### 503467445 09/04/2015

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3514070

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CORPORATE SPLIT

#### **CONVEYING PARTY DATA**

Name	<b>Execution Date</b>
KIRIN BEER KABUSHIKI KAISHA	07/01/2007

#### **RECEIVING PARTY DATA**

Name:	KIRIN PHARMA CO., LTD.		
Street Address:	6-26-1 JINGUMAE		
Internal Address:	SHIBUYA-KU		
City:	TOKYO		
State/Country:	JAPAN		

### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
PCT Number:	US2007001170	

#### **CORRESPONDENCE DATA**

**Fax Number:** (919)287-6302

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 9192876300

Email: aclark@argostherapeutics.com
Correspondent Name: ARGOS THERAPEUTICS, INC.
Address Line 1: 4233 TECHNOLOGY DRIVE

Address Line 4: DURHAM, NORTH CAROLINA 27704

ATTORNEY DOCKET NUMBER:	ARG036WO
NAME OF SUBMITTER:	ALIDA M CLARK
SIGNATURE:	/Alida M. Clark/
DATE SIGNED:	09/04/2015

#### **Total Attachments: 13**

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> PATENT REEL: 036552 FRAME: 0144

503467445

# CERTIFICATE OF ALL HISTORICAL ITEMS

Kirin Holdings Co., Ltd.

2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

Trade name	Kirin Brewery Co., Ltd.		
	Kirin Holdings Co., Ltd.	Changed: July 1, 2007	
		Registered: July 10, 2007	
Head office	2-10-1 Shinagawa, Chūō-ku, Tōkyō-to		
Method of public notice	Posting in the Nihon Keizai Shinbun in Tokyo		
	Notices will be issued by electronic public notice.  http://www.kirinholdings.co.jp/  If public notice by electronic public notice is not possible due to accident or other unavoidable reason, notices will	Changed: March 26, 2008	
	be issued by posting in the Nihon Keizai Shinbun.	Registered: April 8, 2008	
Items necessary for	http://www.kirin.co.jp/kouji	Established: February 19, 2003	
receiving provision of information relating to		Registered: March 4, 2003	
financial statements			
		Discontinuation registered: June 5, 2006	
Date of incorporation	February 23, 1965		
Purpose	The purpose of this company is to engage in the enterprises listed in the following items and in related enterprises necessary or beneficial thereto.  1. The manufacture and sale of beer and other alcoholic beverages 2. The manufacture and sale of soft drinks and other beverages 3. The manufacture and sale of food products 4. The manufacture and sale of chemical products 5. The manufacture, sale, export, and import of medical products and medical devices and instruments 6. The manufacture and sale of fertilizer and feed 7. The sale and purchase, borrowing, lending, and administrative operation of real estate 8. Warehousing 9. The management of sports facilities 10. The management of restaurants and lodging facilities 11. The design, fabrication, installation, operational management, technical guidance, and sale of manufacturing facilities and related machinery for alcoholic beverages, other beverages, etc. 12. The production and sale of seeds, seedlings, flowers, vegetables, fruits, and other agricultural products 13. Financial business		

File No. SA 776318 Note: Underlining indicates deleted items 1/13

Kirin Holdings Co., Ltd. 2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

	Changed: March 30, 2004	Registered: April 12, 2004	
	<ol> <li>The purpose of the company is to support and manage, by possessing the stock or holdings of companies (including overseas companies) engaged in the enterprises listed in the following items, joint ventures (including overseas joint ventures), and other equivalent business entities, the business activities of said companies, etc.</li> <li>The manufacture and sale of beer and other alcoholic beverages</li> <li>The manufacture and sale of soft drinks and other beverages</li> <li>The manufacture and sale of food products</li> <li>The manufacture and sale of chemical products</li> <li>The manufacture, sale, export, and import of medical products and medical devices and instruments</li> <li>The manufacture and sale of fertilizer and feed</li> <li>The sale and purchase, borrowing, lending, and administrative operation of real estate</li> <li>Warehousing</li> <li>The management of sports facilities</li> <li>The management of restaurants and lodging facilities</li> <li>The design, fabrication, installation, construction, operational management, technical guidance, and sale of manufacturing facilities and related machinery for alcoholic beverages, other beverages, etc)</li> <li>The production and sale of seeds, seedlings, flowers, vegetables, fruits, and other agricultural products</li> <li>Financial business</li> <li>Freight truck shipping business</li> <li>Business incidental or related to the preceding items.</li> <li>The company may engage in the enterprises listed in the preceding items and in all business incidental or related thereto.</li> </ol>		
Number of unit shares	1000 shares		
Total number of shares	1,732,026,000 shares	Changed: August 22, 2000	
issuable		Registered: August 25, 2000	
	Total number of shares issued	Changed: August 22, 2000	
	984,508,387 shares	Registered: August 25, 2000	
Provision for issue of shares	Stock certificates are issued for stock of this company  Registered on May 1, 2006 under the provisions of Article 136 of 2005 Law No. 87		
Capital	The sum of 102,045,793,357 yen		
Name and address of shareholder registry administrator	Mitsubishi Trust and Banking Corporation 1-4-5 Marunouchi, Chiyoda-ku, Tōkyō-to Mitsubishi Trust and Banking Corporation Main Branch 1-4-5 Marunouchi, Chiyoda-ku, Tōkyō-to Changed: May 6, 2003	Registered: May 8, 2003	

Note: Underlining indicates deleted File No. SA 776318 items 2/13

Kirin Holdings Co., Ltd. 2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

	Mitsubishi UFJ Trust and Banking Corporation 1-4-5 Marunouchi, Chiyoda-ku, Tōkyō-to Mitsubishi UFJ Trust and Banking Corporation Main Branch 1-4-5 Marunouchi, Chiyoda-ku, Tōkyō-to Changed: October 1, 2005 Registered: October 5, 2005		
Items relating to officers	Director	Kōichirō Aramaki	Reappointed: March 30, 2004
			Registered: April 12, 2004
	Director	Kōichirō Aramaki	Reappointed: March 30, 2005
			Registered: April 12, 2005
	<u>Director</u>	Kōichirō Aramaki	Reappointed: March 30, 2006
			Registered: April 13, 2006
	<u>Director</u>	<u>Kōichirō Aramaki</u>	Reappointed: March 28, 2007
			Registered: April 10, 2007
	Director	Kōichirō Aramaki	Reappointed: March 26, 2008
			Registered: April 8, 2008
	Director	Naomichi Asano	Reappointed: March 30, 2004
			Registered: April 12, 2004
	<u>Director</u>	Naomichi Asano	Reappointed: March 30, 2005
			Registered: April 12, 2005
	<u>Director</u>	Naomichi Asano	Reappointed: March 30, 2006
			Registered: April 13, 2006
			Resigned: March 28, 2007
			Registered: April 10, 2007

Note: Underlining indicates deleted File No. SA 776318 items 3/13

Kirin Holdings Co., Ltd. 2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

Director	Kenjirō Hata	Reappointed: March 30, 2004
(External director)	or)	Registered: April 12, 2004
<u>Director</u>	Kenjirō Hata	Reappointed: March 30, 2005
(External director)	<u>or)</u>	Registered: April 12, 2005
<u>Director</u>	Kenjirō Hata	Reappointed: March 30, 2006
(External direc	<u>or)</u>	Registered: April 13, 2006
<u>Director</u>	Kenjirō Hata	Reappointed: March 28, 2007
(External directo	<u>or)</u>	Registered: April 10, 2007
		Resigned: March 26, 2008
		Registered: April 8, 2008
Director	Satoru Kishi	Reappointed: March 30, 2004
(External director)	<u>or)</u>	Registered: April 12, 2004
<u>Director</u>	Satoru Kishi	Reappointed: March 30, 2005
(External director)	<u>or)</u>	Registered: April 12, 2005
<u>Director</u>	Satoru Kishi	Reappointed: March 30, 2006
(External director)	<u>or)</u>	Registered: April 13, 2006
<u>Director</u>	Satoru Kishi	Reappointed: March 28, 2007
		Registered: April 10, 2007
Director	Satoru Kishi	Reappointed: March 26, 2008
		Registered: April 8, 2008
<u>Director</u>	Takeshi Shigenaga	Reappointed: March 30, 2004
		Registered: April 12, 2004
<u>Director</u>	Takeshi Shigenaga	Reappointed: March 30, 2005
		Registered: April 12, 2005
		Resigned: March 30, 2006
		Registered: April 13, 2006

Note: Underlining indicates deleted File No. SA 776318 items 4/13

Kirin Holdings Co., Ltd. 2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

Director	Shōzō Sawada	Reappointed: March 30, 2004
		Registered: April 12, 2004
<u>Director</u>	Shōzō Sawada	Reappointed: March 30, 2005
		Registered: April 12, 2005
		Resigned: March 30, 2006
		Registered: April 13, 2006
<u>Director</u>	Akira Negami	Appointed: March 30, 2004
		Registered: April 12, 2004
<u>Director</u>	Akira Negami	Reappointed: March 30, 2005
		Registered: April 12, 2005
		Resigned: March 30, 2006
		Registered: April 13, 2006
<u>Director</u>	<u>Ichiyasu Katō</u>	Appointed: March 30, 2004
		Registered: April 12, 2004
<u>Director</u>	<u>Ichiyasu Katō</u>	Reappointed: March 30, 2005
		Registered: April 12, 2005
<u>Director</u>	<u>Ichiyasu Katō</u>	Reappointed: March 30, 2006
		Registered: April 13, 2006
<u>Director</u>	<u>Ichiyasu Katō</u>	Reappointed: March 28, 2007
		Registered: April 10, 2007
Director	Ichiyasu Katō	Reappointed: March 26, 2008
		Registered: April 8, 2008

Note: Underlining indicates deleted File No. SA 776318 items 5/13

Kirin Holdings Co., Ltd. 2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

<u>Director</u>	Takeshi Shimazu	Appointed: March 30, 2004
		Registered: April 12, 2004
<u>Director</u>	Takeshi Shimazu	Reappointed: March 30, 2005
		Registered: April 12, 2005
<u>Director</u>	Takeshi Shimazu	Reappointed: March 30, 2006
		Registered: April 13, 2006
<u>Director</u>	Takeshi Shimazu	Reappointed: March 28, 2007
		Registered: April 10, 2007
		Resigned: March 26, 2008
		Registered: April 8, 2008
<u>Director</u>	Kazuhiro Satō	Appointed: March 30, 2004
		Registered: April 12, 2004
<u>Director</u>	Kazuhiro Satō	Reappointed: March 30, 2005
		Registered: April 12, 2005
<u>Director</u>	Kazuhiro Satō	Reappointed: March 30, 2006
		Registered: April 13, 2006
<u>Director</u>	Kazuhiro Satō	Reappointed: March 28, 2007
		Registered: April 10, 2007
Director	Kazuhiro Satō	Reappointed: March 26, 2008
		Registered: April 8, 2008
<u>Director</u>	Kōichi Matsuzawa	Appointed: March 30, 2006
		Registered: April 13, 2006
<u>Director</u>	Kōichi Matsuzawa	Reappointed: March 28, 2007
		Registered: April 10, 2007
Director	Kōichi Matsuzawa	Reappointed: March 26, 2008
		Registered: April 8, 2008

Note: Underlining indicates deleted File No. SA 776318 items 6/13

Kirin Holdings Co., Ltd. 2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

Director	Tomohiro Mune	Appointed: March 30, 2006
		Registered: April 13, 2006
<u>Director</u>	Tomohiro Mune	Reappointed: March 28, 2007
		Registered: April 10, 2007
		Resigned: March 26, 2008
		Registered: April 8, 2008
Director	Akira Genma	Appointed: March 30, 2006
(External direct	tor)	Registered: April 13, 2006
<u>Director</u>	Akira Genma	Reappointed: March 28, 2007
		Registered: April 10, 2007
Director	Akira Genma	Reappointed: March 26, 2008
		Registered: April 8, 2008
<u>Director</u>	Etsuji Tawada	Appointed: March 28, 2007
		Registered: April 10, 2007
Director	Etsuji Tawada	Reappointed: March 26, 2008
		Registered: April 8, 2008
Director	Yoshiharu Furumoto	Appointed: March 26, 2008
		Registered: April 8, 2008
Director	Yuzuru Matsuda	Appointed: March 26, 2008
		Registered: April 8, 2008

Note: Underlining indicates deleted File No. SA 776318 7/13 items

Kirin Holdings Co., Ltd.

2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

Representative director	Kōichirō Aramaki	Reappointed: March 30, 2004
7-9-20 Ebara, Shinagawa-	ku, Tōkyō-to	Registered: April 12, 2004
Representative director	Kōichirō Aramaki	Reappointed: March 30, 2005
7-9-20 Ebara, Shinagawa-	7-9-20 Ebara, Shinagawa-ku, Tōkyō-to	Registered: April 12, 2005
Representative director	Kōichirō Aramaki	Reappointed: March 30, 2006
7-9-20 Ebara, Shinagawa-	2-20 Ebara, Shinagawa-ku, Tōkyō-to	Registered: April 13, 2006
Representative director	Kōichirō Aramaki	Reappointed: March 28, 2007
7-9-20 Ebara, Shinagawa-	<u>ku, Tōkyō-to</u>	Registered: April 10, 2007
		Resigned: March 26, 2008
		Registered: April 8, 2008
Representative director	Naomichi Asano	Appointed: March 30, 2004
Shin-Matsudo Nishi Park 7-222 Shin-Matsudo, Mat		Registered: April 12, 2004
Representative director	Naomichi Asano	Reappointed: March 30, 2005
Shin-Matsudo Nishi Park 7-222 Shin-Matsudo, Mat	-	Registered: April 12, 2005
Representative director	Naomichi Asano	Reappointed: March 30, 2006
Shin-Matsudo Nishi Park 7-222 Shin-Matsudo, Mat		Registered: April 13, 2006
		Resigned: March 28, 2007
		Registered: April 10, 2007
Representative director	Ichiyasu Katō	Appointed: March 30, 2006 Registered: April 13, 2006
1-15-3-1304 Tsukishima,	<u>Chūō-ku, Tōkyō-to</u>	
Representative director	<u>Ichiyasu Katō</u>	Reappointed: March 28, 2007
1-15-3-1304 Tsukishima,	<u>Chūō-ku, Tōkyō-to</u>	Registered: April 10, 2007
Representative director	Ichiyasu Katō	Reappointed: March 26, 2008
1-15-3-1304 Tsukishima,	Chūō-ku, Tōkyō-to	Registered: April 8, 2008

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Kirin Holdings Co., Ltd.

2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

	Representative d		Appointed: March 28, 2007
	1-5-21-408 Kugayama, Suginami-ku, Tōkyō-to		Registered: April 10, 2007
	Representative director Kazuhiro Satō	Reappointed: March 26, 2008	
	1-5-21-408 Kuga	5-21-408 Kugayama, Suginami-ku, Tōkyō-to	Registered: April 8, 2008
	Representative d	irector Kōichi Matsuzawa awa, Urawa-ku, Saitama-shi, Saitama-ku	Appointed: March 26, 2008
		ayama, Suginami-ku, Tōkyō-to	Registered: April 8, 2008
	<u>Auditor</u>	Toyoshi Nakano	Reappointed: March 28, 2003
			Registered: April 11, 2003
	<u>Auditor</u>	Toyoshi Nakano	
	(External auditor)	<u>·)</u>	Registration as external auditor: June 5, 2006
	Auditor	Toyoshi Nakano	Reappointed: March 28, 2008
	(External auditor)	Registered: April 10, 2008	
	Auditor	<u>Tadahisa Kōno</u>	Appointed: March 28, 2003
			Registered: April 11, 2003
			Resigned: March 28, 2007
			Registered: April 10, 2007
	Auditor	Motoki Fujita	Appointed: March 28, 2003
			Registered: April 11, 2003
			Resigned: March 28, 2007
			Registered: April 10, 2007

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Kirin Holdings Co., Ltd. 2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

	Auditor Teruo Ozaki	Appointed: March 30, 2004
		Registered: April 12, 2004
	<u>Auditor</u> <u>Teruo Ozaki</u>	
	(External auditor)	Registration as external auditor: June 5, 2006
	Auditor Teruo Ozaki	Reappointed: March 26, 2008
	(External auditor)	Registered: April 8, 2008
	Auditor Kazuo Tezuka	Appointed: March 30, 2006
		Registered: April 13, 2006
	Auditor Kazuo Tezuka	
	(External auditor)	Registration as external auditor: June 5, 2006
	Auditor Hitoshi Ōshima	Appointed: March 28, 2007
		Registered: April 10, 2007
	Auditor Tetsuo Iwasa	Appointed: March 28, 2007
		Registered: April 10, 2007
	Accounting auditor KPMG AZSA LLC	
		Registration as external auditor: June 5, 2006
	Accounting auditor KPMG AZSA LLC	Reappointed: March 28, 2007
		Registered: April 10, 2007
	Accounting auditor KPMG AZSA LLC	Reappointed: March 26, 2008
		Registered: April 8, 2008
Provisions relating to exemption of directors, etc. from liability for the company	This company may exempt directors (including former directors) from liability within the extent allowed by law by decision of the board of directors.  This company may exempt auditors (including former auditors) from liability within the extent allowed by law by decision of the board of directors.  Established: March 30, 2006 Registered: April 13, 2006	

Note: Underlining indicates deleted File No. SA 776318 items 10/13

Kirin Holdings Co., Ltd. 2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

Branch offices	1 _	Changed: October 1, 2001
	2-1-1 Edobori, Nishi-ku, Ōsaka-shi	Registered: October 9, 2001
		Discontinued: June 30, 2007
		Registered: July 2, 2007
	3 3-28-12 Naeki, Nakamura-ku, Nagoya-shi	
		Discontinued: June 30, 2007
		Registered: July 2, 2007
	4	Moved: May 6, 2003
	1-2-25 Ichibanchō, Aoba-ku, Sendai-shi	Registered: May 8, 2003
		Discontinued: June 30, 2007
		Registered: July 2, 2007
	6	Changed: October 1, 2001
	2-1-1 Ōsu, Fuchū-chō, Aki-gun, Hiroshima-ken	Registered: October 9, 2001
		Discontinued: September 20, 2005
		Registered: September 21, 2005
	7 2-14-8 Tenjin, Chūō-ku, Fukuoka-shi	•
		Discontinued: June 30, 2007
		Registered: July 2, 2007
	8 1-6-6 Banchō, Takamatsu-shi, Kagawa-ken	
		Discontinued: September 20, 2005
		Registered: September 21, 2005

Note: Underlining indicates deleted File No. SA 776318 items 11/13

Kirin Holdings Co., Ltd. 2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

	11 <u>Kirin Nihonbashi Bldg. 6/7F</u> 2-5 Nihonbashi-Koami-chō, Chūō-ku, Tōkyō-to		
		Discontinued: June 30, 2007	
		Registered: July 2, 2007	
	13 10-1-15 Kita-1-jō-Nishi, Chūō-ku, Sapporo-shi		
		Discontinued: September 20, 2005	
		Registered: September 21, 2005	
	14	Changed: April 1, 2003	
	11-2 Shintoshin, Chūō-ku, Saitama-shi, Saitama-ken	Registered: April 11, 2003	
		Discontinued: September 20, 2005	
		Registered: September 21, 2005	
	15	Moved: May 17, 2004	
	2480 Takematsu-machi, Mattō-shi, Ishikawa-ken	Registered: May 17, 2004	
		Changed: February 1, 2005	
	2480 Takematsu-machi, Hakusan-shi, Ishikawa-ken	Revised: February 10, 2005	
		Discontinued: September 20, 2005	
		Registered: September 21, 2005	
Company division	Division into Kirin Agribio Co., Ltd., 2-24-2 Hatchōbori 2007		
		Registered: April 2, 2007	
	Division into Kirin Hotel Development Co., Ltd., 1-4-1 Shioe, Amagasaki-shi, Hyōgo-ken, April 1, 2007		
		Registered: April 9, 2007	
	Division into Kirin Business System Co., Ltd., 6-26-1 Jingūmae, Shibuya-ku, Tōkyō-to, April 1, 2007		
	Division into Vivin Holdings Co. Ltd. 2 10 1 Shinkawa	Registered: April 11, 2007  Division into Kirin Holdings Co., Ltd., 2-10-1 Shinkawa, Chūō-ku, Tōkyō-to, July 1, 2007	
	Division into Kirin Holdings Co., Ltd., 2-10-1 Shifikawa	Registered: July 2, 2007	

Note: Underlining indicates deleted File No. SA 776318 items 12/13

Kirin Holdings Co., Ltd.

2-10-1 Shinagawa, Chūō-ku, Tōkyō-to Corporation Number: 0199-01-034768

	Division into Kirin Pharma Co., Ltd., 6-26-1 Jingūmae, Shibuya-ku, Tōkyō-to, July 1, 2007
	Registered: July 6, 2007
	Division into Kirin Business Expert Co., Ltd., 6-26-1 Jingūmae, Shibuya-ku, Tōkyō-to, July 1, 2007
	Registered: July 6, 2007
Items relating to	Company with board of directors
companies with boards of directors	Registered on May 1, 2006 under the provisions of Article 136 of 2005 Law No. 87
Items relating to	Company with auditors
companies with auditors	Registered on May 1, 2006 under the provisions of Article 136 of 2005 Law No. 87
Itams valating to	
Items relating to companies with board of	Company with board of auditors
auditors	Registered: June 5, 2006
Items relating to companies with	Company with accounting auditors
accounting auditors	Registered: June 5, 2006
Items relating to	Under the provisions of Paragraph 3 of the Supplementary Provisions to Ministry of Justice
registration entries	1989 Ordinance No. 15 Transferred: May 20, 1999

It is hereby certified that these are all of the unclosed items recorded in the register.

November 18, 2008 Tokyo Legal Affairs Bureau Registrar

Kōichi Saitō

[seal: Seal of the Registrar, Shinagawa Office, Tokyo Legal Affairs Bureau]

File No. SA 776318 Note: Underlining indicates deleted items 13/13

PATENT REEL: 036552 FRAME: 0158

RECORDED: 09/04/2015