

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3472626

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
LANCE LYNN ANDRUS	06/30/2015
ANDRE CASTILLO	06/25/2015
RECEIVING PARTY DATA	
Name:	HEARTWARE, INC.
Street Address:	14420 NW 60TH AVENUE
City:	MIAMI LAKES
State/Country:	FLORIDA
Postal Code:	33014
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14741008
CORRESPONDENCE DATA	
Fax Number:	(908)654-7866
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(908) 518-6450
Email:	assignment@lerner david.com
Correspondent Name:	LDLK&M
Address Line 1:	600 SOUTH AVENUE WEST
Address Line 4:	WESTFIELD, NEW JERSEY 07090
ATTORNEY DOCKET NUMBER:	HEARTW 3.0F-118 (E)
NAME OF SUBMITTER:	MELINDA C. CORMIER
SIGNATURE:	/Melinda C. Cormier/
DATE SIGNED:	08/06/2015
Total Attachments: 2	
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source=HEARTW 3#page2.tif	

ASSIGNMENT OF UTILITY APPLICATIONDocket Number (Optional)
HEARTW 3.0F-118 (E)

Whereas, I, Lance Lynn Andrus of
12 Sarsenstone Way, Southborough, Massachusetts 01772

hereafter referred to as assignor, have with others invented certain new and useful improvements in

CONNECTOR RING CLAMP AND ASSOCIATED METHODS OF USE

☒ for which an application for a United States Letters Patent was filed on June 16, 2015

Application Number 14/741,008

☐ for which an application for a United States Letters Patent was executed by me on _____,
 and

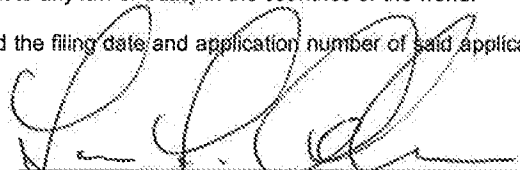
Whereas, HeartWare, Inc.
 a corporation of Delaware herein referred to as "assignee" whose mailing address is
14420 NW 60th Avenue, Miami Lakes, Florida 33014
 is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 62/013,156, filed , and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 62/013,156 pursuant to any law or treaty in the countries of the world.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

30 June 2015
 (Date)


 (Signature)

State of Massachusetts) SS:
 County of Middlesex)

On this 30 day of June, 2015 before me personally came the above-named Lance Lynn Andrus, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Heather D. Meterparel
 (Notary Public)

☒ *Total of 2 forms are submitted.

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with 37 CFR § 1.6(a)(4).

Dated: August 6, 2015

Signature: /Mario T. Milano/ (Mario T. Milano)

ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

HEARTW 3.0F-118 (E)

Whereas, I, Andre Castillo of
1800 NE 29th Avenue; Apt. 907; Aventura, Florida 33180

hereafter referred to as assignor, have with others invented certain new and useful improvements in

CONNECTOR RING CLAMP AND ASSOCIATED METHODS OF USE

☒ for which an application for a United States Letters Patent was filed on June 16, 2015

Application Number 14/741,008

☐ for which an application for a United States Letters Patent was executed by me on _____
 and

Whereas, HeartWare, Inc.
 a corporation of Delaware herein referred to as "assignee" whose mailing address is

14420 NW 60th Avenue; Miami Lakes, Florida 33014

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 62/013,156, filed , and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 62/013,156 pursuant to any law or treaty in the countries of the world.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

25 JUNE 2015

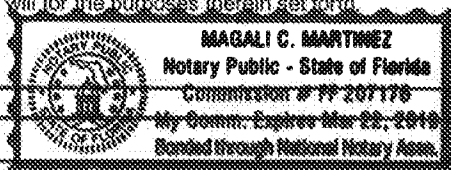
(Date)

[Signature]
 (Signature)

State of Florida) SS:
 County of Miami-Dade)

On this 25th day of June, 2015 before me personally came the above-named Andre Castillo, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

[Signature]
 (Notary Public)



☒ *Total of 2 forms are submitted.

PATENT