

08/06/2015



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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached.

1. Name of conveying party(ies)

Rutgers University

2. Name and address of receiving party(ies)

Name: Charles R. Spiller
Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): Sept 20, 2013

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☒ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

Street Address: 10 Edgemont Rd

City: West Orange

State: NJ

Country: USA Zip: 07052

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

8,530,455

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Charles R. Spiller

Internal Address:

Street Address: 10 Edgemont Rd.

City: West Orange

State: NJ Zip: 07052

Phone Number: 973 521 2608

Docket Number:

Email Address: spiller@rmail.com

6. Total number of applications and patents involved: 1 (one)

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged to deposit account

☒ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number

Authorized User Name

9. Signature:

Charles R. Spiller

Signature

Aug 2, 2015

Date

Charles R. Spiller

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services; Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

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September 20, 2013

Dr. Charles Spillert
10 Edgemont Road, West
Orange, NJ 07052

Re: U.S. Patent 8,530,455
Title: Hemostatic Effects of Glucono-Delta-Lactone
Our Ref.: NJMS 05-40

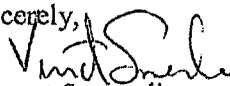
Dear Dr. Spillert:

The University has decided not to maintain the above-referenced patent. In accordance with the University Patent Policy, the University hereby returns full rights in the invention to you as inventor, to proceed on your own to keep patent protection, subject to the following terms:

1. The inventor hereby confirms that the full scope of the invention has been disclosed to Rutgers.
2. The University retains a non-exclusive, royalty-free license to practice the invention for University research, including government-sponsored research purposes.
3. As consideration for this return of rights, the University is due ½% of all gross revenues received by the inventor as a result of commercialization of the invention by the inventor. Rutgers paid the issue fee on August 6, 2013 and the patent issued on September 10, 2013. As previously discussed and agreed to, Rutgers will bill you for the fees they paid for the issuance of this patent.
4. In the event your research resulting in this invention was supported by federal grants, you must obtain Government approval to retain title to this invention. The Office of Technology Commercialization will aid you in obtaining this approval.

If you have any questions regarding the invention or the terms of the return of patent rights to you, do not hesitate to contact Chris Izzo at 732 235-7356.

Sincerely,


Vincent Smeraglia
Executive Director