#### 503477519 09/14/2015

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3524144

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
AUDREE ANDERSON	09/01/2015
CATHRIN CORTEN	09/09/2015
FREDERIK FOLLING	09/07/2015
NICOLE FREITAG	09/07/2015
FATMIR RAKA	09/02/2015
MARKUS SAEDLER	09/01/2015
STEFANIE BOSHE-PLOIS	09/02/2015

#### **RECEIVING PARTY DATA**

Name:	BASF COATINGS GMBH
Street Address:	GLASURITSTRASSE 1
City:	MUNSTER
State/Country:	GERMANY
Postal Code:	48165

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14766554

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: Imurphy@dsiplaw.com
Correspondent Name: SERVILLA WHITNEY LLC
Address Line 1: 33 WOOD AVENUE SOUTH

Address Line 2: SUITE 830

Address Line 4: ISELIN, NEW JERSEY 08830

ATTORNEY DOCKET NUMBER:	0000073515US02
NAME OF SUBMITTER:	KAREN M. WHITNEY
SIGNATURE:	/Karen M. Whitney, Reg. #52355/
DATE SIGNED:	09/14/2015

	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 8	
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0000073515US02	
DECLARATIO APPI	N (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN LICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT
Title of Invention	ALPHA,OMEGA-HYDROXY-FUNCTIONALIZED OLIGOESTER AS ADHESION PROMOTER IN SOLVENTBORNE FILLERS
As the below named i	nventor, I hereby declare that:
This declaration ( ) is directed to: (X)	The attached application, or United States application or PCT international application number 14/766,554 filed on February 7, 2014.
The above-identified application was made or authorized to be made by me.  I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.  I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.  Note to Inventor: 37 C.F.R. § 1.63(c) states: "A person may not execute an oath or declaration for an application unless that person has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the Office all information known to the person to be material to patentability as defined in § 1.56."	
I hereby assign to	
BASF Coatings Gm (Name of Assigned	
of <u>Glasuritstrasse 1.</u> (address of Assign	Münster 48165 GERMANY ce)
in 35 USC 100, for as I agree to execute all invention, and any no execute separate assignment.  I agree to execute all connection with this Assignee in every was I agree to execute all with claims or provis agreements.  I agree to perform all patent to the Assignee I hereby authorize and resulting from the apputhereof to the Assignee.	and as the Assignee) my entire right, title and interest for the United States as defined by invention set forth in the above-identified application.  papers necessary in connection with this application for patent in the USPTO for the on-provisionals, continuations, divisionals or reissue applications thereof and also to amments in connection with such applications as the Assignee may deem necessary or papers necessary in connection with any post-grant proceeding which may occur in application or continuation, divisional or reissue thereof and to cooperate with the y possible in obtaining evidence and going forward with such post-grant proceeding, papers and documents and perform any act which may be necessary in connection sions of the International Convention for Protection of Industrial Property or similar I affirmative acts which may be necessary to obtain a grant of a valid United States are dication or any non-provisionals, continuations, divisionals or reissue applications are, as Assignee of my entire interest, and covenant that I have not executed, and will ement in conflict herewith.
Inventor (Legal Nam Signature: Qude	e): <u>Audrée Andersen</u> Date: <u>07/69/76/5</u>

### 0000073515US02 DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT ALPHA, OMEGA-HYDROXY-FUNCTIONALIZED OLIGOESTER AS Title of Invention ADHESION PROMOTER IN SOLVENTBORNE FILLERS As the below named inventor, I hereby declare that: This declaration ( ) The attached application, or is directed to: (X) United States application or PCT international application number 14/766,554 filed on February 7, 2014. The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. Note to Inventor: 37 C.F.R. § 1.63(c) states: "A person may not execute an oath or declaration for an application unless that person has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the Office all information known to the person to be material to patentability as defined in § 1.56." I hereby assign to BASF Coatings GmbH (Name of Assignee) of Glasuritstrasse 1, Münster 48165 GERMANY (address of Assignce) (hereinafter designated as the Assignee) my entire right, title and interest for the United States as defined in 35 USC 100, for any invention set forth in the above-identified application. I agree to execute all papers necessary in connection with this application for patent in the USPTO for the invention, and any non-provisionals, continuations, divisionals or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or I agree to execute all papers necessary in connection with any post-grant proceeding which may occur in connection with this application or continuation, divisional or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such post-grant proceeding. I agree to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements. I agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee. I hereby authorize and request the USPTO to issue any and all Letters Patents of the United States resulting from the application or any non-provisionals, continuations, divisionals or reissue applications thereof to the Assignee, as Assignee of my entire interest, and covenant that I have not executed, and will not execute, any agreement in conflict herewith. Inventor (Legal-Name): Cathrin Corten Signature: Date: 09092015

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I believe that I am the application. I hereby acknowledge U.S.C. 1001 by fine of Note to Inventor: 37 application unless that the claims, and is away.	application was made or authorized to be made by me. coriginal inventor or an original joint inventor of a claimed invention in the ce that any willful false statement made in this declaration is punishable under 18 or imprisonment of not more than five (5) years, or both.  C.F.R. § 1.63(c) states: "A person may not execute an oath or declaration for an at person has reviewed and understands the contents of the application, including are of the duty to disclose to the Office all information known to the person to be ity as defined in § 1.56."
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BASF Coatings Gm (Name of Assigned	
of <u>Glasuritstrasse 1.</u> (address of Assign	Münster 48165 GERMANY ee)
in 35 USC 100, for a I agree to execute all invention, and any ne execute separate assi- expedient	ed as the Assignee) my entire right, title and interest for the United States as defined by invention set forth in the above-identified application.  papers necessary in connection with this application for patent in the USPTO for the con-provisionals, continuations, divisionals or reissue applications thereof and also to gaments in connection with such applications as the Assignee may deem necessary or papers necessary in connection with any post-grant proceeding which may occur in
connection with this Assignee in every wa I agree to execute al with claims or provis	application or continuation, divisional or reissue thereof and to cooperate with the y possible in obtaining evidence and going forward with such post-grant proceeding. I papers and documents and perform any act which may be necessary in connection sions of the International Convention for Protection of Industrial Property or similar
agreements.  I agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.  I hereby authorize and request the USPTO to issue any and all Letters Patents of the United States	
thereof to the Assign	plication or any non-provisionals, continuations, divisionals or reissue applications ee, as Assignee of my entire interest, and covenant that I have not executed, and will ement in conflict herewith.
Inventor (Legal Nan Signature:	ne): Frederik Fölling FAG Date: 01.09.7015

### 0000073515US02 DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT ALPHA, OMEGA-HYDROXY-FUNCTIONALIZED OLIGOESTER AS Title of Invention ADHESION PROMOTER IN SOLVENTBORNE FILLERS As the below named inventor, I hereby declare that: This declaration ( ) The attached application, or is directed to: (X) United States application or PCT international application number 14/766,554 filed on February 7, 2014. The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. Note to Inventor: 37 C.F.R. § 1.63(c) states: "A person may not execute an oath or declaration for an application unless that person has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the Office all information known to the person to be material to patentability as defined in § 1.56." I hereby assign to **BASF Coatings GmbH** (Name of Assignee) of Glasuritstrasse 1, Münster 48165 GERMANY (address of Assignee) (hereinafter designated as the Assignee) my entire right, title and interest for the United States as defined in 35 USC 100, for any invention set forth in the above-identified application. I agree to execute all papers necessary in connection with this application for patent in the USPTO for the invention, and any non-provisionals, continuations, divisionals or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or I agree to execute all papers necessary in connection with any post-grant proceeding which may occur in connection with this application or continuation, divisional or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such post-grant proceeding. I agree to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements. I agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee. I hereby authorize and request the USPTO to issue any and all Letters Patents of the United States resulting from the application or any non-provisionals, continuations, divisionals or reissue applications thereof to the Assignee, as Assignee of my entire interest, and covenant that I have not executed, and will not execute, any agreement in conflict herewith. Inventor (Legal Name): Nicole Freitag Signature: None Date: 1.9.2015

### 0000073515US02 DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT ALPHA, OMEGA-HYDROXY-FUNCTIONALIZED OLIGOESTER AS Title of Invention ADHESION PROMOTER IN SOLVENTBORNE FILLERS As the below named inventor, I hereby declare that: This declaration ( ) The attached application, or is directed to: (X) United States application or PCT international application number 14/766,554 filed on February 7, 2014. The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. Note to Inventor: 37 C.F.R. § 1.63(c) states: "A person may not execute an oath or declaration for an application unless that person has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the Office all information known to the person to be material to patentability as defined in § 1.56." I hereby assign to **BASF Coatings GmbH** (Name of Assignee) of Glasuritstrasse 1, Münster 48165 GERMANY (address of Assignee) (hereinafter designated as the Assignee) my entire right, title and interest for the United States as defined in 35 USC 100, for any invention set forth in the above-identified application. I agree to execute all papers necessary in connection with this application for patent in the USPTO for the invention, and any non-provisionals, continuations, divisionals or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or I agree to execute all papers necessary in connection with any post-grant proceeding which may occur in connection with this application or continuation, divisional or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such post-grant proceeding. I agree to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements. I agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee. I hereby authorize and request the USPTO to issue any and all Letters Patents of the United States resulting from the application or any non-provisionals, continuations, divisionals or reissue applications thereof to the Assignee, as Assignee of my entire interest, and covenant that I have not executed, and will

not execute, any agreement in conflict herewith.

Inventor (Legal Name): Fatymir Raka
Signature: Date: 02.09.2015

# 0000073515US02 DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT ALPHA, OMEGA-HYDROXY-FUNCTIONALIZED OLIGOESTER AS Title of Invention ADHESION PROMOTER IN SOLVENTBORNE FILLERS As the below named inventor, I hereby declare that: This declaration ( ) The attached application, or is directed to: (X) United States application or PCT international application number 14/766,554 filed on February 7, 2014. The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. Note to Inventor: 37 C.F.R. § 1.63(c) states: "A person may not execute an oath or declaration for an application unless that person has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the Office all information known to the person to be material to patentability as defined in § 1.56." I hereby assign to BASF Coatings GmbH (Name of Assignee) of Glasuritstrasse 1, Münster 48165 GERMANY (address of Assignee) (hereinafter designated as the Assignee) my entire right, title and interest for the United States as defined in 35 USC 100, for any invention set forth in the above-identified application. I agree to execute all papers necessary in connection with this application for patent in the USPTO for the invention, and any non-provisionals, continuations, divisionals or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or I agree to execute all papers necessary in connection with any post-grant proceeding which may occur in connection with this application or continuation, divisional or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such post-grant proceeding. I agree to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements. I agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee. I hereby authorize and request the USPTO to issue any and all Letters Patents of the United States resulting from the application or any non-provisionals, continuations, divisionals or reissue applications thereof to the Assignee, as Assignee of my entire interest, and covenant that I have not executed, and will not execute, any agreement in conflict herewith.

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I hereby assign to	
Till til state og sta	
BASF Coatings Gm	
(Name of Assigned	<b>;)</b>
of Glasuritstrasse 1.	Münster 48165 GERMANY
(address of Assign	
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(hereinafter designate	ed as the Assignee) my entire right, title and interest for the United States as defined my invention set forth in the above-identified application.
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patent to the Assigner	a annihilative acis which may be necessary to obtain a grain of a rand contact states.
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not execute, any agre	ement in conflict herewith.
Inventor (Legal Nam	e): Stefanie Boshe-Plois
Signature: S 30	e): <u>Stefanie Boshe-Plois</u> DSUL POO'S Date: 2, 9, 2015

## 0000073515US02 DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT ALPHA, OMEGA-HYDROXY-FUNCTIONALIZED OLIGOESTER AS Title of Invention ADHESION PROMOTER IN SOLVENTBORNE FILLERS As the below named inventor, I hereby declare that: This declaration ( ) The attached application, or (X) United States application or PCT international application number is directed to: 14/766,554 filed on February 7, 2014. The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. Note to Inventor: 37 C.F.R. § 1.63(c) states: "A person may not execute an oath or declaration for an application unless that person has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the Office all information known to the person to be material to patentability as defined in § 1.56." I hereby assign to **BASF Coatings GmbH** (Name of Assignee) of Glasuritstrasse 1, Münster 48165 GERMANY (address of Assignee) (hereinafter designated as the Assignee) my entire right, title and interest for the United States as defined in 35 USC 100, for any invention set forth in the above-identified application. I agree to execute all papers necessary in connection with this application for patent in the USPTO for the invention, and any non-provisionals, continuations, divisionals or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or I agree to execute all papers necessary in connection with any post-grant proceeding which may occur in connection with this application or continuation, divisional or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such post-grant proceeding. I agree to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements. I agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee. I hereby authorize and request the USPTO to issue any and all Letters Patents of the United States resulting from the application or any non-provisionals, continuations, divisionals or reissue applications thereof to the Assignee, as Assignee of my entire interest, and covenant that I have not executed, and will not execute, any agreement in conflict herewith. Inventor (Legal Name): Alexandra Steffens Signature: Alexandra Uff Date: 2/9/0015

RECORDED: 09/14/2015