

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3489756

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
TAKASHI KAWABE	07/29/2015
ELICHI OZEKI	07/29/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	SHIMADZU CORPORATION
<b>Street Address:</b>	1, NISHINOKYO-KUWABARACHO
<b>Internal Address:</b>	NAKAGYO-KU, KYOTO-SHI
<b>City:</b>	KYOTO 604-8511
<b>State/Country:</b>	JAPAN
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14830187
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(914)723-4301
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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<b>ATTORNEY DOCKET NUMBER:</b>	SHIMA.P013
<b>NAME OF SUBMITTER:</b>	ANDREW F. YOUNG
<b>SIGNATURE:</b>	/AFY/
<b>DATE SIGNED:</b>	08/19/2015
<b>Total Attachments: 3</b>	
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source=doc04949420150819150549#page2.tif	
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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To: Commissioner of Patents and Trademarks: Please record the attached original document or copy:

1. Name of conveying party(ies):

**TAKASHI KAWABE  
ELICHI OZEKI**

Additional names? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name:

**SHIMADZU CORPORATION  
1, NISHINOKYO-KUWABARACHO  
NAKAGYO-KU, KYOTO-SHI  
KYOTO 604-8511, JAPAN**

Additional names & addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: **07/29/2015**

4. Application number(s) or patent number(s): **14/830,187** DOCKET NO.: **SHIMA.P013**

If this document is being filed together with a new application, execution date of application is: NA

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence should be mailed: Address associated with **Customer No.: 28752**

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

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City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

6. Total number of applications and patents involved: 1

7. ☒ Charge any additional fees in connection with this submission to our Deposit Account No. 10-0100

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Andrew F. Young, Reg. No. 44,001

Name of Person Signing

  
Signature

AUGUST 19, 2015

Date

Total number of pages including cover sheet, attachments, and document: 3

## ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned inventors:

Name	Address
Inventor (1): <b>Takashi KAWABE</b>	residing at: 1, NISHINOKYO-KUWABARACHO, NAKAGYO-KU, KYOTO-SHI KYOTO 604-8511, JAPAN  Citizenship: JAPAN
Inventor (2): <b>Eiichi OZEKI</b>	residing at: 1, NISHINOKYO-KUWABARACHO, NAKAGYO-KU, KYOTO-SHI KYOTO 604-8511, JAPAN  Citizenship: JAPAN

declare that they are citizens of the country indicated above and with residences as listed above,

Hereby sell, assign and transfer to **SHIMADZU CORPORATION**, a corporation of the Nation of Japan hereafter called the "Assignee," having a place of business at:

1, Nishinokyo-Kuwabaracho, Nakagyo-ku, Kyoto-shi, Kyoto 604-8511, JAPAN.

To its successors, assigns and legal representatives, the entire right, title and interest for the United States and worldwide, in and to any and all improvements which are described and claimed in the

\_\_\_\_\_ attached specification executed on \_\_\_\_\_,  
X specification Serial No. **14/830,187**, filed **AUGUST 19, 2015**  
\_\_\_\_\_ U.S. Letters Patent No. \_\_\_\_\_; issued \_\_\_\_\_,

entitled: **MANUFACTURING METHOD FOR NANOPARTICLE**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States or worldwide on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States or worldwide on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing

and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

The undersigned further declare that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

DATE 29 July /2015

Takashi Kawabe (L.S.)  
By: Takashi KAWABE

DATE 29 July /2015

Eiichi Ozeki (L.S.)  
By: Eiichi OZEKI