503462618 09/01/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3509244

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
RICHARD FRANK YANDA	09/01/2015

RECEIVING PARTY DATA

Name:	RTE WATER, INC.
Street Address:	1530 PROSPERITY COURT
City:	SAN JOSE
State/Country:	CALIFORNIA
Postal Code:	95131

PROPERTY NUMBERS Total: 4

Property Type	Number
Application Number:	61370363
Application Number:	61412854
PCT Number:	US2011046194
Application Number:	13756008

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email:robert@crownoverlaw.comCorrespondent Name:ROBERT CROWNOVER

Address Line 1: P.O. BOX 70272

Address Line 4: SUNNYVALE, CALIFORNIA 94086

ATTORNEY DOCKET NUMBER:	14RTE02
NAME OF SUBMITTER:	ROBERT CROWNOVER
SIGNATURE:	/Robert Crownover/
DATE SIGNED:	09/01/2015

Total Attachments: 5

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PATENT REEL: 036472 FRAME: 0101

ASSIGNMENT OF RIGHTS

- 1. Richard Frank Yanda ("Assignor") is inventor of:
 - a. U.S. Provisional Patent Application Serial Number: 61/370,363 filed August 3, 2010 entitled "FLUID FILTER FOR HIGH VOLUME INDUSTRIAL APPLICATIONS";
 - b. U.S. Provisional Patent Application Serial Number: 61/412,854 filed November 12, 2010 entitled "SYSTEMS, DEVICES AND METHODS FOR HIGH VOLUME FLUID FILTERING":
 - c. International Patent Application Serial Number: PCT/US2011/046194 filed August 2, 2011 entitled "SYSTEMS, DEVICES AND METHODS FOR HIGH VOLUME FLUID FILTERING"; and
 - d. U.S. Non-Provisional Patent Application Serial Number: 13/756,008 filed January 31, 2013 entitled "SYSTEMS, DEVICES AND METHODS FOR HIGH VOLUME FLUID FILTERING", (the "Patent Applications").
- RTE Water, Inc. ("Assignee"), having a place of business at: 1530 Prosperity Court, San Jose, CA 95131, desires to acquire all rights, title, and interest in and to the Patent Applications and any Patents (and any reissues or extensions) that may be granted.
- 3. Therefore, for valuable consideration, the receipt of which is acknowledged, Assignor assigns to Assignee 100% of his rights, title, and interest in and to the invention and Patent Applications (as well as such rights, title, and interest in and to any divisionals, continuations in whole or part or substitute applications) to Assignee for the entire term of the issued Patent and any reissues or extensions that may be granted and for the entire terms of any and all foreign patents that may issue from foreign applications (as well as divisionals, continuations in whole or part or substitute applications) filed claiming the benefit of the Patent Applications.
- 4. Assignor authorizes the United States Patent and Trademark Office to issue any Patents resulting from the Patent Applications to Assignee according to the percentage interest indicated in this assignment. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns fully and exclusively.
- 5. Assignor further agrees to: (a) cooperate with Assignee in the prosecution of the Patent Applications and foreign counterparts; (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer; and (c) perform such other acts as Assignee lawfully may request to obtain, maintain, or enforce the Patent for the invention in any and all countries.

Date: Sep. 1, 2015

Assignor

WHEREAS, the undersigned:

Richard Frank YANDA, 252 Prince Street Los Gatos CA 95035

(hereinafter "Inventor(s))," have invented certain new and useful improvements in

FLUID FILTER FOR HIGH VOLUME INDUSTRIAL APPLICATIONS

for which a United States patent application is executed on even date herewith;
for which Application No. 61/370,363 was filed on August 3, 2010, in the United States
Patent Office;
for which Application No was filed on in the U.S. Receiving Office of the Patent
Cooperation Treaty;
for which Application Nowas filed on in the Patent Office; and/or
for which an application was filed upon which a United States Patent issued on, as U.S.
Patent No.
(hereinafter "Application(s)")

WHEREAS, <u>RTE Water, Inc.</u>, a corporation of the State of <u>Delaware</u>, having a place of business at <u>252 Prince Street</u>, <u>Los Gatos</u>, <u>CA 95035</u>, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter "Patent(s)") thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

- 1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions, including the right to claim priority to said Inventions; (b) in and to all rights to all United States and corresponding non-United States patent applications and Patent(s), including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said Inventions in the United States, in any foreign country, or under any international convention, agreement, protocol, or treaty, including each and every application filed and any and all Patent(s) granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s).
- 2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United

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States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.
- 4. Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Date: <u>Aug. 1, 201</u>1

Richard Frank YANDA

RECEIVED AND AGREED TO BY ASSIGNEE:

Date: Aug. 1, 2011

Name: Richard Frank Yanda

Title: Chief Technical Officer

WHEREAS, the undersigned:

Richard Frank YANDA, 252 Prince Street Los Gatos CA 95035

(hereinafter "Inventor(s))," have invented certain new and useful improvements in

for which a United States patent application is executed on even date herewith;
for which Application No. 61/412,854 was filed on November 12, 2010, in the United
States Patent Office;
for which Application No was filed on in the U.S. Receiving Office of the Patent
Cooperation Treaty;
for which Application No was filed on in the Patent Office; and/or
for which an application was filed upon which a United States Patent issued on, as U.S.
Patent No.
(hereinafter "Application(s)").

SYSTEMS, DEVICES AND METHODS FOR HIGH VOLUME FLUID FILTERING

WHEREAS, <u>RTE Water, Inc.</u>, a corporation of the State of <u>Delaware</u>, having a place of business at <u>252 Prince Street, Los Gatos, CA 95035</u>, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter "Patent(s)") thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

- 1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions, including the right to claim priority to said Inventions; (b) in and to all rights to all United States and corresponding non-United States patent applications and Patent(s), including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said Inventions in the United States, in any foreign country, or under any international convention, agreement, protocol, or treaty, including each and every application filed and any and all Patent(s) granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s).
- 2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United

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PATENT REEL: 036472 FRAME: 0105

States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

- The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.
- Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

RECEIVED AND AGREED TO BY ASSIGNEE:

Name: Richard Frank Yanda

Title: Chief Technical Officer

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PATENT

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