hereby request that said Letters Patent be issued in accordance with this assignment. We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and applications above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to promptly communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives; and we hereby instruct, and further covenant and agree to bind our heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said assignee or its representatives.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 22 day of

March, 2014. denta (SEAL)

STATE OF North Carolind COUNTY OF Days

Before me personally appeared the person described in and who executed the foregoing instrument, and s/he acknowledged to me that s/he executed the same for the purposes therein stated, this  $22^{\circ}$  day of MWM, 2014.

Notary Public ATTENDED IN THE OWNER OF THE OWNER SEAL My Commission Expires: 10/23/20/7

Page 2 of 6

PATENT REEL: 036082 FRAME: 0055

ALLIN MILLINN

## Attorney Docket No. 5470.682.WO Application Serial No. PCT/US2013/067328

IN WIT	NESS WHEREOF,	I have hereunto set r	ny hand and seal on this $\_$	day of
June	, 2015.			
	K	Afli	(SEAL)	
	Arthi	i Kannan		
Witnessed By:	P. Nactobinh	,		
<b></b>	_ Date: 11 <sup>th</sup>	June, 2015		

Page 3 of 6

## Attorney Docket No. 5470.682.WO Application Serial No. PCT/US2013/067328

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this \_\_\_\_\_ day of

\_, 2015. (SEAL) Kenetta N

STATE OF Ida COUNTY OF late

Before me personally appeared the person described in and who executed the foregoing instrument, and s/he acknowledged to me that s/he executed the same for the purposes therein stated, this  $\underline{17}$  day of  $\underline{May}$ , 2015.

Notary Public

SEAL

My Commission Expires: \_



#### Attorney Docket No. 5470.682,WO Application Serial No. PCT/US2013/067328

IN WITNESS WHEREOF, 1 have hereunto set my hand and seal on this \_\_\_\_\_\_ day of -2014: 2015 may 2. Drom baber. (SEAL) Dural Babu Subramani

STATE OF ADETI CANOUND COUNTY OF ORALLE)

Before me personally appeared the person described in and who executed the foregoing instrument, and s/he acknowledged to me that s/he executed the same for the purposes therein stated, this 26 day of <u>warf</u>, 2015

potary Public () SEAL

Infust 11 2018 My Commission Expires:



WHEREBY The University of North Carolina at Chapel Hill acknowledges and accepts the assignment;

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this  $12^{th}$  day of <u>June</u>, 2015. The undersigned warrants and represents that he/she has the authority to sign this Assignment on behalf of the Assignee, The University of North Carolina at Chapel Hill.



STATE OF <u>NORTHCARDLINA</u>) COUNTY OF <u>OR ANGE</u>)

Before me personally appeared the person described in and who executed the foregoing instrument, and he/she acknowledged to me that he/she executed the same for the purposes therein stated, this  $12^{\text{H}}_{\text{day of}}$  day of  $12^{\text{H}}_{\text{day of}}$ , 2015.

Nous Bonita Notary Public nue 28,2015 ission Expires: minin

**RECORDED: 07/14/2015**