

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3499022

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DEQIANG AN	07/27/2015
NIANHE HAN	07/27/2015
DI ZENG	07/27/2015
HANG YANG	07/27/2015
PENG ZHU	07/27/2015
MINGZHEN LI	07/29/2015
LI JIAN	07/27/2015
CHUN YANG	07/27/2015
RECEIVING PARTY DATA	
Name:	NEWBIO THERAPEUTICS, INC.
Street Address:	SUITE 101, BUILDING 9,
Internal Address:	1011 HALEI ROAD, PUDONG NEW DISTRICT,
City:	SHANGHAI, 201203
State/Country:	CHINA
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14807234
CORRESPONDENCE DATA	
Fax Number:	(212)246-8925
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	212-708-1803
Email:	gmarti@ladas.com
Correspondent Name:	GERALDINE MARTI
Address Line 1:	LADAS & PARRY LLP
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ATTORNEY DOCKET NUMBER:	U 019477-7
NAME OF SUBMITTER:	JOHN RICHARDS
SIGNATURE:	/JOHN RICHARDS/

PATENT

DATE SIGNED:	08/26/2015
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Total Attachments: 5

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For: U.S. and/or Foreign Rights
 For: U.S. Application or
 U.S. Provisional Application
 For: U.S. Patent
 For: PCT Application
 By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

Deqiang AN

(type or print name of inventors)

SUITE 101, BUILDING 9,

1011 HALEI ROAD,

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Added page(s) for signature by subsequent inventors. Number of pages added

1

ADDED PAGE TO ASSIGNMENT FOR SIGNATURE BY SUBSEQUENT
INVENTORS

Peng ZHU

(type or print name of inventors)

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Address

Chinese

Nationality

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to
ASSIGNEES

NEWBIO THERAPEUTICS, INC.

(type or print name of ASSIGNEE)

SUITE 101, BUILDING 9,

Address

1011 HALEI ROAD,
PUDONG NEW DISTRICT,
SHANGHAI, CHINA 201203

Chinese
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- the entire right, title and interest
 an undivided _____ percent (_____ %) right, title and interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- and in all foreign countries, including all rights to claim priority, in and to any
and all improvements which are disclosed in the invention entitled:

TRIDENTATE CONNEXON AND USE THEREOF

Name of inventor(s) (1) Deqiang AN
(2) Nianhe HAN
(3) Di ZENG
(4) Hang YANG
(5) Peng ZHU
(6) Mingzhen LI
(7) Li JIAN
(8) Chun YANG

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) Not yet filed U.S. patent application executed on even date herewith
(b) Not yet filed U.S. patent application executed on
(c) Not yet filed U.S. provisional application naming the above inventor(s)
for the above-entitled invention
 To comply with 37 C.F.R. 3.21 for recordal of this assignment,
I, an ASSIGNOR signing below, hereby authorize and request
my attorney to insert below the filing date and application
number when they become known.
(d) U.S. patent or provisional patent application no. 14/807234
filed on July 23, 2015

- (e) International application no. _____
filed on _____
- (f) U.S. patent no. _____ issued
 A change of address to which correspondence is to be sent
regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) and any legal equivalent thereof in a foreign country, including
the right to claim priority

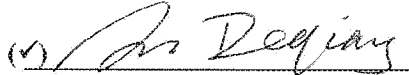
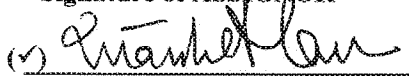

and, in and to, all Letters Patent to be obtained for said invention by the above application
or any continuation, division, renewal, or substitute thereof, and as to letters patent any
reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance
has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided
promptly with all pertinent facts and documents relating to said invention and said Letters
Patent and legal equivalents as may be known and accessible to ASSIGNOR and will
testify as to the same in any interference, litigation or proceeding related thereto and will
promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers,
instruments or affidavits required to apply for, obtain, maintain, issue and enforce said
application, said invention and said Letters Patent and said equivalents thereof which may
be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

*WARNING: The date of signing must be the same as the date of execution of the application, if item (a)
was checked above.*

<u>Deqiang AN</u> (type name of Assignor)	(✓) <u></u> Signature of ASSIGNOR	this (✓)	<u>July 27, 2015</u> date of signing
<u>Nianhe HAN</u> (type name of Assignor)	(✓) <u></u> Signature of ASSIGNOR	this (✓)	<u>July 27, 2015</u> date of signing
<u>Di ZENG</u> (type name of Assignor)	(✓) <u>Di ZENG</u> Signature of ASSIGNOR	this (✓)	<u>July 27, 2015</u> date of signing
<u>Hang YANG</u> (type name of Assignor)	(✓) <u>Hang Yang</u> Signature of ASSIGNOR	this (✓)	<u>July 27, 2015</u> date of signing
<u>Peng ZHU</u> (type name of Assignor)	(✓) <u>peng zhu</u> Signature of ASSIGNOR	this (✓)	<u>July-27-2015</u> date of signing
<u>Mingzhen LI</u> (type name of Assignor)	(✓) <u>Mingzhen li</u> Signature of ASSIGNOR	this (✓)	<u>July 29, 2015</u> date of signing
LI JIAN	(✓) <u></u>	this (✓)	<u>July 27/2015</u>

_____ (type name of Assignor)	_____ Signature of ASSIGNOR	_____ date of signing
Chun YANG (type name of Assignor)	(✓) <i>Chun Yang</i> Signature of ASSIGNOR	this (✓) <i>27 July 2015</i> date of signing

(if ASSIGNOR is a legal entity, complete the following information)

*(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)*

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.