

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3448217

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
TAPAS DE	12/11/2006
NEIL P. DESAI	12/11/2006
ANDREW YANG	12/11/2006
ZACHARY YIM	12/11/2006
PATRICK SOON-SHIONG M.D.	12/11/2006
RECEIVING PARTY DATA	
Name:	ABRAXIS BIOSCIENCE, INC.
Street Address:	11755 WILSHIRE BOULEVARD
Internal Address:	SUITE 2000
City:	LOS ANGELES
State/Country:	CALIFORNIA
Postal Code:	90025
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13408994
CORRESPONDENCE DATA	
Fax Number:	(650)494-0792
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(650) 813-5926
Email:	mleung@mofo.com
Correspondent Name:	CHRISTINA ONUFRYK
Address Line 1:	MORRISON & FOERSTER LLP
Address Line 2:	755 PAGE MILL ROAD
Address Line 4:	PALO ALTO, CALIFORNIA 94304-1018
ATTORNEY DOCKET NUMBER:	638772000504
NAME OF SUBMITTER:	CHRISTINA ONUFRYK
SIGNATURE:	/Christina Onufryk/
DATE SIGNED:	07/21/2015

Total Attachments: 6

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ASSIGNMENT
JOINT

COPY

THIS ASSIGNMENT, by Tapas DE, Neil P. DESAI, Andrew YANG, Zachary YIM and Patrick SOON-SHIONG, M.D. (hereinafter referred to as the assignors), residing at 10927 Palms Boulevard Apt. 2, Los Angeles, California 90034, 2730 Wilshire Boulevard, Suite 500, Santa Monica, California 90403, 4309 Rio Hondo Avenue, Rosemead, California 91770, 11620 Mayfield Avenue #308, Los Angeles, California 90049, and 149 South Barrington Avenue, #311, Los Angeles, California 90049 respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in COMPOSITIONS AND METHODS FOR PREPARATION OF POORLY WATER SOLUBLE DRUGS WITH INCREASED STABILITY, set forth in an application for Letters Patent of the United States, bearing Serial No. 11/513,756 and filed on August 30, 2006; and

WHEREAS, Abraxis BioScience, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at ~~2730 Wilshire Boulevard, Suite 500, Santa Monica, California 90403~~ ^{11755 WILSHIRE BOULEVARD, SUITE 2007} ~~LOS ANGELES, CA 90025~~ (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:


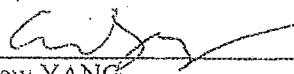
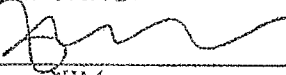

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions,

without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

12/11/06	Tapas K. De
Date	Tapas DE
12/11/06	
Date	Neil P. DESAI
12/11/06	
Date	Andrew YANG
12/11/06	
Date	Zachary LIM
12/11/06	
Date	Patrick SOON-SHIONG, M.D.

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Los Angeles

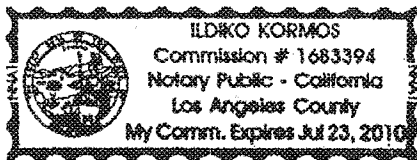
On Dec. 11, 06 before me, ILDIKO KORMOS
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared ANDREW YANG, TAPAS K-DE,
Name(s) of Signer(s)

ZACHARY YIM, NEIL P. DESAI, PATRICK SOON-SHONG

☐ personally known to me

☒ (or proved to me on the basis of satisfactory evidence)



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Place Notary Seal Above

[Signature]
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
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State of Delaware
Secretary of State
Division of Corporations
Delivered 08:58 AM 11/13/2007
FILED 08:25 AM 11/13/2007
SKV 071213462 - 4388525 FILE

CERTIFICATE OF MERGER

OF

ABRAXIS BIOSCIENCE, INC.

INTO

ABRAXIS BIOSCIENCE, LLC

Pursuant to Title 8, Section 264(c) of the Delaware General Corporation Law and Title 6 Section 18-209 of the Limited Liability Company Act, the undersigned limited liability company executed the following Certificate of Merger and does hereby certify:

FIRST: The name of the surviving limited liability company is Abraxis BioScience, LLC, a Delaware limited liability company, and the name of the corporation being merged into the surviving limited liability company is Abraxis BioScience, Inc., a Delaware corporation.

SECOND: The Agreement and Plan of Reorganization (the "Merger Agreement") has been approved, adopted, certified, executed and acknowledged by the surviving limited liability company and the merging corporation.

THIRD: The name of the surviving limited liability company is Abraxis BioScience, LLC.

FOURTH: The merger is to become effective on November 13, 2007.

FIFTH: The Merger Agreement is on file at 11755 Wilshire Blvd., Suite 2000, Los Angeles, CA 90025, the place of business of the surviving limited liability company.

SIXTH: A copy of the Merger Agreement will be furnished by the surviving limited liability company on request, without cost, to any member of any constituent limited liability company or stockholder of any constituent corporation.

IN WITNESS WHEREOF, said limited liability company has caused this certificate to be signed by an authorized person on this 13th day of November, 2007.

ABRAXIS BIOSCIENCE, LLC

By: /s/ Patrick Soon-Shiong
Authorized Person

CERTIFICATE OF THE SECRETARY

OF

ABRAXIS BIOSCIENCE, INC.

The undersigned Secretary of Abraxis BioScience, Inc., a Delaware corporation (the "Company"), hereby certifies, in his capacity as the Secretary of the Company and not in his individual capacity, that the Agreement and Plan of Reorganization to which this certificate is attached has been adopted pursuant to Section 251(g) of the Delaware General Corporation Law and that the conditions specified in the first sentence of such Section 251(g) have been satisfied.

IN WITNESS WHEREOF, the undersigned has executed this certificate as of the 13th day of November, 2007.

By: /s/ Richard E. Maroun

Name: Richard E. Maroun

Title: Secretary

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ABRAXIS BIOSCIENCE, INC.", A DELAWARE CORPORATION,
WITH AND INTO "ABRAXIS BIOSCIENCE, LLC" UNDER THE NAME OF
"ABRAXIS BIOSCIENCE, LLC", A LIMITED LIABILITY COMPANY ORGANIZED
AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS
RECEIVED AND FILED IN THIS OFFICE THE THIRTEENTH DAY OF
NOVEMBER, A.D. 2007, AT 8:25 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE
NEW CASTLE COUNTY RECORDER OF DEEDS.

4388525 8100M
071213462



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State
AUTHENTICATION: 6152651

DATE: 11-13-07