

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3440870

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ZHENSHENG JIA	07/06/2015
YI CAI	07/07/2015
JIANJUN YU	07/07/2015
RECEIVING PARTY DATA	
Name:	ZTE CORPORATION
Street Address:	ZTE PLAZA, NO. 55 SOUTH KEJI ROAD
Internal Address:	HI-TECH INDUSTRIAL PARK, NANSHAN DISTRICT
City:	SHENZHEN
State/Country:	CHINA
Postal Code:	518057
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14800610
CORRESPONDENCE DATA	
Fax Number:	(858)720-5819
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(858) 720-5700
Email:	JPayne@perkinscoie.com
Correspondent Name:	BING AI
Address Line 1:	PERKINS COIE LLP
Address Line 2:	P.O. BOX 1247
Address Line 4:	SEATTLE, WASHINGTON 98111-1247
ATTORNEY DOCKET NUMBER:	009064-8046.US01
NAME OF SUBMITTER:	JENNIFER PAYNE
SIGNATURE:	/Jennifer Payne/
DATE SIGNED:	07/15/2015
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 9	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Zhensheng Jia et al.

Application No.: Not yet assigned

Filed: Herewith

For: ADAPTIVE POST DIGITAL FILTER AND
INTER-SYMBOL INTERFERENCE EQUALIZER
FOR OPTICAL COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DECLARATION AND ASSIGNMENT BY THE INVENTOR

I, Zhensheng Jia of Morganville, New Jersey, hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

ADAPTIVE POST DIGITAL FILTER AND INTER-SYMBOL INTERFERENCE
EQUALIZER FOR OPTICAL COMMUNICATION

the specification of which is attached hereto and identified as Attorney Docket No. 009064-8046.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/025,247, filed on July 16, 2014.

I believe the following persons are additional original joint inventors of the above-identified patent application:

Yi Cai of Jackson, New Jersey; and
Jianjun Yu of Basking Ridge, New Jersey.

The above-identified application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified patent application including the claims.

I am aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in the above declaration is punishable under 18 USC §1001 by fine or imprisonment of not more than five (5) years, or both.

Inventor : Zhenzhong Jia et al.
Appl. No. : Not yet assigned
Filed : Herewith
Page : 2 of 3

Docket No. 009064-8046.US01

ASSIGNMENT

I hereby assign to ZTE Corporation, a corporation of P.R. China, having a place of business at ZTE Plaza, No. 55 South Keji Road, Hi-Tech Industrial Park, Nanshan District, Shenzhen 518057, P.R. China, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in any and all inventions and improvements which are described in an application for United States Patent filed by me, entitled *ADAPTIVE POST DIGITAL FILTER AND INTER-SYMBOL INTERFERENCE EQUALIZER FOR OPTICAL COMMUNICATION*, filed on July 15, 2015, and assigned U.S. Application Number 14/800,610. I authorize and request an attorney appointed in said application to hereafter insert above the filing date and application number of said application when known.

This assignment includes the entire right, title and interest in said application, all legal equivalents thereof in any country, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements, including, but not limited to, all divisions, continuations, reissues, reexamination certificates, any and all certificates issued in any post-grant proceeding, and extensions of said applications and patents of the United States, foreign patents, utility models, and design registrations, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, and the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights. I authorize the Assignee to apply in all countries in my name or in the name of the Assignee for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

In addition, I hereby confirm the sale, assignment, and transfer to the Assignee of the entire right, title and interest throughout the world in said inventions and improvements that occurred by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, and transfer any right, title and interest I may have in said inventions and improvements anywhere in the world to the Assignee.

The foregoing assignments, sale and transfer have been made for good and valuable consideration in accordance with the aforementioned employment agreement.

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with the assignment of said inventions and improvements to the Assignee.

I further covenant for myself and my respective heirs, legal representatives and assigns, to assist the Assignee in handling various procedures, tasks and documentation in connection

Inventor : Zhensheng Jia et al.
Appl. No. : Not yet assigned
Filed : Herewith
Page : 3 of 3

Docket No. 000064-8046.US01

with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application, said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue one or more patents in the United States for said inventions and improvements to ZTE Corporation as assignee of the entire interest, for the sole use and benefit of Assignee, its successors and assigns.

I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor: ZHENSHENG JIA

Inventor's signature: [Signature]

Date: 07/06/2015

Perkins Cole LLP
P.O. Box 1247
Seattle, Washington 98111-1247
Telephone: (858) 720-5700
Facsimile: (206) 359-7198

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Zhensheng Jia et al.

Application No.: Not yet assigned

Filed: Herewith

For: ADAPTIVE POST DIGITAL FILTER AND
INTER-SYMBOL INTERFERENCE EQUALIZER
FOR OPTICAL COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DECLARATION AND ASSIGNMENT BY THE INVENTOR

I, Yi Cai of Jackson, New Jersey, hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

**ADAPTIVE POST DIGITAL FILTER AND INTER-SYMBOL INTERFERENCE
EQUALIZER FOR OPTICAL COMMUNICATION**

the specification of which is attached hereto and identified as Attorney Docket No. 009064-8046.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/025,247, filed on July 16, 2014.

I believe the following persons are additional original joint inventors of the above-identified patent application:

Zhensheng Jia of Morgantown, New Jersey; and
Jianjun Yu of Basking Ridge, New Jersey.

The above-identified application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified patent application including the claims.

I am aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in the above declaration is punishable under 18 USC §1001 by fine or imprisonment of not more than five (5) years, or both.

Inventor : Zhensheng Jia et al.
Appl. No. : Not yet assigned
Filed : Herewith
Page : 2 of 3

Docket No. 009064-8046.US01

ASSIGNMENT

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This assignment includes the entire right, title and interest in said application, all legal equivalents thereof in any country, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements, including, but not limited to, all divisions, continuations, reissues, reexamination certificates, any and all certificates issued in any post-grant proceeding, and extensions of said applications and patents of the United States, foreign patents, utility models, and design registrations, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, and the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights. I authorize the Assignee to apply in all countries in my name or in the name of the Assignee for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

In addition, I hereby confirm the sale, assignment, and transfer to the Assignee of the entire right, title and interest throughout the world in said inventions and improvements that occurred by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, and transfer any right, title and interest I may have in said inventions and improvements anywhere in the world to the Assignee.

The foregoing assignments, sale and transfer have been made for good and valuable consideration in accordance with the aforementioned employment agreement.

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Inventor : Zhensheng Jia et al.
Appl. No. : Not yet assigned
Filed : Herewith
Page : 3 of 3

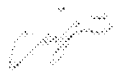
Docket No. 009064-8046.US01

with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application, said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue one or more patents in the United States for said inventions and improvements to ZTE Corporation as assignee of the entire interest, for the sole use and benefit of Assignee, its successors and assigns.

I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor: YI CAI

Inventor's signature: 

Date: 7/7/2015

Perkins Coie LLP
P.O. Box 1247
Seattle, Washington 98111-1247
Telephone: (858) 720-5700
Facsimile: (206) 359-7198

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Zhensheng Jia et al.

Application No.: Not yet assigned

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INTER-SYMBOL INTERFERENCE EQUALIZER
FOR OPTICAL COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DECLARATION AND ASSIGNMENT BY THE INVENTOR

I, Jianjun Yu of Basking Ridge, New Jersey, hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

**ADAPTIVE POST DIGITAL FILTER AND INTER-SYMBOL INTERFERENCE
EQUALIZER FOR OPTICAL COMMUNICATION**

the specification of which is attached hereto and identified as Attorney Docket No. 009064-8046.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/025,247, filed on July 16, 2014.

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Inventor : Zhengsheng Jia et al.
Appl. No. : Not yet assigned
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Page : 2 of 3

Docket No. 009064-8046,US01

ASSIGNMENT

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Inventor : Zhensheng Jia et al.
Appl. No. : Not yet assigned
Filed : Herewith
Page : 3 of 3

Docket No. 009064-8046.0501

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I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor: JIANJUN YU

Inventor's signature: _____

Date: 07/07/2015

Perkins Coie LLP
P.O. Box 1247
Seattle, Washington 98111-1247
Telephone: (858) 720-5700
Facsimile: (206) 359-7198

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