

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3518195

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|---|------------------------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                     |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                         |
| <b>CONVEYING PARTY DATA</b>   |                                    |
| <b>Name</b>   | <b>Execution Date</b>              |
| MATTHEW D TARLER  | 09/09/2015                         |
| <b>RECEIVING PARTY DATA</b>   |                                    |
| <b>Name:</b>  | GREAT LAKES NEUROTECHNOLOGIES INC. |
| <b>Street Address:</b>  | 10055 SWEET VALLEY DR.             |
| <b>City:</b>  | CLEVELAND                          |
| <b>State/Country:</b>   | OHIO                               |
| <b>Postal Code:</b>   | 44125                              |
| <b>PROPERTY NUMBERS Total: 1</b>  |                                    |
| <b>Property Type</b>  | <b>Number</b>                      |
| <b>Application Number:</b>  | 14837432                           |
| <b>CORRESPONDENCE DATA</b>  |                                    |
| <b>Fax Number:</b>  |                                    |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                                    |
| <b>Email:</b>   | kolkowski@orbitalresearch.com      |
| <b>Correspondent Name:</b>  | BRIAN KOLKOWSKI                    |
| <b>Address Line 1:</b>  | 4415 EUCLID AVE., SUITE 500        |
| <b>Address Line 4:</b>  | CLEVELAND, OHIO 44103              |
| <b>ATTORNEY DOCKET NUMBER:</b>  | GLN-037                            |
| <b>NAME OF SUBMITTER:</b>   | MARK PENNINGTON                    |
| <b>SIGNATURE:</b>   | /Mark Pennington/                  |
| <b>DATE SIGNED:</b>   | 09/09/2015                         |
| <b>Total Attachments: 4</b>   |                                    |
| source=GLN-037_2015-09-09_assignment-signed#page1.tif   |                                    |
| source=GLN-037_2015-09-09_assignment-signed#page2.tif   |                                    |
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### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Matthew D Tarler

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Great Lakes NeuroTechnologies Inc.

Internal Address: \_\_\_\_\_

Street Address: 10055 Sweet Valley Dr.

City: Cleveland

State: OH

Country: US Zip: 44125

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 2015-09-09

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

14/837,432

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Brian Kolkowski

Internal Address: \_\_\_\_\_

Street Address: 4415 Euclid Ave. Suite 500

City: Cleveland

State: OH Zip: 44103

Phone Number: (216) 649-0376

Docket Number: GLN-037

Email Address: bkolkowski@glneurotech.com

**6. Total number of applications and patents involved: 1**

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00

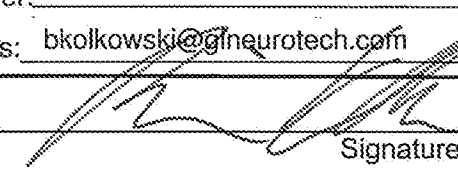
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

  
Signature

9/9/15  
Date

Brian Kolkowski

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

**4**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450



Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)

Docket No.  
GLN-037

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

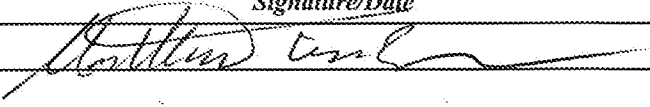
Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

| Name              | Signature/Date   |
|-------------------|--|
| Matthew D. Tarler |  |
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Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)

Docket No.  
GLN-037

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 9<sup>TH</sup> day of September, in the year 2015

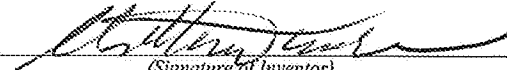
at

State of OH

County of Cuyahoga

Before me personally appeared Matthew D. Tarler

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 9<sup>TH</sup> day of September, in the year 2015

  
(Signature of Inventor)



MARK PENNINGTON  
ATTORNEY AT LAW  
NOTARY PUBLIC  
STATE OF OHIO  
My Comm. Expires No  
Expiration Date  
Section 147.03 R. C.

  
(Notary Public)

Executed this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_

at

State of OH

County of Cuyahoga

Before me personally appeared

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_

\_\_\_\_\_  
(Signature of Inventor)

\_\_\_\_\_  
(Notary Public)

Executed this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_

at

State of OH

County of Cuyahoga

Before me personally appeared

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_

\_\_\_\_\_  
(Signature of Inventor)

\_\_\_\_\_  
(Notary Public)