503390640 07/14/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3437265

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	EXECUTIVE ORDER 9424, CONFIRMATORY LICENSE

CONVEYING PARTY DATA

Name	Execution Date
NORTHWESTERN UNIVERSITY	07/09/2015

RECEIVING PARTY DATA

Name:	National Institutes of Health (NIH), U.S. Dept. of Health and Human Services (DHHS), U.S. Government	
Street Address:	NIH Division of Extramural Inventions and Technology Resources (DEITR)	
Internal Address:	6705 Rockledge Drive, Suite 310, MSC 7980	
City:	Bethesda	
State/Country:	MARYLAND	
Postal Code:	20892-7980	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14364759

CORRESPONDENCE DATA

Fax Number: (301)480-0272

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: edison@nih.gov
Correspondent Name: DIRECTOR, DEITR

Address Line 1: NIH, 6705 ROCKLEDGE DRIVE, SUITE 310

Address Line 2: MSC 7980

Address Line 4: BETHESDA, MARYLAND 20892-7980

NAME OF SUBMITTER:DIRECTOR, DEITR, NIHSIGNATURE:/DIRECTOR, DEITR, NIH/DATE SIGNED:07/14/2015

Total Attachments: 1

source=14364759,HJW,07-14-2015#page1.tif

PATENT 503390640 REEL: 036098 FRAME: 0745

LICENSE TO THE UNITED STATES GOVERNMENT

Sign and submit the executed document to the appropriate funding agency (e.g. upload in iEdison).

Invention Title: Compounds and Methods for the Prevention and Treatment of Tumor Metastasis and Tumorigenesis

Inventor(s): John T Norton; Sui Huang; Samarjit Patnaik; Kevin Frankowski; Juan Jose Marugan; Frank J Schoenen; Noel Southall; Steven Titus; Wei Zhang

U.S. Filing/Issue Date: June 12, 2014

Patent or Application Serial No.: 14/364,759

Grant/Contract Number(s): R01 GM078555; R03 MH082371

Foreign Applications filed/intended in (countries): Japan, Australia, Canada and European Community

The invention identified above is a Subject Invention under **35 U.S.C. 200, et seq.**, and the Standard Patent Rights clause at **37 CFR 401.14, FAR 52.227-11 or FAR 52.227-12** (if applicable) which are included among the terms of the above identified grant or contract award from the United States Government. This document is confirmatory of:

- The nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the invention described in any patent application and in any and all divisions, continuations, and continuations in part, and in any and all patents and re-issues granted thereon throughout the world; and
- 2. All other rights acquired by the Government by reason of the above identified grant/contract award and the laws and regulations that are applicable to the award.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

By Alicia I. Löffler, Ph.D.
(Institutional Business Official)

Title Associate Provost, Associate Vice President for Research, and Executive Director INVO

For NORTHWESTERN UNIVERSITY
(Grantee/Contractor Organization)

At 633 Clark Street, Evanston, IL 60208, USA
(Business Address)

PATENT REEL: 036098 FRAME: 0746

RECORDED: 07/14/2015

Signed this 9th day of July, 2015.