#### 503461374 09/01/2015

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ANTHONY RODMAN	08/26/2015
RONALD SILVER	08/06/2015
SYLVAIN CHARBONNEL	07/24/2015

#### **RECEIVING PARTY DATA**

Name:	CATERPILLAR INC.
Street Address:	100 NE ADAMS STREET
City:	PEORIA
State/Country:	ILLINOIS
Postal Code:	61629

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14841949

#### CORRESPONDENCE DATA

Fax Number: (202)861-1783

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

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Phone: 202-861-1500

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CATERPILLAR INC./BAKER HOSTETLER **Correspondent Name:** Address Line 1: WASHINGTON SQUARE, SUITE 1100,

Address Line 2: 1050 CONNECTICUT AVE, NW WASHINGTON, D.C. 20036 Address Line 4:

ATTORNEY DOCKET NUMBER:	86703.23860
NAME OF SUBMITTER:	CRAIG M. BROWN
SIGNATURE:	/Craig M. Brown/
DATE SIGNED:	09/01/2015

#### **Total Attachments: 4**

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**PATENT** REEL: 036466 FRAME: 0802 503461374

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PATENT REEL: 036466 FRAME: 0803

### **ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

# EXHAUST TREATMENT SYSTEM WITH PARTICULATE FILTER HAVING WALL-FLOW AND FLOW-THROUGH CHANNELS

for which I/We will or have filed a patent application; and

WHEREAS, Caterpillar Inc., a corporation of Delaware, whose post office address is 100 NE Adams Street, Peoria, IL 61629 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, I/WE HEREBY authorize Assignee or the attorneys/representatives of Assignee to insert here in parentheses the country, application number, and/or official filing date (U.S. Application No. <u>14/841,949</u>, filed <u>September 1, 2015</u>).

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

PATENT REEL: 036466 FRAME: 0804

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. **86703.23860** Client Ref. No. **15-0248** 

full name of first (or sole) assignor Anthony Rodman	ASSIGNOR'S SIGNATURE	8/2///S
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1: WITNESS NAME	WINESS SIGNATURE	DATE

1. WITNESS NAME Randy L. MARQUIS	WITHESS SIGNATURES Kandy I Margus	DATE 26 Aug 15
13707 N. Lucerne Drive, Dunlap. IL 61525		CITIZENSHIP  USA

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SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 86703.23860 Client Ref. No. 15-0248

2. FULL NAME OF SECOND ASSIGNOR Ronald Silver	ASSIGNOR'S SIGNATURE	DATE 8/6/2015
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2. WITNESS NAME	WITNESS SIGNATURE	DATE
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SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 86703.23860 Client Ref. No. 15-0248

3. FULL NAME OF THIRD ASSIGNOR Sylvain Charbonnel	ASSIGNOR'S SIGNATURE	<b>date</b>   7/24/2015
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Dunley IL 6/52		
2 WITNESS NAME	WITNESS SIGNATURE	DATE 1 24 2855
adoress 9701 N Lawland L	N),	CITIZENSHIP
		***************************************

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PATENT REEL: 036466 FRAME: 0807

**RECORDED: 09/01/2015**