# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3468362

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
HENDRIKUS KOENRAAD ALBERTUS MEIJER	04/14/2014

#### **RECEIVING PARTY DATA**

Name:	TATA STEEL NEDERLAND TECHNOLOGY B.V.	
Street Address:	WENCKEBACHSTRAAT 1	
City:	VELSEN-NOORD	
State/Country:	NETHERLANDS	
Postal Code:	1951 JZ	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14363217

#### **CORRESPONDENCE DATA**

Fax Number: (202)824-3001

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2028243000

Email: BWPTOPAT@bannerwitcoff.com, lhudgins@bannerwitcoff.com

**Correspondent Name:** BANNER & WITCOFF, LTD. Address Line 1: 1100 13TH STREET, N.W.

Address Line 2: **SUITE 1200** 

Address Line 4: WASHINGTON, D.C. 20005

ATTORNEY DOCKET NUMBER:	027034.00014 SAW/VLH
NAME OF SUBMITTER:	SUSAN A. WOLFFE
SIGNATURE:	/Susan A. Wolffe/
DATE SIGNED:	08/04/2015

**Total Attachments: 12** source=Asg3#page1.tif source=Asg3#page2.tif source=Asg3#page3.tif source=Asg3#page4.tif source=Asg3#page5.tif

> **PATENT** REEL: 036246 FRAME: 0641

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# Deed of Assignment – PCT Application

Date	14 APEIC २०१५ , being the first date on which all parties had executed this Deed.	
Parties		
1.	Hendrikus Koenraad Albertus Meijer of De Waterdief 149, 1911 JR Uitgeest Netherlands (Inventor)	
2.	Tata Steel Nederland Technology B.V. of Wenckebachstraat 1, Velsen-Noord, 1951 JZ Netherlands ( <i>Employer</i> )	
3.	Technological Resources Pty. Limited (ACN 002 183 557) of 123 Albert Street, Brisbane, Queensland, Australia ( <i>Ultimate Assignee</i> )	
Recitals		
Α	The Inventor is a named inventor in respect of the patent application described in the schedule to this Deed ( <i>PCT Application</i> ).	
В	At the time when the Inventor's inventive contribution in relation to the PCT Application was made, the Inventor was employed by the Employer and the invention was made in the course of the Inventor's employment.	
C	Pursuant to the Inventor's contract of employment, the Employer is entitled to ownership of inventions made by the Inventor in the course of their employment, including the invention the subject of the PCT Application ( <i>Invention</i> ).	
D	The Employer has agreed to assign to the Ultimate Assignee all its right, title and interest in and to the Invention and any applications for patents (including the PCT Application), granted patents or other intellectual property rights arising from the Invention.	
E	The parties now wish to:	
	(a) first formalise the assignment of the Invention described in Recital C from the Inventor to the Employer; and	
	(b) then give effect to the agreement to assign the Invention described in Recital D from the Employer to the Ultimate Assignee.	

It is agreed as follows.

## Assignment from Inventor to Employer

To the extent that such an assignment has not previously validly been given by the Inventor to the Employer, the Inventor assigns to the Employer all their right, title and interest in and to the Invention, including but not limited to the PCT Application and any applications for patents, granted patents, divisional patents, innovation patents, continuations in part, and any other intellectual property rights arising from the Invention anywhere in the world together with the right to sue for any infringement occurring prior to the date of this Deed and the right to recover damages for that infringement.

# 2. Assignment from Employer to Ultimate Assignee

Having first received the benefit of the assignment from the Inventor pursuant to clause 1, and to the extent that such an assignment has not previously validly been given by the Employer to the Ultimate Assignee, the Employer assigns to the Ultimate Assignee all its right, title and interest in and to the Invention, including but not limited to the PCT Application and any applications for patents, granted patents, divisional patents, innovation patents, continuations in part, and any other intellectual property rights arising from the Invention or the PCT Application anywhere in the world, together with the right to sue for any infringement occurring prior to the date of this Deed and the right to recover damages for that infringement.

#### 3. Further Assurances

At the request of:

- (a) the Ultimate Assignee, the Employer must do anything (including executing agreements and documents) necessary to give full effect to this Deed and the transactions contemplated by it, including, without limitation, enabling the Ultimate Assignee and any subsequent assignee of the PCT Application to become registered as the proprietor of the rights in the PCT Application and any other intellectual property rights deriving from or referable to the PCT Application anywhere in the world. For the avoidance of doubt, pursuant to this clause the Employer must take all reasonable steps to ensure the Inventor's compliance with clause 3(b).
- (b) the Employer or the Ultimate Assignee, the Inventor must do anything (including executing agreements and documents) necessary to give full effect to this Deed and the transactions contemplated by it, including, without limitation, enabling the Ultimate Assignee (and any subsequent assignee of the PCT Application) to become registered as the proprietor of the rights in the PCT Application and any other intellectual property rights deriving from or referable to the PCT Application anywhere in the world.

# 4. Operation alongside existing arrangements

This Deed operates alongside any existing arrangements, including the contract of employment, between the Inventor and the Employer and any assignment agreement of general effect from the Employer to the Ultimate Assignee regarding inventions invented by the Employer's employees. The terms of this Deed apply to the extent of any inconsistency with existing arrangements.

#### 5. General

#### 5.1 Governing law and jurisdiction

This Deed is governed by the laws of Victoria, Australia. Each party submits to the jurisdiction of courts exercising jurisdiction there, and waives any right to claim that those courts are an inconvenient forum.

Section Section 5

#### 5.2 Enurement

The provisions of this Deed will enure for the benefit of, and be binding on, the parties and their respective successors and permitted substitutes and assigns and (where applicable) legal personal representatives.

#### 5.3 Amendment

No amendment or variation of this Deed is valid or binding on a party unless made in writing executed by all parties.

#### 5.4 Counterparts

This Deed may be executed in any number of counterparts. All counterparts together will be taken to constitute one instrument.

#### 5.5 Costs and stamp duty

The Ultimate Assignee will bear all costs arising out of the preparation and execution of this Deed. All stamp duty (including fines, penalties and interest) that may be payable on or in connection with this Deed and any instrument executed under this Deed must be borne by the Ultimate Assignee.

#### Schedule

PCT Number PCT/AU2012/001487

**Entitled Starting a Smelting Process** 

Filed in: Australia

Inventors: Rodney James Dry, Jacques Pilote and Hendrikus Koenraad Albertus Meijer

Date of filing: 6 December 2012

# Executed and delivered as a Deed

Signed Sealed and Denvered as a Deed by	
Hendrikus Koenraad Albertus Meijer at	
[location] VELSEN-NOORD	
on [date] 14-04-2014	
in the presence of:	
Fell	
Witness Signature	Signature
A. GALL	
Print Name	
Executed as a deed by Tata Steel Nederland  Technology B.V. at [location] I minden  on [date]: 17/4/2014	
Director Signature	Director/Secretary Signature
D. Bhattachanje e Print Name	Print Name A) van Cillens
Signed Sealed and Delivered as a Deed for Technological Resources Pty. Limited by its attorney in the presence of:	
Witness Signature	Attorney Signature  Ing rid Schmidt
AMANDA MAY	
Print Name	Print Name

# **Deed of Assignment – PCT Application**

Date	14 APRIL २०१५ , being the first date on which all parties had executed this Deed.	
Parties		
1.	Hendrikus Koenraad Albertus Meijer of De Waterdief 149, 1911 JR Uitgeest Netherlands (Inventor)	
2.	Tata Steel Nederland Technology B.V. of Wenckebachstraat 1, Velsen-Noord, 1951 JZ Netherlands ( <i>Employer</i> )	
3.	Technological Resources Pty. Limited (ACN 002 183 557) of 123 Albert Street, Brisbane, Queensland, Australia (Ultimate Assignee)	
Recitals		
A	The Inventor is a named inventor in respect of the patent application described in the schedule to this Deed ( <i>PCT Application</i> ).	
В	At the time when the Inventor's inventive contribution in relation to the PCT Application was made, the Inventor was employed by the Employer and the invention was made in the course of the Inventor's employment.	
С	Pursuant to the Inventor's contract of employment, the Employer is entitled to ownership of inventions made by the Inventor in the course of their employment, including the invention the subject of the PCT Application ( <i>Invention</i> ).	
D	The Employer has agreed to assign to the Ultimate Assignee all its right, title and interest in and to the Invention and any applications for patents (including the PCT Application), granted patents or other intellectual property rights arising from the Invention.	
E	The parties now wish to:	
	(a) first formalise the assignment of the Invention described in Recital C from the Inventor to the Employer; and	
	(b) then give effect to the agreement to assign the Invention described in Recital D from the Employer to the Ultimate Assignee.	

It is agreed as follows.

## 1. Assignment from Inventor to Employer

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# 2. Assignment from Employer to Ultimate Assignee

Having first received the benefit of the assignment from the Inventor pursuant to clause 1, and to the extent that such an assignment has not previously validly been given by the Employer to the Ultimate Assignee, the Employer assigns to the Ultimate Assignee all its right, title and interest in and to the Invention, including but not limited to the PCT Application and any applications for patents, granted patents, divisional patents, innovation patents, continuations in part, and any other intellectual property rights arising from the Invention or the PCT Application anywhere in the world, together with the right to sue for any infringement occurring prior to the date of this Deed and the right to recover damages for that infringement.

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#### 5.1 Governing law and jurisdiction

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#### Schedule

PCT Number PCT/AU2012/001487

**Entitled Starting a Smelting Process** 

Filed in: Australia

Inventors: Rodney James Dry, Jacques Pilote and Hendrikus Koenraad Albertus Meijer

Date of filing: 6 December 2012

# Executed and delivered as a Deed

Signed Sealed and Delivered as a Deed by	
Hendrikus Koenraad Albertus Meijer at	
[location] VELSEN-NOORD	
on [date] 14-04-2014	.//,
in the presence of:	MIL
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Witness Signature	Signature
A. GALL	
Print Name	
Executed as a deed by Tata Steel Nederland	
Technology B.V. at [location] //midm	
<i>J</i>	
on [date]: 17/4/2014	////
XER attailed	
Director Signature	Director/Secretary Signature
D Bhatlachanjee	A) van Citters
Print Name	Print Name
Signed Sealed and Delivered as a Deed for Technological Resources Pty. Limited by its attorney in the presence of:	
Witness Signature  AMANDA MAY	Attorney Signature  Ingrid Schmidt
Print Name	Print Name

# **Deed of Assignment – PCT Application**

Date	14 APRIC 2014 , being the first date on which all parties had executed this Deed.	
Parties		
1.	Hendrikus Koenraad Albertus Meijer of De Waterdief 149, 1911 JR Uitgeest Netherlands (Inventor)	
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#### Schedule

PCT Number PCT/AU2012/001487

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Filed in: Australia

Inventors: Rodney James Dry, Jacques Pilote and Hendrikus Koenraad Albertus Meijer

Date of filing: 6 December 2012

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in the presence of:	AND
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Witness Signature	Signature
A. GALL	
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Executed as a deed by Tata Steel Nederland	
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on [date]: 17/4/2014	
, /	<u> </u>
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Director Signature	Director/Secretary Signature
D Bhatlachaile	A) van Cillers
Print Name	Print Name
Signed Sealed and Delivered as a Deed for	
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attorney in the presence of:	
aprilay	Engrel Mohnide
Witness Signature	Attorney Signature
AMANDA MAY	Ingrit Schmidt
Print Name	Print Name

PATENT REEL: 036246 FRAME: 0654

RECORDED: 08/04/2015