

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3515937

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
THOMAS H. KING	07/20/2015
DAVID APELIAN	08/03/2015
RECEIVING PARTY DATA	
Name:	GLOBEIMMUNE, INC.
Street Address:	1450 INFINITE DRIVE
City:	LOUISVILLE
State/Country:	COLORADO
Postal Code:	80027
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2014031811
CORRESPONDENCE DATA	
Fax Number:	(303)863-0223
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	303-863-9700
Email:	shouse@sheridanross.com
Correspondent Name:	SHERIDAN ROSS P.C.
Address Line 1:	1560 BROADWAY
Address Line 2:	SUITE 1200
Address Line 4:	DENVER, COLORADO 80202
ATTORNEY DOCKET NUMBER:	3923-44-PCT
NAME OF SUBMITTER:	ANGELA M. DOMITROVICH
SIGNATURE:	/Angela M. Domitrovich/
DATE SIGNED:	09/08/2015
Total Attachments: 4	
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ASSIGNMENT

WHEREAS, we, Thomas H. KING of 4105 Perry Street, Denver, Colorado, 80212, and David APELIAN of 3 Old Beach Glen Road, Boonton Township, New Jersey, 07005, have invented a certain new and useful invention entitled Compositions and Methods for the Treatment or Prevention of Human Immunodeficiency Virus Infection, for which an application for Letters Patent of the International Patent Cooperation Treaty has been prepared and filed on March 26, 2014, receiving Application No. PCT/US14/31811 and further identified as Attorney File No. 3923-44-PCT.

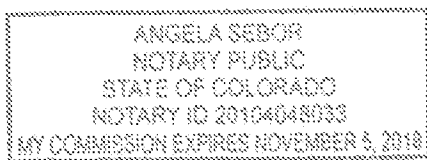
NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, GlobeImmune, Inc., (hereinafter the "assignee"), a Delaware corporation having a place of business at 1450 Infinite Drive, Louisville, Colorado 80027, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and any improvements and modifications thereto, the provisional and non-provisional applications, all applications claiming benefit of the provisional and non-provisional applications, including, but not limited to, all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the said invention which has not already been transferred to the assignee, we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Globelimmune, Inc., and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Globelimmune, Inc., its successors, legal representatives and assigns, as an assignee of an equal, undivided right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 07-20-15By: Thomas H. King
Thomas H. KingSTATE OF COLORADO)
) ss.
COUNTY OF Boulder)

Before me, a Notary Public in and for said County and State, personally appeared Thomas H. King, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 20th day of July, 2015.

Angela Sebor
Notary Public
My commission expires: 11/05/2018

Date: _____

By: _____
David ApelianSTATE OF COLORADO)
) ss.
COUNTY OF _____)

Before me, a Notary Public in and for said County and State, personally appeared David Apelian, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____, _____.

Notary Public
My commission expires: _____

ASSIGNMENT

WHEREAS, we, Thomas H. KING of 4105 Perry Street, Denver, Colorado, 80212, and David APELIAN of 3 Old Beach Glen Road, Boonton Township, New Jersey, 07005, have invented a certain new and useful invention entitled Compositions and Methods for the Treatment or Prevention of Human Immunodeficiency Virus Infection, for which an application for Letters Patent of the International Patent Cooperation Treaty has been prepared and filed on March 26, 2014, receiving Application No. PCT/US14/31811 and further identified as Attorney File No. 3923-44-PCT.

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, GlobeImmune, Inc., (hereinafter the "assignee"), a Delaware corporation having a place of business at 1450 Infinite Drive, Louisville, Colorado 80027, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and any improvements and modifications thereto, the provisional and non-provisional applications, all applications claiming benefit of the provisional and non-provisional applications, including, but not limited to, all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the said invention which has not already been transferred to the assignee, we warrant that we have made no assignment of the invention, application or patent therefor to a party other than GlobeImmune, Inc., and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

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IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: _____

By: _____
Thomas H. KingSTATE OF _____)
) ss.
COUNTY OF _____)

Before me, a Notary Public in and for said County and State, personally appeared Thomas H. King, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____, _____

Notary Public
My commission expires: _____

Date: 3 Aug 2015By: _____
David ApelianSTATE OF New Jersey)
) ss.
COUNTY OF Morris)

Before me, a Notary Public in and for said County and State, personally appeared David Apelian, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 3 day of August, 2015.

Notary Public
My commission expires: _____

RUTHANN MITCHELL
NOTARY PUBLIC OF NEW JERSEY
Commission Expires March 11, 2018