503421243 08/04/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3467877

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
ROLAND ENZMANN		04/28/2015
STEPHAN HANEDER		04/28/2015
MARKUS ARZBERGER		04/22/2015

CHRISTOPH WALTER	05/22/2015
TOMASZ SWIETLIK	04/14/2015
HARALD KONIG	05/05/2015
ROBIN FEHSE	04/10/2015
MATHIAS KAMPF	05/09/2015
MARKUS GRAUL	04/15/2015
MARKUS HORN	05/15/2015
OSAM OPTO SEMICONDUCTORS GMBH	04/14/2015

RECEIVING PARTY DATA

Name:	OSRAM OPTO SEMICONDUCTORS GMBH
Street Address:	LEIBNIZSTR.4
City:	REGENSBURG
State/Country:	GERMANY
Postal Code:	93055

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14420411

CORRESPONDENCE DATA

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NAME OF SUBMITTER:	T. DANIEL CHRISTENBURY	
SIGNATURE:	/TDC/	
DATE SIGNED:	08/04/2015	
Total Attachments: 49		
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ASSIGNMENT

For good and valuable consideration, I (We),

Roland <u>Enzmann</u>, residing at Ostheim 1 93055 Regensburg Germany citizen of Germany

Stephan <u>Haneder</u>, residing at Maffeistr. 8 93059 Regensburg Germany citizen of Germany

Markus <u>Arzberger</u>, residing at Floraweg 28 93055 Regensburg Germany citizen of Germany

Christoph <u>Walter</u>, residing at Gichtlgasse 5 93047 Regensburg Germany citizen of Germany

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Harald <u>König</u>, residing at Am Eichelacker 14 93170 Bernhardswald Germany citzen of Germany

Robin <u>Fehse</u>, residing at Altmühlstr. 68 93077 Bad Abbach Germany citizen of Germany

Mathias <u>Kämpf</u>, residing at Richard-Wagner-Str. 52 93133 Burglengenfeld Germany citzen of Germany





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Markus <u>Graul</u>, residing at Brunnhuberstr. 16 93053 Regensburg Germany citzen of Germany

Markus <u>Horn</u>, residing at Wolfwiesenstr. 4 94315 Straubing Germany citizen of Germany

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to **OSRAM Opto Semiconductors GmbH**, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: METHOD OF PRODUCING A SEMICONDUCTOR LASER ELEMENT, AND SEMICONDUCTOR LASER ELEMENT Filing Date: 02/09/2015 (MM/DD/YYYY) Filing Number: 14/420,411 Internal Case Number: EHF-15-1022

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in

and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on sald inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

HANEDER

printed name of witness

Roland Enzmann

Date

Witness Signature

Stephan Haneder

23.04.15 Date

Witness Signature

Markus Arzberger

printed name of witness

Date

Witness Signature	Christoph Walter
printed name of witness	Date
Witness Signature	Tomasz Swietlik
printed name of witness	Date
Witness Signature	Harald König
printed name of witness	Date
Witness Signature	Robin Fehse
printed name of witness	Date

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Witness Signature	Mathias Kämpf
printed name of witness	Date
Witness Signature	Markus Graul
printed name of witness	Date
Witness Signature	Markus Horn
printed name of witness	Date
	Companies Representative(s) OSRAM Opto Semiconductors GmbH Signature Name Date
	Signature Name Date

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(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Witness Signature	Roland Enzmann
printed name of witness	Date
Witness Signature	Stephan Haneder
printed name of witness	Date
Mahr Hastall Witness Signature	Harkus Arzberger
Martin Haushalter printed name of witness	27. April 2015 Date

Witness Signature	Christoph Walter
printed name of witness	Date
Witness Signature	Tomasz Swietlik
printed name of witness	Date
Witness Signature	Harald König
printed name of witness	Date
Witness Signature	Robin Fehse
printed name of witness	Date

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Mathias Kämpf
Date
Markus Graul
Date
Markus Horn
Date
Companies Representative(s) OSRAM Opto Semiconductors GmbH <u>Signature</u> Name Date
Signature Name

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Patent Application Title: METHOD OF PRODUCING A SEMICONDUCTOR LASER ELEMENT, AND SEMICONDUCTOR LASER ELEMENT Filing Date: 02/09/2015 (MM/DD/YYYY) Filing Number: 14/420,411 Internal Case Number: EHF-15-1022

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in

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Witness Signature	Roland Enzmann
printed name of witness	Date
Witness Signature	Stephan Haneder
printed name of witness	Date
Witness Signature	Markus Arzberger
printed name of witness	Date

Mitness Signature	Christoph Walter
Printed name of witness	<u>72,05,2015</u> Date
Witness Signature	Tomasz Swietlik
printed name of witness	Date
Witness Signature	Harald König
printed name of witness	Date
Witness Signature	Robin Fehse
printed name of witness	Date

ASSIGNMENT

For good and valuable consideration, I (We),

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printed name of witness	Date
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Witness Signature	Markus Arzberger
printed name of witness	Date

Witness Signature	Christoph Walter
printed name of witness	Date
Witness Signature	Tomasz Swietlik
printed name of witness	Date
<u>Witness Signature</u>	Harald König
<u>Mathias Heidemann</u> printed name of witness	May Sth 2015 Date
Witness Signature	Robin Fehse
printed name of witness	Date

Witness Signature	Mathias Kämpf
printed name of witness	Date
Witness Signature	Markus Graul
printed name of witness	Date
Witness Signature	Markus Horn
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	Companies Representative(s) OSRAM Opto Semiconductors GmbH Signature Name Date
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M. M. U. Witness Signature Marting Müller printed name of witness	Tomasz Swietlik Tomasz Swietlik 14 April 2015 Date
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Witness Signature printed name of witness	Robin Fehse

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Jorg Schafez printed name of witness	10 ⁴ April 2015 Date

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Grand

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<u>15. April</u> Date 2015

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Companies Representative(s) OSRAM Opto Semiconductors GmbH

Signature Name

Date

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Markus <u>Horn</u>, residing at Wolfwiesenstr. 4 94315 Straubing Germany citizen of Germany

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to **OSRAM Opto Semiconductors GmbH**, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: METHOD OF PRODUCING A SEMICONDUCTOR LASER ELEMENT, AND SEMICONDUCTOR LASER ELEMENT Filing Date: 02/09/2015 (MM/DD/YYYY) Filing Number: 14/420,411 Internal Case Number: EHF-15-1022

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in

and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Witness Signature	Roland Enzmann
printed name of witness	Date
Witness Signature	Stephan Haneder
printed name of witness	Date
Witness Signature	Markus Arzberger
printed name of witness	Date

Witness Signature	Christoph Walter
printed name of witness	Date
Witness Signature	Tomasz Swietlik
printed name of witness	Date
Witness Signature	Harald König
printed name of witness	Date
Witness Signature	Robin Fehse
printed name of witness	Date

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Witness Signature	Mathias Kämpf
printed name of witness	Date
Witness Signature	Markus Graul
printed name of witness	Date
Witness Signature	Markus Horn
printed name of witness	Date
	Companies Representative(s) OSRAM Opto Semiconductors GmbH Signature Name Nikolaus Grielnwieser Schlor Maaager Intel/Setual Property Date
	Signature i.V. Yochuchimit Name
	Date Senior Manager Intellectual Property 1 4. APR, 2015

RECORDED: 08/04/2015