

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3482093

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GREGORY NIEMINEN	03/21/2006
NATHAN ARONSON	04/10/2006
RECEIVING PARTY DATA	
Name:	CARDIAC DIMENSIONS, INC.
Street Address:	5540 LAKE WASHINGTON BLVD. NE
City:	KIRKLAND
State/Country:	WASHINGTON
Postal Code:	98033
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14642476
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650.212.1700
Email:	info@shayglenn.com
Correspondent Name:	THOMAS M. ZLOGAR
Address Line 1:	SHAY GLENN LLP
Address Line 2:	2755 CAMPUS DRIVE, SUITE 210
Address Line 4:	SAN MATEO, CALIFORNIA 94403
ATTORNEY DOCKET NUMBER:	10057-706.305
NAME OF SUBMITTER:	THOMAS M. ZLOGAR, REG. 55760
SIGNATURE:	/Thomas M. Zlogar/
DATE SIGNED:	08/13/2015
Total Attachments: 3	
source=10057-706-305-Assign-inv-CDI#page1.tif	
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source=10057-706-305-Assign-inv-CDI#page3.tif	

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
 PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies): (1) Gregory NIEMINEN (03/21/2006) (2) Nathan ARONSON (04/10/2006) Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): Name: CARDIAC DIMENSIONS, INC. 5540 Lake Washington Blvd. NE Kirkland, WA 98033
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3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other	Name and address of receiving party(ies): Name: Street Address: City: State: Zip: Country: Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
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4. Application number(s) or patent number(s):
 If this document is being filed together with a new application, the execution date of the application is:

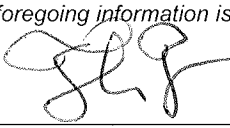
A. Patent Application No.(s) – 14/642,476 Title: TISSUE SHAPING DEVICE	B. Patent No.(s)
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Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed: Thomas M. Zlogar Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403	6. Total number of applications and patents involved: <u> 1 </u> 7. Total fee (37 CFR 1.21(h)(1)): \$0.00 <input type="checkbox"/> A check is enclosed that includes the total fee. <input checked="" type="checkbox"/> None required
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DO NOT USE THIS SPACE

8. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


8.13.15

Thomas M. Zlogar, Reg. No. 55,760	Signature	Date
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Total number of pages including cover sheet, attachments, and documents: **3**

ASSIGNMENT OF APPLICATION

Docket Number 29912-737-201

Whereas, the undersigned:

1. NIEMINEN, Gregory
23017 12th Drive, SE
Bothell, WA 98021

2. ARONSON, Nathan
178 Terrace Avenue
Chico, CA 95921

hereinafter termed "Inventors", have invented certain new and useful improvements in

TISSUE SHAPING DEVICE

- for which an application for United States Patent was filed on 01/19/2006, Application No. 11/274,630.
- for which a United States Patent issued on , U.S. Patent No. .

WHEREAS, Cardiac Dimensions, Inc., a corporation of the State of Delaware, having a place of business at 5540 Lake Washington Blvd. NE, Kirkland, WA 98033, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:

Date: 3-21-06

Gregory Niemin
Gregory NIEMINEN

Date: _____

Nathan ARONSON

ASSIGNMENT OF APPLICATION

ocket Number 29912-737.201

Whereas, the undersigned:

1. NIEMINEN, Gregory
23017 12th Drive, SE
Bothell, WA 98021

2. ARONSON, Nathan
178 Terrace Avenue
Chico, CA 95921

hereinafter termed "Inventors", have invented certain new and useful improvements in

TISSUE SHAPING DEVICE

- for which an application for United States Patent was filed on 01/19/2006, Application No. 11/275,630.
- for which a United States Patent issued on ____, U.S. Patent No. ____.

WHEREAS, Cardigo Dimensions, Inc., a corporation of the State of Delaware, having a place of business at 5540 Lake Washington Blvd. NE, Kirkland, WA 98033, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:

Date: _____

Gregory NIEMINEN

Date: 7/10/06


Nathan ARONSON