503417012 07/30/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3463646

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CHIEN-HSIANG HUNG	07/27/2015
JAN LIANG YEH	07/27/2015

RECEIVING PARTY DATA

Name:	OTO PHOTONICS INC.	
Street Address:	9F-5, NO. 27, GUANXIN ROAD, EAST DISTRICT	
City:	HSINCHU	
State/Country:	TAIWAN	
Postal Code:	30072	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14764924

CORRESPONDENCE DATA

Fax Number: (206)792-5742

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 949-383-5384
Email: pto@haniplaw.com
Correspondent Name: HAN IP CORPORATION

Address Line 1: 4790 IRVINE BOULEVARD SUITE 105-347

Address Line 4: IRVINE, CALIFORNIA 92620

ATTORNEY DOCKET NUMBER:	OTOP.0010US	
NAME OF SUBMITTER:	ANDY M. HAN	
SIGNATURE:	/Andy M. Han, Reg. No. 60,266/	
DATE SIGNED:	07/30/2015	

Total Attachments: 4

source=OTOP_0010US_Combined_Declaration_Assignment#page1.tif source=OTOP_0010US_Combined_Declaration_Assignment#page2.tif source=OTOP_0010US_Combined_Declaration_Assignment#page3.tif source=OTOP_0010US_Combined_Declaration_Assignment#page4.tif

PATENT 503417012 REEL: 036221 FRAME: 0642

Attorney Docket Number: OTOP.0010US

Client Docket Number: OTO-0029US

COMBINED DECLARATION AND ASSIGNMENT FOR APPLICATION FOR UNITED STATES LETTERS PATENT

DECLARATION

Title of Invention: OPTICAL SENSING MODULE, OPTICAL MECHANISM OF SPECTROMETER, AND SPECTROMETER As the below named inventor, I hereby declare that:

This declaration is directed to the attached patent application, which is a U.S. national stage application of <u>PCT/CN2013/071115</u>, filed on <u>January 30, 2013</u>.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the present application, including the claims, as amended by any amendment specifically referred to herein.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIME	D UNDER 35 U.S.C. 119
Taiwan, R.O.C.	101140418	10/31/2012	YES:	NO: <u>X</u>
			YES:	NO:

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (MONTH/DAY/ YEAR)

PATENT REEL: 036221 FRAME: 0643

Attorney Docket Number: OTOP.0010US

Client Docket Number: OTO-0029US

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) associated with Customer No.

13859

to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Send Correspondence to:

Han IP Corporation 4790 Irvine Boulevard Suite 105-347 Irvine, CA 92620 Direct Telephone Calls To: (949) 383-5385

Email: pto@haniplaw.com

PATENT REEL: 036221 FRAME: 0644

Attorney Docket Number: OTOP.0010US

Client Docket Number: OTO-0029US

ASSIGNMENT

PARTIES TO THE ASSIGNMENT

Assignor(s):

- 1. Chien-Hsiang Hung
- 2. Jan Liang Yeh

Assignee:

OtO Photonics Inc. 9F-5, No. 27, Guanxin Road, East District Hsinchu City, 30072, Taiwan, R.O.C.

(-) (
a provisional application for Unit	ted States Letters Patent wa	s filed on	
and was given U	J.S. Serial No	_and/or	
\boxtimes a non-provisional application for	United States Letters Pater	nt was:	
☐ filed on	_and was given U.S. Serial	No	; or filed concurrently herewith
Assignors hereby authorize and re	quest ASSIGNEE's legal re	oresentatives, of H a	an IP Corporation, 4790 Irvine
Boulevard Suite 105-347, Irvine,	CA 92620 , who are associ	ciated with custome	er number 13859 , to handle al
matters related to the above-identif	ied application		

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of the above-identified invention for which:

WHEREAS, Oto Photonics Inc. (hereinafter referred to as ASSIGNEE), a corporation of <u>Taiwan</u>, <u>Republic of China</u> having a place of business at <u>9F-5</u>, <u>No. 27</u>, <u>Guanxin Road</u>, <u>East District</u>, <u>Hsinchu City</u>, <u>30072</u>, <u>Taiwan</u>, <u>R.O.C.</u>, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefore in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefore.

PATENT REEL: 036221 FRAME: 0645

Legal Name of First-Named Inventor: Chic	en-Hsiang HUNG	
Inventor's Signature:	Date:	
Chien-Hway Hung	July. 27, 2015	
Residence: Hsinchu City, Taiwan, R.O.C.		
Citizenship: Taiwan, R.O.C.		
Mailing Address: 9F-5, No. 27, Guanxin Road, East District, Hsinchu City, 30072, Taiwan, R.O.C.		

Legal Name of Co-Inventor: Jan Liang YEH			
Inventor's Signature:	Date:		
Jan Liang YEH	July. 21. 2018		
Residence: Hsinchu City, Taiwan, R.O.C.			
Citizenship: Taiwan, R.O.C.			
Mailing Address: 9F-5, No. 27, Guanxin Road, East Dis	strict, Hsinchu City, 30072, Taiwan, R.O.C.		