

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOSEPH FLEMING	08/07/2015
HANQI (ARCHIE) XU	08/04/2015
RECEIVING PARTY DATA	
Name:	HUB PEN COMPANY
Street Address:	1525 WASHINGTON STREET
City:	BRAINTREE
State/Country:	MASSACHUSETTS
Postal Code:	02184
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	29535610
CORRESPONDENCE DATA	
Fax Number:	(508)938-6358
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	4012235853
Email:	docket@dmmlplaw.com
Correspondent Name:	JODI-ANN MCLANE
Address Line 1:	9 EXCHANGE STREET
Address Line 2:	DINGMAN, MCINNES & MCLANE, LLP
Address Line 4:	WORCESTER, MASSACHUSETTS 01608
ATTORNEY DOCKET NUMBER:	HUBP-080-2016D MEEMO
NAME OF SUBMITTER:	JODI-ANN MCLANE
SIGNATURE:	/Jodi-Ann McLane/
DATE SIGNED:	08/10/2015
Total Attachments: 4	
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source=00039574#page1.tif	
source=00039574#page2.tif	

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of
Invention

Pen

As the below named inventor, I hereby declare that

This declaration
is directed to:

☒

The attached application, or

☐

United States application or PCT international application number _____
filed on _____

The above-identified application was made or authorized to be made by me

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

LEGAL NAME OF INVENTOR

Inventor: Hanqi (Archie) Xu

Date (Optional):

AUG 4 2015

Signature: [Signature]

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA-61 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

ASSIGNMENT

For valuable consideration, the receipt and sufficiency of which is acknowledged, I, **Joseph Fleming**, (hereinafter referred to as Assignor), hereby assign and transfer to **Hub Pen Company**, a corporation of **Massachusetts**, having a place of business at **1525 Washington Street, Braintree, Massachusetts 02184**, United States of America, its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title, and interest in and throughout the world in and to the inventions and improvements which are disclosed in or the subject of the applications and Letters Patents as may issue therefrom as listed below, including the right to sue for past infringement to collect for all past, present and future infringements, and claims for damages and the proceeds thereof, including, without limitation, license royalties and proceeds of infringement suits and all rights corresponding thereto throughout the world by reason of any past and future acts of infringement that have occurred or may occur, which is identified by the Attorney Docket Number listed below for which Assignor is filing an application of United States Letters Patent herewith (hereinafter the "Application").

Docket No.	Title
HUBP-080-2016D MEEMO	Pen

This assignment includes: (i) the Applications; (ii) continuations, divisionals and other United States applications which claim priority to the Applications; (iii) all foreign and international applications which claim priority to the Applications; (iv) any and all United States and foreign patents, utility models, inventors' certificates, and design registrations granted for any of the inventions or improvements disclosed in or the subject of the Applications, and (v) the right to claim priority based on the filing date of the Applications under the United States Code, the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other statutes or treaties of like purposes.

Assignor authorizes the Assignee to apply in all countries in his name or in its own name for patents, utility models, design registrations, inventors' certificates and like rights of exclusion for any inventions or improvements which are disclosed in or the subject of the Applications. Assignor agrees for himself and his respective heirs, legal

representatives, successors and assigns, without further compensation, to perform such lawful acts and to sign such further applications, assignments, preliminary statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

I do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

In testimony whereof, Assignor has hereunto set his hand this 7th day of August, 2015.


JOSEPH FLEMING