

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3451844

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GUILLERMO C. BAZAN	03/17/2015
BRENT S. GAYLORD	06/12/2015
SHU WANG	06/11/2015
BIN LIU	07/22/2015
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET
Internal Address:	TWELFTH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14624466
CORRESPONDENCE DATA	
Fax Number:	(650)327-3231
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-327-3400
Email:	serna@bozpat.com
Correspondent Name:	BOZICEVIC, FIELD & FRANCIS LLP
Address Line 1:	1900 UNIVERSITY AVENUE
Address Line 2:	SUITE 200
Address Line 4:	EAST PALO ALTO, CALIFORNIA 94303
ATTORNEY DOCKET NUMBER:	UCSB-537CON2CIP
NAME OF SUBMITTER:	BRET E. FIELD
SIGNATURE:	/Bret E. Field, Reg. No. 37,620/
DATE SIGNED:	07/23/2015
Total Attachments: 9	

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ASSIGNMENT

Atty Docket No.: UCSB-537CON2CIP
UC Case No.: 2002-451-5

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|-------------------------------|-----------------------------|
| 1. Bazan, Guillermo C. | 2. Gaylord, Brent S. |
| 3. Wang, Shu | 4. Liu, Bin |

hereby sells, assigns and transfers to ASSIGNEE, **The Regents of the University of California**, a California Corporation, having its statewide administrative offices located **1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200**, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"Light Harvesting Multichromophore Compositions and Methods of Using the Same"

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) ☒ U.S. application serial no. 14/624,466, filed on February 17, 2015
- (d) _____ U.S. Patent No.: _____, issued _____
- (e) _____ PCT Patent Application No. _____, filed on _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

ASSIGNMENT

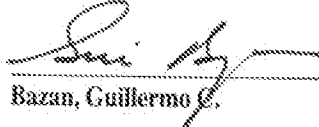
Atty Docket No.: UCSB-537CON2CIP

UC Case No.: 2002-451-5

Executed this

Signature of Inventor(s)

17 day of March, 2015


Bazan, Guillermo E.

____ day of _____, 20____

Gaylord, Brent S.

____ day of _____, 20____

Wang, Shu

____ day of _____, 20____

Liu, Bin

PATENT

REEL: 036163 FRAME: 0966

ASSIGNMENT

Atty Docket No.: UCSB-537CON2CIP
UC Case No.: 2002-451-5

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Atty Docket No.: UCSB-537CON2CIP

UC Case No.: 2002-451-5

Executed this

Signature of Inventor(s)

____ day of _____, 20____

12 day of June, 2015

____ day of _____, 20____

____ day of _____, 20____

Bazan, Guillermo C.



Gaylord, Brent S.

Wang, Shu

Liu, Bin

PATENT

REEL: 036163 FRAME: 0968

ASSIGNMENT

Atty Docket No.: UCSB-537CON2CIP

UC Case No.: 2002-451-5

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ASSIGNMENT

Atty Docket No.: UCSB-537CON2CIP

UC Case No.: 2002-451-5

Executed this

Signature of Inventor(s)


____ day of _____, 20__

Bazan, Guillermo C.

____ day of _____, 20__

Gaylord, Brent S.

11 day of June, 2015


Wang, Shu

____ day of _____, 20__

Liu, Bin

ASSIGNMENT

Any Docket No.: UCSB-537CON2CIP
UC Case No.: 2002-451-5

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

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| 1. <u>Bazan, Guillermo C.</u> | 2. <u>Gaylord, Brent S.</u> |
| 3. <u>Wang, Shu</u> | 4. <u>Liu, Bin</u> |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94687-8200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

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and which is found in

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(b) _____ U.S. patent application filed herewith and listing the above named persons as inventors;
(c) ☒ U.S. application serial no. 12/624,466, filed on February 17, 2015
(d) _____ U.S. Patent No., _____, issued _____
(e) _____ PCT Patent Application No. _____, filed on _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

ASSIGNMENT
Atty Docket No.: UCSE-537CO/42CIP
UC Case No.: 2002-411-2

Executed this

Signature of Inventor(s)

_____ day of _____, 20____

Bazan, Guillermo C.

_____ day of _____, 20____

Gayford, Brent S.

_____ day of _____, 20____


Wang, Shu

22 day of July, 2015

Liu, Bin

ASSIGNMENT
Atty Docket No.: UCSB-537CON2CIP
UC Case No.: 2002-451-5

Assignee hereby accepts this Assignment:

	Partner	July 23, 2015
Name Bret E. Field	Title	Date